

Dimensions of Component-based Development

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ABSTRACT

As the properties of components have gradually become clearer, attention has started to turn to the architectural issues which govern their interaction and composition. In this paper we identify some of the major architectural questions affecting component-based software development and describe the predominant architectural dimensions. Of these, the most interesting is the “architecture hierarchy” which we believe is needed to address the “interface vicissitude” problem that arises whenever interaction refinement is explicitly documented within a component-based system. We present a solution to this problem based on the concept of stratified architectures and object metamorphosis. Finally, we describe how these concepts may assist in increasing the tailorability of component-based frameworks.

1 INTRODUCTION

Much of the recent debate on component-oriented software development has naturally revolved around the question: “*what* is a component?” Less attention has been given to the architectural issues related to the structure of component-based systems, and the nature of the key relationships which drive component-based development - in essence, to the question “*where* is a component”? Addressing this question we believe will not only help establish a cleaner and more general theory of components, but will also shed light on the “*what*” question by helping to clarify important characteristics of components.

We believe four fundamental hierarchies naturally dominate the structure of component-oriented software systems.

1. Containment hierarchy
2. Type hierarchy
3. Meta-hierarchy
4. Architecture hierarchy

The term hierarchy is used in a general sense here to represent a set of entities related by some transitive, partially ordered relationship.

The first three hierarchies may be termed “*intrinsic*”, since they contain the actual components themselves. In

other words, every component must be assigned a place in each of these hierarchies. This place is unique for each component and serves to define its properties and characteristics.

The fourth hierarchy, in contrast, can be thought of as “*extrinsic*” since it is not actually a hierarchy of components per se, but rather a hierarchy of “architectures” or “architectural strata.” In other words, it is not the components themselves which are partially ordered, but the architectural strata in which they appear. This hierarchy therefore has more to do with describing how a component is used than on defining the nature of the component itself¹.

In the following sections we discuss each of these dimensions in more detail: section 2 describes the role of the component hierarchy, section 3 briefly talks about the type hierarchy, section 4 discusses the ramifications of the meta-level hierarchy, and finally section 5 introduces the concept of the architecture hierarchy and describes its potential benefits. Section 6 provides a summary of the key points, and an analysis of their implications.

2 CONTAINMENT HIERARCHY

The containment relationship is probably the most fundamental of those influencing the structure of component-based systems. It also has the largest number of different names, including “aggregation”, “part-of”, “includes”, “embeds” and of course “composition”. All these terms are used to convey the same underlying idea of “big” objects containing “small” objects. In fact, the very name component is intended to reflect the idea of containment.

Although simple in concept, containment is notoriously difficult to apply in practice. The problem is that 100% “pure” containment rarely occurs in the real world. Contained objects almost always have relationships to objects other than their container or fellow contained objects (i.e., they are shared by multiple containers or temporary clients), and often these can also represent some form of containment. Most object-oriented systems

¹ The containment hierarchy can actually be thought of as playing a dual role in this sense, because as well as determining the nature of a component’s interface it also plays a role in describing how it is deployed.

typically contain a tangled web of inter-object links, making the identification of a clear containment tree a non-trivial problem. In particular, it is often difficult to disentangle “containment” relationships from “uses” or “peer” relationships where no containment is intended.

Why not therefore simply de-emphasize (or ignore) the idea of containment in the structuring of object-oriented and component-based systems? To a certain degree this is the strategy adopted in the UML which views aggregation as a special case of association, and advises developers to use the latter whenever they are in any doubt as to the applicability of the former. While it may be possible to deemphasize containment between individual components, however, the idea of the eventual “system” containing the components from which it is created seems inescapable. This idea is as fundamental as the word component itself.

This brings us to a critical question -

should the assembly of a system be viewed as a different activity (i.e. use different concepts and techniques) from the assembly of a component?

In other words, should the application (or use) of a component be viewed as involving different concepts and techniques than the creation of a component? Most approaches to component-based development do not explicitly address this question, but their terminology implies that they view the two as different activities. In other words, most approaches view a system as being a different kind of entity from a component.

We believe this to be fundamentally at odds with the philosophy of component-based development. There seems to be no good reason why an assembly of components developed to meet the requirements for a “system” should not at a later stage also be viewable as an individual component, should their collective services be useful in the creation of a larger system (i.e. as a component). However, if one accepts the metaphor:

“a system = a component”

one is compelled to provide a uniform component model which treats all components in the same way regardless of their location in the composition hierarchy or whether they are used as a system or as a part of a system. The only factor which should determine the activities and concepts applied to a component should be relevant the requirements (functional or non-functional).

3 TYPE HIERARCHY

Another hierarchy that plays a fundamental role in component-based development is the type hierarchy. As in object-oriented approaches, the basic idea of a type is to control the linking together and interaction of components based on some form of explicitly specified set of expectations (i.e. a contract). Like containment, the idea of a type also goes by various names, the chief among them being “role”, “class”, and “interface”. These concepts all essentially serve to define a set of expectations that govern interactions and relationships

between objects. They also can be placed into hierarchies which organize such “expectation specifications” in terms of their commonalities and differences. These hierarchies also go by various different names, including type hierarchy, role hierarchy and interface hierarchy.

In most existing component technologies a component type (i.e. interface) is embodied by the set of operations that the component exports, and the information which these operations receive and return (i.e. parameters). Exception definitions are also sometimes included. While this provides a rudimentary way of defining expectations, it leaves a lot of information missing. For example, the typical interface specification says nothing about the expected effects of operations, or the expected interleaving of operations. Guaranteed substitutability of components, which is the underlying motivation for typing, requires that the client and supplier of a service be in complete agreement about the full nature of the expectations to be satisfied.

The “system = component” metaphor mentioned in the previous section, suggests one way of approaching this problem; namely, to model a component interface by a suite of UML diagrams as if the component were a system. Various analysis/design methods present ways of using UML (or equivalent) diagrams to describe the requirements satisfied by a system, so it would seem reasonable that these might also be useful for modeling interfaces. At the Fraunhofer Institute for Experimental Software Engineering we are investigating an approach based on the diagram suite defined in the Fusion method [1] as adapted for the UML by FuML [2].

4 META HIERARCHY

Metamodeling has become fashionable. However, many of the approaches which claim to be based on meta modeling fail to follow through with the full implications. The best example is the UML [3], which ostensibly assumes the four level modeling framework illustrated in Figure 1. Each layer, except the bottom layer, represents a model (i.e. a class diagram) instantiated from the elements described in the layer above. The exceptions to this rule are the bottom layer, which contains the actual objects embodying the data of the user, and the top layer which is regarded as an instance of itself. Normal user class diagrams reside at the second level, immediately above the bottom “data” layer.

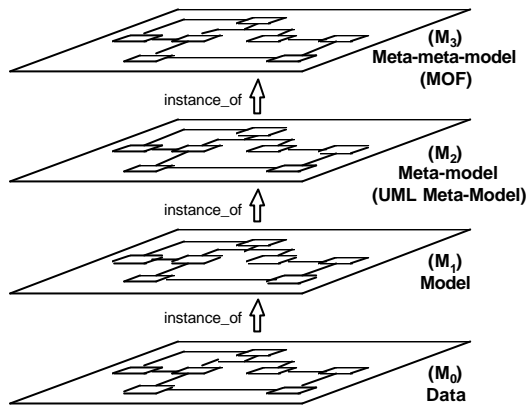


Figure 1. UML Model Framework

The main consequence of this approach for components is that elements in all but the bottom layer generally have the properties of both an object and a class (i.e. they are cljects [4]). This is because they represent a template for instantiating instances at the level below, and at the same time they are themselves instances of templates from the level above. This dual faceted view of components is depicted in figure 2.

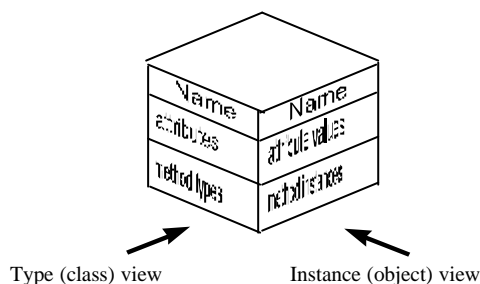


Figure 2. Class/Object View of a Component

Most approaches that adopt such a multi-layered model hierarchy, such as UML and OPEN, ignore this fact because it leads to some awkward consequences. Ironically, however, this dual object/class facet could actually help address a problem that has been central to the component debate for some time; namely “is a component an object or a template (from which objects can be created)?” Some authors, such as Orfali et. al. view a component as an object with certain additional properties [5], but others such as Szyperski, believe that a component is not an object, but can only be used to instantiate objects [6]. If one accepts the class/object duality implied by a rigorous multi-level modeling framework, the most general answer would be that a component is both.

The phrase “most general” is here because not all components will necessarily have both facets all of the time. However, the class/object duality occurs more often than might be expected. For example, components which are primarily intended to provide a template for instantiating objects typically tend to have some “static” information, such as a serial number, which essential corresponds to attribute values in the object facet. In the UML, such attributes are called “tagged values”, while in programming languages they are called “static data

members”. The only difference from normal attributes is that that they are not usually changed at run-time.

Similarly, components which are primarily intended to serve as objects (e.g. CORBA objects), often have an associated reflection API which can be used to provide access to certain kinds of “static” information. Also, environments such as CORBA usually store “meta information” about running objects, typically in interface repositories. These both essentially correspond to the template facet of the components.

Even with existing component technologies, therefore, explicit class/object duality may provide a natural and clean unifying model for handling the various characteristics of components and the different, often separated, pieces of information that are maintained about them. However, the possibility also remains that a pure and fully object-oriented component model of the kind characterized by Smalltalk, in which every class has an explicit run-time presence, may offer one of the best long term strategies for promoting component-based software development.

5 ARCHITECTURE HIERARCHY

The three “dimensions” described in the previous sections are fairly conventional, and in one form or another appear in most existing component technologies. However, the fourth “dimension” described in this section is much less conventional, and as far we are aware does not exist explicitly in any of the current or proposed component-based development technologies. It also differs from the previous three hierarchies in that it is not a hierarchy of components per se. In order to explain precisely what it is rather than what it is not, we first need to elaborate upon the problem that it is aimed at solving.

5.1 The Problem

To illustrate the problem we will consider the classic scenario of communication between remote entities in a distributed system. The example will be based on a simple client/server scenario in which a file manger (server) supports requests to read and write strings to and from files. We will consider both function-oriented and object-oriented version of the system, in both localized and distributed forms.

5.1.1 Localized File Management System

As might be expected, the localized, function-oriented version of the system is the simplest. Figure 3 illustrates a client function, `Writer`, issuing a call to a server function, `write`.

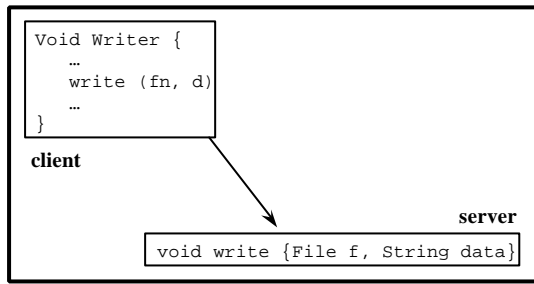


Figure 3. Localized Function-Oriented Form

The `write` function takes two parameters: a reference of type `File` serving to identify the target file and a `String` representing the data to be written to the file. The `read` server function is similar, but obviously the `String` parameter would have to be passed by reference in order to return the value. In this example, the actual file reference is `fn` and the actual string to be written is `d`.

In an object-oriented system all functions have to belong to objects. The basic difference in the object-oriented version of the system, therefore, is that the `write` and `writer` functions have to be defined as part of a class definition, as illustrated in figure 4 (`write` becomes `do_write`). The basic interaction is the same, however.

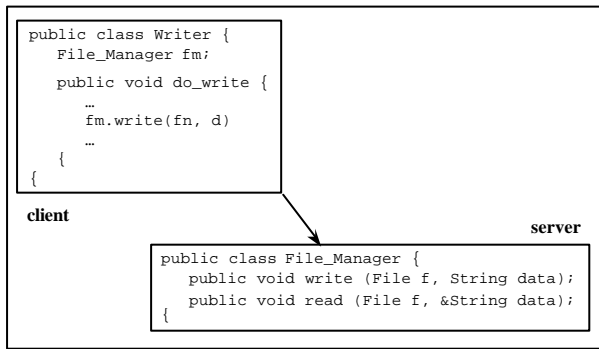


Figure 4. Localized Object-Oriented Form

The `Writer` and `File_Manager` classes in the object-oriented version of the system can also be depicted graphically. Figure 5 is an equivalent UML collaboration diagram which indicates that an instance of `Writer`, called `w`, sends a message `write()` to an instance of `File_Manager` called `fm`.

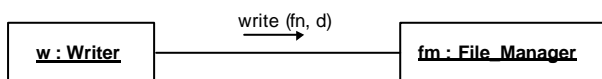


Figure 5 Localized UML Collaboration Diagram

5.1.2 Distributed File Management System

Whether written in a function-oriented or object-oriented style, if the client and server are on the same machine the compiler can simply link all the appropriate components into a single program, and the interaction between them will be implemented directly as a normal, local function (or method) call.

However, if the file manager and writer need to execute on different machines, things get a little more complicated. It is now necessary to arrange for the communication to be implemented via the network.

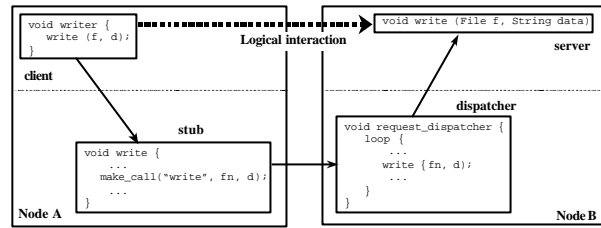


Figure 6. Distributed Function-Oriented Form

A well-known and widely used strategy for implementing remote communication is to use a “stub”, as depicted in figure 6. Instead of calling the server function directly, as in the localized system, the client instead calls the special “stub” which arranges for the interaction to be implemented in terms of the communication services supported by the network. Notice that the name of the function to be called now has to be passed as a parameter to the remote dispatcher to enable it to decide which of its local functions to call. In some circumstances such stubs can be generated automatically, but in others it may have to be coded by hand. In either case, the stub is linked into the client’s program instead of the original implementation of the server function.

On the server’s side, some form of request dispatcher (a.k.a. entry port) is needed to receive incoming messages and call the original server function on the remote client’s behalf. This is the `request_dispatcher` illustrated in figure 6. The job of this entity is to respond to incoming service request by decoding the message and invoking the appropriate function.

This same idea can of course be applied in the object-oriented version of the system. In fact, this is the basis of the ubiquitous “request broker” technology underlying CORBA and other distributed object environments.

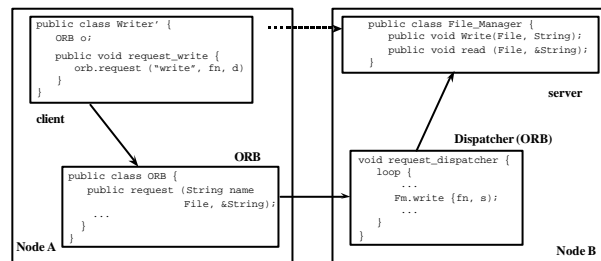


Figure 7. Distributed Object-Oriented Form

As illustrated in figure 7, the job that previously fell to the stub² in the function-oriented version of the system now falls to a method of the ORB. In this example the method is called `request()`. The body of this method is

² Different distributed object technologies use words such as “stub” and “proxy” in non-standard ways. In this discussion we use the word in a general sense, not in the technical sense of any particular distributed object standard (e.g. CORBA, Java RMI etc.).

essentially equivalent to the stub, and sends the appropriate information over the network in order to implement the required interaction.

The job of the request dispatcher at the other end is also played by an orb. ORBs therefore play the general role of mediators between remote objects which wish to interact. The example is a little artificial since the ORB methods have parameters which are specific for this application, whereas in general of course they would be more generic. Figure 8 provides a UML interaction diagram for the implementation illustrated in figure 7.

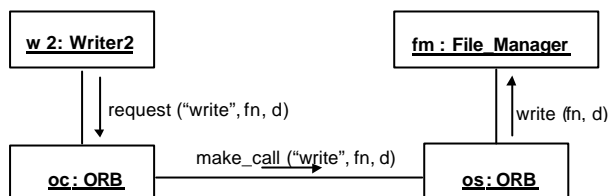


Figure 8. Distributed UML Collaboration Diagram

5.1.3 Interface Vicissitude³

So what is the problem? The basic issue is that in the object-oriented (and hence component-oriented) version of the system, the interface between objects can change depending on the level of abstraction at which the interaction or relationship between them is described. This can be seen by comparing figures 4 and 7, or their graphical UML equivalents, 5 and 8. In figures 4 and 5, the client, *Writer*, has an interface with *File_Manager* in which it invokes the operation *write()*. In a distributed implementation, this interaction might be referred to as the *logical* interaction. However, in figures 5 and 8, by contrast, the client, *Writer*, has no interface with *File_Manager* at all, but instead has an interface with ORB, in which it invokes the *request()* operation.

The phenomenon is not confined to the implementation of distributed communication, or to just two architecture levels. On the contrary, it occurs whenever an abstract interaction is refined into a more detailed description involving lower level components and less abstract interactions. Examples include transactions, security, persistence etc. - in fact, almost any service provided by component-based environments such as CORBA. The idea can also obviously be generalized to multiple levels. In fact, the interaction described in this example can easily be generalized to a third level by viewing the type, *File*, as a “persistent” class type rather than as a simple reference type and treating the *write()* operation as a method of this class rather than *File_Manager*. This would give the following view of the interaction illustrated textually in figure 9 and graphically in figure 10.

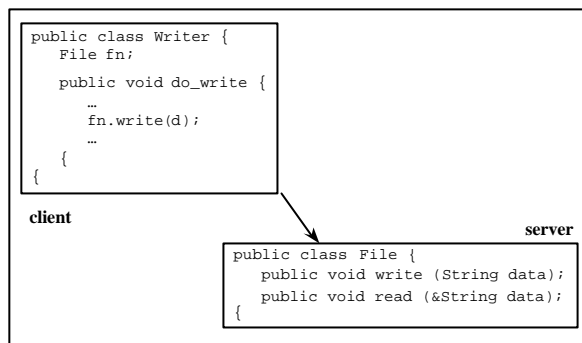


Figure 9. “Persistent Class” Object-Oriented Form

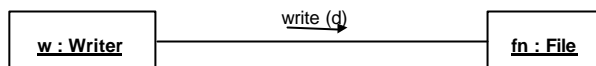


Figure 10. “Persistent Class” UML Collaboration Diagram

If we think of the structure and interactions described by the preceding figures as representing the architecture of the system (which in essence is what is meant by “architecture”), this means that the system can be considered to have different architectures at different levels of abstraction. Figures 4 and 5 represent descriptions of the architecture of the system (the first textual, the second graphical) which are equally as valid as figures 5 and 8 (and figures 9 and 10), the only difference is the level of abstraction at which the interaction to write information to a file is described. This would perhaps not be such an issue if the properties of the component involved in each view remained constant, but this is not the case — the interface⁴ of the user component *Writer* is completely different in each case. In other words, the interface of *Writer* changes depending on which architectural perspective it is viewed from. This is what we refer to as “interface vicissitude”.

Why is this a problem? In this small example we have shown three equally valid views of the architecture of the system, each with different interfaces for the *Writer* component. This begs the question as to which of the architectures is the correct (or best) one, or alternatively which of the interfaces of *Writer* is the correct (or best) one? If only one is to be considered the architecture, which one is it and how is it chosen?

Of course, it is always possible to place a wrapper around an ORB in the style of the Adapter pattern to make it have the appearance of the final server. In this example, this would mean placing a “proxy” on the client side to present the *File_Manager* interface to *Writer* instead of the ORB interface. But this essentially represents an attempt to simulate one architecture in terms of another, and implies that for some reason one architecture (or interface) has been chosen as preferable to another. However, unless superior tools are available at the higher abstraction level, or the translation to the lower level is

³ Vicissitude; n: regular change or succession of one thing to another, alternation; mutual succession, interchange (Webster’s Unabridged Dictionary).

⁴ The interface involved is often called the “required” or “imported” interface since it defines facilities used by the component rather than services provided for use by others.

fully automated, inserting such proxies only serve to complicate the lower-level architecture and decrease its efficiency. The issue is one of architecture modeling, or conceptualization, rather than interface adaptation.

It is interesting to consider why this problem does not arise in function-oriented software architecture. The reason goes right to the heart of what differentiates function-oriented approaches from object oriented approaches; object identity. In the function-oriented versions of the system (figures 3 and 6) the interface between the writer and the File_Manager is not at all affected by the identity of the communicating partners. As a consequence, the real write() method can be replaced by a stub (to handle remote communication) without in any way affecting the original communicating parties. This facilitates the creation of layered architectures of the kind characterized by the ISO Open Systems Interconnection model illustrated in figure 11. Because interactions at a given level can be refined without affecting the original communicating parties, clean layers can be established in which each module occupies one and only one layer.

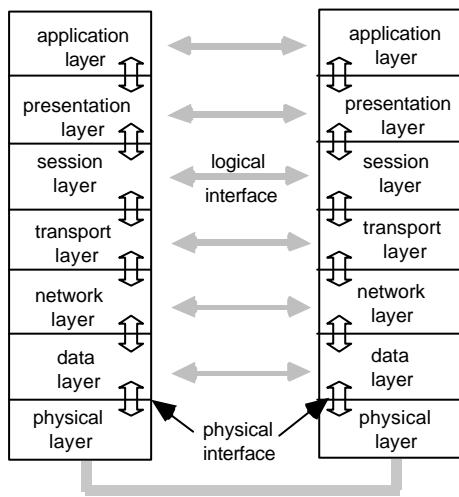


Figure 11. ISO OSI Model

In the object-oriented approach, in contrast, the identity (and hence the type) of the server object is bound up in the definition of every client/server interface. This means that it is not possible to refine a high-level interaction by the introduction of intermediary objects without changing the interface of the client objects. This is illustrated in figure 12, which shows that in refining an interaction between two objects X and Y, not only are additional objects A and B introduced (as in the function-oriented approach) but the interface of the client X is changed to that of X'.

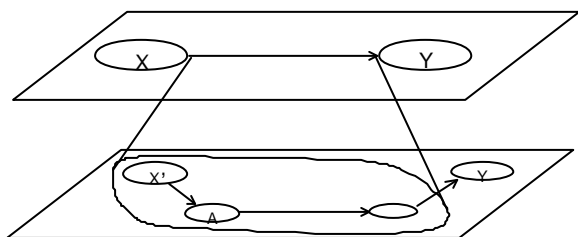


Figure 12. Interaction Refinement

5.2 The Solution

From a practical perspective the architecture which is the most “real”, and which is normally regarded as the architecture, is the one that describes the system at the highest level of abstraction that can be understood and manipulated by automated tools. In other words, it is the one which describes the system in terms of concepts support directly by a high-level programming or interface language. For example, a CORBA developer usually thinks in terms of an architecture that directly involves ORBs and the other mediating elements that make up the OMG OMA.

Theoretically speaking, however, this particular architecture is no more “real” than any of the other architectures at higher levels of abstraction. They each represent an equally valid and complete description of the system. In fact, there are also often additional architectures at (hidden) lower levels because most compilers insert additional “system” objects to support the implementation of the abstractions in the programming language. For example, the transformation from the “persistent class” architecture illustrated in figure 9 and 10, to the “File Manager” architecture illustrated in figures 3 and 4, is often performed automatically by a compiler when implementing persistent objects. Ultimately, interaction with the kernel itself can be thought of as a refinement of higher level architectures.

For these reasons, we believe the only theoretically clean way of handling the interface vicissitude problem outlined above is to define a conceptual framework which makes all the important architectural levels explicitly visible by organizing them in a hierarchy of the form illustrated in figure 11. This illustrates a conceptual architecture that was used within the MISSION project at the University of Houston – Clear Lake to visualize the highly complex system architectures needed for safety critical, non-stop, distributed systems [7]. Each level in this model defines a full object-oriented architecture, each providing a complete, and semantically equivalent, description of the system. An architecture at a given level represents a refinement of the architecture at the level above. The compiler (and other automated tools) may only directly understand the bottom level, but explicitly identifying and elaborating the more abstract levels (and the relationships between them) is increasingly being recognized as important for supporting systematic development and traceability, and thus ultimately system quality [8].

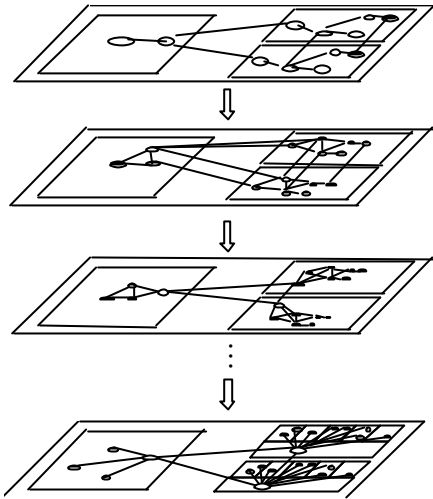


Figure 11 Architectural Levels

5.2.1 Stratified Architectures

Although each of the levels in figure 11 represents an architecture in the sense that the term is usually used (i.e. a description of the elements, relationships and interactions in a system), we believe it is not particularly intuitive to think of a system as having multiple architectures. Instead, we prefer to stay with the concept of a single architecture for a single system, but to introduce the concept of multiple *strata* within a given architecture. Thus, instead of saying that figure 11 illustrates multiple architectures, we would say that it illustrates a single architecture consisting of multiple strata.

It is important to realize that these strata are not *layers* in the normal sense. This is because one stratum may actually contain the same object as another stratum, but with a different interface reflecting the effects of an interaction refinement. In contrast, architectural element in a conventional layered architecture such as the ISO OSI architecture illustrated in figure 11, appear in one and only one layer. Of course, it is possible to define a link between the two concepts. Within a given level of a stratified architecture it is possible to define layers corresponding to the higher level strata, in which elements are allocated to layers depending on their stratum of first appearance.

It is also important to realize that these layers do not correspond to the usual analysis, design an implementation descriptions of a system that are assumed in most object-oriented development methods. This is because each architecture level describes “how” the system is organized (as opposed to what it supposed to do for analysis models), and each provides as full a description of the system as any other.

5.2.2 Object Metamorphosis

The architecture strata concept addresses the interface vicissitude issue from an “architectural” perspective, but it does not provide a good way of dealing with the phenomenon from the perspective of an individual object or class. For example, what is the nature of the

relationship between X and X' in figure 12, or the *Writer* and *Writer'* from figures 4 and 7 respectively.

A metaphor from real life which seems to reflect the phenomenon fairly accurately is the idea of metamorphosis. The relationship between X and X' and *Writer* and *Writer'* seems similar to the relationship between a caterpillar and the butterfly which it eventually becomes. The caterpillar and the butterfly are the same object, but have totally different external forms and characteristics. This also ties in well with the idea of architectural strata, since the concept of metamorphosis is also applied in geology to the process which changes a certain kind of rock into another form.

In the context of a stratified architecture, therefore, we describe X' as a metamorphosis of X , and *Writer'* as a metamorphosis of *Writer*. In figure 11, a black circle within an architectural stratum represents a metamorphosis of an object in the level above whereas a white circle denotes an object newly introduced at a given level.

5.2.3 Related Concepts

The idea of viewing a component-based architecture as containing multiple strata, in which a given component may appear in numerous strata in different forms, seems to have a relationship to several other areas of object technology that are currently generating significant of interest. We mention the main ones briefly below.

Connectors

The idea of “connectors” is a recurring theme in abstract component-based programming models. The goal is basically to try to reify the connections between components, so that like components they also can be treated as first class citizens. However, most attempts have run into problems in handling the large variety and form of “connectors” at a single level of abstraction. The idea of explicitly defining multiple architecture levels may help address this problem by cleanly allowing objects to be associated with connectors, albeit at a lower architectural strata than the components they originally connect.

Reflective Architectures

A fashionable concept in recent years has been the idea of *reflective architectures*, in which aspects of a system’s functionality related to the interaction of regular components are separated into a distinct “meta” level. In a sense, a stratified architecture can be viewed as a generalization of such a reflective architecture, since it also provides a way of separating functionality related to component interaction. However, we believe the stratified architecture concept to be more powerful because not only can it be generalized to multiple abstraction levels, but it also requires the introduction of fewer additional modeling concepts. In contrast, reflective architectures require quite a complex set of additional mechanisms.

Frameworks

Last but not least, the stratified architecture concept may prove useful in the creation of flexible component frameworks. A framework is essentially a semi-complete software system which has been carefully parameterized with respect to the components that represent the most variable elements of the domain. A system can thus be instantiated from the framework simply by providing the specific components needed for the particular application.

The problem is that components (i.e. objects) are not normally the most variable elements of a software architecture - the interactions (i.e. functions) between components are. Indeed, the validity of this statement is one of the main grounds given for the superiority of the object-oriented approach over function oriented approaches. By providing an explicit representation of high-level interactions in terms of lower-level objects and interactions, a stratified architecture can facilitate component-based parameterization which much more closely matches the elements of highest variability in a domain. In other words, in contrast with a normal framework, based on a normal (single-level) architecture, a stratified framework can be parameterized with respect to components from various strata, not just the top level.

6 CONCLUSION

In this paper we have identified some of the major architectural issues affecting component-based software development, and have described what we believe to be the four predominant architectural dimensions.

Two other dimensions are also worthy of mention, and play a significant role in component-based development. The first of these is the dimension which deals with different versions and releases of components. In a sense this can be viewed as the *time* dimension, since versions are created and exist over time. In the terminology used previously this would be thought of as an intrinsic dimension since it involves the components themselves.

The second might best be thought of as the "representation" dimension, since it deals with the different ways in which a given component can be represented (e.g. graphically, textually etc.). As such it would be an extrinsic dimension, since it does not involve the components per se.

The architecture dimension explained in section 4 handles one particular form of refinement, albeit one of the most important, which is interaction refinement. However, there are numerous other forms of refinement which exists between different descriptions of the same phenomenon at different levels of abstraction. These different forms of refinement need to be distinguished from translation which describes a given phenomenon in a different way but at the same level of abstraction.

Of the various dimensions presented, the most unconventional is the architectural dimension which we believe is needed to address the interface vicissitude problem that arises whenever interaction refinement is

explicitly documented within a component-based system. After describing the details of the problem, we presented a solution based on the concepts of stratified architectures and object metamorphosis. We also explained how this approach could help in other important object oriented technologies. In particular, we briefly discussed how stratified frameworks could be designed to provide a more optimal representation of the high variability elements (i.e. hot spots) in most software domains; namely those related to component interactions.

The main question which remains unanswered in this paper is how these various dimensions relate to one another and which if any, is the most dominant. This question, as well as the other ideas presented in the paper, are the subject of ongoing research within the component engineering group at the university of Kaiserslautern, and the SOUND and KobrA projects at the Fraunhofer Institute for Experimental Software Engineering..

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