### COMPUTABLE DISTRIBUTIVE LATTICES

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ABSTRACT. It is known that the class of (not necessarily distributive) countable lattices is HKSS-universal, and it is also known that the class of countable linear orders is not universal with respect to degree spectra and computable categoricity. We investigate the intermediate class of *distributive* lattices.

We build the distributive lattice with the degree spectrum  $\{\mathbf{d} : \mathbf{d} \neq \mathbf{0}\}$ . It is not known whether a linear order with this property exists. We also show that there is a computably categorical distributive lattice which is not relatively  $\Delta_2^0$ -categorical. It is well-known that no linear order can have this property. We leave open whether countable distributive lattices are HKSS-universal.

#### 1. INTRODUCTION

Our investigations contribute to the general program that aims to understand computability-theoretic properties of countably infinite algebraic structures from common classes (such as groups, fields, linear orders etc). In this paper we study computability-theoretic properties of the class of distributive lattices. One way of comparing different classes is to study certain *effective invariants* that can be realized by a structure in the class. For example, the *degree spectrum* of a structure is the collection of all Turing degrees that can compute a copy of the structure. It is well-known that any  $low_4$  Boolean algebra is isomorphic to a recursive one [1]. In contrast, there exists an abelian group that has *non-low*<sub>3</sub>-degrees serving as its degree spectrum [2]. We can definitely conclude that the classes of countable Boolean algebras and countable abelian groups are substantially different from the computability-theoretic point of view. But which class is computationally "harder"? There are several ways to compare computability-theoretic complexity of two classes of structures, see e.g. [3, 4, 5].

Suppose we want to compare classes using some specific computability-theoretic invariant (or property) P such as degree spectra. Hirschfeldt, Khoussainov, Shore, and Slinko [3] introduced the notion of a class of structures which is *complete with respect to property* P. We follow [6] and call such classes *HKSS-complete*, and the property will usually be clear from the context. For the formal definition of a HKSScomplete class, the reader is referred to [3, Definition 1.21] (see also [6, Definition 4.6]). The idea is the following: If a countable structure has some interesting computability-theoretic property, then for any HKSS-complete class K, there is a structure  $S \in K$  possessing the same property. In other words, the notion of HKSS-completeness tries to capture the intuitive notion of computability-theoretic universality of the class. As has been discovered in [5], HKSS-completeness is typically witnessed by a  $\mathcal{L}^c_{\omega_1\omega}$ -definable functor from any countable class to the universal class, we omit details. The most important effective properties include

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degree spectra, effective dimension, expansion by constants, and degree spectra of relations, see [3] for definitions and discussion. We typically aim to show that a class is complete with respect to all these properties, and indeed we are not aware of any natural example of a class that would be complete with respect to some of these properties but not the other ones.

Hirschfeldt, Khoussainov, Shore, and Slinko ([3, Theorem 3.3]) proved that the class of *non-distributive* lattices is HKSS-complete. Hence, there is the following natural question:

**Problem.** Is the class of distributive lattices universal from the computabilitytheoretic point of view? More formally, is the class of distributive lattices HKSScomplete?

One special nice class of distributive lattices, namely the class of linear orders, has been studied intensively (see surveys [7, 8]). Although it is known that linear orders are not universal with respect to degree spectra (e.g., Richter [9]), many complex degree spectra can be realised in the class of linear orders [8, 10]. It is also well-known that linear orders are not universal with respect to computable categoricity and computable dimension. Recall that the  $\Delta^0_{\alpha}$ -dimension of a structure  $\mathcal{M}$  is the number of computable copies of the structure up to  $\Delta^0_{\alpha}$ -isomorphism. A structure is  $\Delta^0_{\alpha}$ -categorical if its  $\Delta^0_{\alpha}$ -dimension is 1. Much work has been done on  $\Delta^0_{\alpha}$ -categoricity and  $\Delta^0_{\alpha}$ -dimension of linear orders. For instance, Goncharov and Dzgoev [11] and independently, Remmel [12] proved that for a computable linear order  $\mathcal{L}$ , the following conditions are equivalent:

- (1)  $\mathcal{L}$  is computably categorical,
- (2)  $\mathcal{L}$  is relatively computably categorical,
- (3) the successor relation of  $\mathcal{L}$  is a finite set.

Furthermore, Goncharov and Dzgoev [11] also proved that the computable dimension (i.e., the  $\Delta_1^0$ -dimension) of a computable linear order is either 1 or  $\omega$ . Ash [13] obtained a complete and satisfactory description of  $\Delta_{\alpha}^0$ -categorical well-orders, for any computable  $\alpha$ . McCoy [14, 15] studied  $\Delta_2^0$ - and  $\Delta_3^0$ -categorical linear orders. Frolov [16] has proved that there exists a linear order that is  $\Delta_3^0$ -categorical but not relatively  $\Delta_3^0$ -categorical.

Nonetheless, not much is known about distributive lattices which are not necessarily linearly ordered. Selivanov [17] investigated computably enumerable distributive lattices. Turlington [18] proved that for any Turing degree **d**, there is a countable distributive lattice with the degree spectrum { $\mathbf{c} : \mathbf{c} \ge \mathbf{d}$ }. Bazhenov [19] showed the following: For any computable successor ordinal  $\alpha \ge 4$  and any non-zero natural number n, there is a computable distributive lattice with  $\Delta_{\alpha}^{0}$ -dimension n.

Our results provide a good evidence that the class of countable distributive lattices *could be universal*. In particular, our results show that computable distributive lattices can possess some effective properties that computable linear orders cannot have. We leave open whether countable distributive lattices are HKSS-complete.

As the first main result, we prove:

**Theorem 1.1.** There exists a countable distributive lattice whose degree spectrum is exactly the non-computable Turing degrees.

In Section 3 we prove a more general result that allows to code any family of finite sets into a countable distributive lattice. To prove Theorem 1.1 it remains to

use the well-known result [20]. We note that it is still open whether there exists a linear order with such degree spectrum.

The second main result is:

**Theorem 1.2.** There is a computably categorical distributive lattice which is not relatively  $\Delta_2^0$ -categorical.

As far as we know, this is the first known example of this sort from a natural enough algebraic class that would not be HKSS-complete. (Here "natural" means "not specifically made up to satisfy the property"). In Section 4, we construct a computable distributive lattice which is computably categorical but not relatively computably categorical. This weaker result has a simpler proof. Note that this weaker result contrasts with the theorem on linear orders ([11, 12]) mentioned above. In Section 5, we extend the technique of Section 4 to prove Theorem 1.2.

#### 2. Preliminaries

We treat lattices as structures in the language  $L_0 = \{ \lor^2, \land^2 \}$ . Recall that a lattice is *bounded* if it has the least element 0 and the greatest element 1. For a lattice  $\mathcal{D}$ ,  $PO(\mathcal{D})$  denotes the partial order corresponding to  $\mathcal{D}$ .

Assume that  $\mathcal{L}$  is a linear order, and  $\{\mathcal{A}_n\}_{n \in \mathcal{L}}$  is a sequence of partial orders. The  $\mathcal{L}$ -sum of the sequence  $\{\mathcal{A}_n\}_{n \in \mathcal{L}}$  is the structure on the universe  $\{(x, n) : n \in \mathcal{L}, x \in \mathcal{A}_n\}$ . The ordering on the  $\mathcal{L}$ -sum is defined as follows:  $(x, n) \leq (y, m)$  iff  $n <_{\mathcal{L}} m$  or  $(n = m)\&(x \leq_{\mathcal{A}_n} y)$ . Let  $\mathbf{Sum}(\mathcal{A}_n; \mathcal{L})$  denote the  $\mathcal{L}$ -sum of the sequence  $\{\mathcal{A}_n\}_{n \in \mathcal{L}}$ .

It is not difficult to prove the following claim (e.g., use the ideas from [18, pp. 8–10]).

**Lemma 2.1.** Suppose that  $\mathcal{L}$  is a linear order and  $\{\mathcal{M}_n\}_{n \in \mathcal{L}}$  is a sequence of distributive lattices. Then the order  $\mathbf{Sum}(PO(\mathcal{M}_n); \mathcal{L})$  is a distributive lattice. Moreover, if the order  $\mathcal{L}$  and the sequence  $\{\mathcal{M}_n\}_{n \in \omega}$  are both computable, then there is a computable copy of the distributive lattice  $\mathbf{Sum}(PO(\mathcal{M}_n); \mathcal{L})$ .

Lemma 2.1 allows us to use the following notation.

Notation 2.1. If  $\mathcal{L}$  is an X-computable linear order and  $\{\mathcal{M}_n\}_{n\in\mathcal{L}}$  is an X-computable sequence of distributive lattices, then by  $\mathbf{Sum}(\mathcal{M}_n;\mathcal{L})$  we denote the natural X-computable copy of the distributive lattice  $\mathbf{Sum}(PO(\mathcal{M}_n);\mathcal{L})$ .

If the order  $\mathcal{L}$  has exactly k elements, then we will sometimes write  $\mathcal{M}_1 \oplus \mathcal{M}_2 \oplus \ldots \oplus \mathcal{M}_k$  in place of  $\mathbf{Sum}(\mathcal{M}_i; \mathcal{L})$ .

Let  $\eta$  denote the standard computable copy of the order of rationals. Fix a computable sequence of computable infinite sets  $\{Y_k\}_{k\in\omega}$  with the following properties:  $\bigcup_k Y_k = \mathbb{N}, Y_i \cap Y_j = \emptyset$  for  $i \neq j$ , and for any i and j, if  $a, b \in Y_i$  and  $a <_{\eta} b$ , then there is an element c such that  $c \in Y_j$  and  $a <_{\eta} c <_{\eta} b$ .

Assume that  $\{\mathcal{M}_n\}_{n\in\omega}$  is an X-computable sequence of distributive lattices. If  $a \in \eta$  and  $a \in Y_k$ , then set  $\mathcal{N}_a = \mathcal{M}_k$ . The shuffle sum of the sequence  $\{\mathcal{M}_n\}_{n\in\omega}$  (denoted by  $\mathbf{Shuf}(\mathcal{M}_n)$ ) is the structure  $\mathbf{Sum}(\mathcal{N}_a;\eta)$ .

**Proposition 2.1** (Selivanov [17, Lemma 1]). There exists a computable sequence of finite distributive lattices  $\{\mathcal{D}_n^0\}_{n\in\omega}$  such that for any  $i \neq j$ ,  $\mathcal{D}_i^0$  does not embed into  $\mathcal{D}_j^0$ .



FIGURE 1. The lattices  $\mathcal{D}_i^0$ .

Assume that  $\mathcal{A}$  and  $\mathcal{B}$  are bounded lattices. Then  $(\mathcal{A} \oplus_0 \mathcal{B})$  denotes the quotient lattice of  $(\mathcal{A} \oplus \mathcal{B})$  modulo the congruence

$$E_0 = \left\{ (1^{\mathcal{A}}, 0^{\mathcal{B}}), (0^{\mathcal{B}}, 1^{\mathcal{A}}) \right\} \cup \left\{ (x, x) : x \in \mathcal{A} \cup \mathcal{B} \right\}.$$

For a tuple of natural numbers  $\bar{a} = (a_1, a_2, \ldots, a_n)$ , let  $\mathcal{D}^0(\bar{a})$  denote the lattice  $(\mathcal{D}^0_{a_1} \oplus_0 \mathcal{D}^0_{a_2} \oplus_0 \ldots \oplus_0 \mathcal{D}^0_{a_n})$ .

#### 3. Degree spectra

The first main result of the paper (Theorem 1.1) follows from the more general fact below.

**Theorem 3.1.** Let S be a countable family of finite subsets of  $\omega$ . There is a countable distributive lattice  $\mathcal{D}_S$  such that the degree spectrum of  $\mathcal{D}_S$  is equal to the enumeration spectrum of the family S.

*Proof.* For a finite set  $F = \{a_0 <_{\omega} a_1 <_{\omega} \ldots <_{\omega} a_{n-1}\}$ , let

 $Perm(F) = \{(0, a_{\sigma(0)} + 1, a_{\sigma(1)} + 1, \dots, a_{\sigma(n-1)} + 1) : \sigma \text{ is a permutation of } n\}.$ 

Set  $Perm(\emptyset) = \{(0)\}$ . Fix a function  $h: \omega \to \omega^{<\omega}$  such that the range of h is equal to the set

$$U = \bigcup_{F \in \mathcal{S}} Perm(F).$$

For  $k \in \omega$ , let  $\mathcal{M}_k = \mathcal{D}^0(h(k))$ . We claim that the lattice  $\mathcal{D}_S = \mathbf{Shuf}(\mathcal{M}_k)$  satisfies the desired conditions.

First, assume that the Turing degree of a set X belongs to the enumeration spectrum of S. In other words, there is a computable function f(x) such that

$$\mathcal{S} = \left\{ W_{f(n)}^X : n \in \omega \right\}.$$

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For  $n, s \in \omega$ , we define the finite set

$$P_{n,s} = Perm\left(W_{f(n),s}^X\right).$$

We build the X-computable sequence of lattices. At step s, we work with a finite collection of finite lattices  $\mathcal{A}_{j}^{t,s}$ ,  $t \leq s$ ,  $j \leq p(t,s)$ . We ensure the following conditions:

- (1) for any  $n \leq s$  and  $\bar{a} \in P_{n,s}$ , there is an index  $j \leq p(n,s)$  such that the lattices  $\mathcal{D}^0(\bar{a})$  and  $\mathcal{A}_j^{n,s}$  are isomorphic,
- (2) for any  $n \leq s$  and  $j \leq p(n,s)$ , there is a tuple  $\bar{a} \in P_{n,s}$  such that  $\mathcal{A}_j^{n,s} \cong \mathcal{D}^0(\bar{a})$ , and
- (3)  $\mathcal{A}_{i}^{n,s} \subseteq \mathcal{A}_{i}^{n,s+1}$  for all n and j.

For example, assume that for some s, we have  $W_{f(0),s}^X = \{0,1\}$  and  $W_{f(0),s+1}^X = \{0,1,2\}$ . Then at step s, we had p(0,s) = 1 and there were finite lattices  $\mathcal{A}_0^{0,s} \cong \mathcal{D}^0(0,1,2)$  and  $\mathcal{A}_1^{0,s} \cong \mathcal{D}^0(0,2,1)$ . At step s+1, we set p(0,s+1) = 5 and we "glue" new copies of  $\mathcal{D}^0(3)$  on top of the lattices  $\mathcal{A}_0^{0,s}$  and  $\mathcal{A}_1^{0,s}$ . We define  $\mathcal{A}_j^{0,s+1}$ ,  $2 \leq j \leq 5$ , as fresh copies of the lattices  $\mathcal{D}^0(0,3,1,2)$ ,  $\mathcal{D}^0(0,3,2,1)$ ,  $\mathcal{D}^0(0,1,3,2)$ , and  $\mathcal{D}^0(0,2,3,1)$ , respectively.

For  $n \in \omega$  and  $j \leq \lim_{s \in \omega} p(n, s)$ , set  $\mathcal{N}_{n,j} = \bigcup_{s \in \omega} \mathcal{A}_j^{n,s}$ . Then it is not difficult to prove that the structure **Shuf**( $\mathcal{N}_{n,j}$ ) is an X-computable isomorphic copy of the lattice  $\mathcal{D}_S$ . Since the structure  $\mathcal{D}_S$  is not automorphically trivial, the result of Knight [21] implies that deg<sub>T</sub>(X) lies in the degree spectrum of  $\mathcal{D}_S$ .

Now assume that  $\mathcal{A}$  is an isomorphic copy of the lattice  $\mathcal{D}_{\mathcal{S}}$ , and **a** is the Turing degree of the atomic diagram of  $\mathcal{A}$ . First, we prove the following algebraic lemma.

**Lemma 3.1.** Assume that  $k \in \omega$  and  $g: \mathcal{D}_k^0 \hookrightarrow \mathcal{D}_S$  is an isomorphic embedding. Then there are an index  $n \in \omega$  and a lattice  $\mathcal{N} \subseteq \mathcal{D}_S$  with the following properties:

- (1)  $\mathcal{N}$  is a copy of  $\mathcal{M}_n$  in  $\mathcal{D}_S$ ,
- (2)  $h(n) = (a_0, a_1, \dots, a_{t-1}, k, a_{t+1}, a_{t+2}, \dots, a_p)$  for some  $p \in \omega$  and  $t \leq p$ , and
- (3)  $\mathcal{N} = \mathcal{N}_0 \oplus_0 \mathcal{N}_1 \oplus_0 \ldots \oplus_0 \mathcal{N}_{t-1} \oplus_0 g(\mathcal{D}_k^0) \oplus_0 \mathcal{N}_{t+1} \oplus_0 \mathcal{N}_{t+2} \oplus_0 \ldots \oplus_0 \mathcal{N}_p$ , where  $\mathcal{N}_i \cong \mathcal{D}_{a_i}^0$  for all i.

*Proof.* Here we give the sketch of the proof. The omitted details can be easily reconstructed or found in [17] or [18, pp. 68–71]. Note that if x and y are incomparable elements in  $\mathcal{D}_{\mathcal{S}}$ , then they must belong to the same copy of some  $\mathcal{M}_j$  in  $\mathcal{D}_{\mathcal{S}}$ . Hence, all the elements of  $g(\mathcal{D}_k^0)$  belong to the lattice  $\mathcal{N}$  which is the copy of  $\mathcal{M}_n$  (in  $\mathcal{D}_{\mathcal{S}}$ ) for some n.

Assume that  $h(n) = (a_0, a_1, \ldots, a_p)$  and  $\mathcal{N} = \mathcal{N}_0 \oplus_0 \mathcal{N}_1 \oplus_0 \ldots \oplus_0 \mathcal{N}_p$ , where  $\mathcal{N}_i \cong \mathcal{D}_{a_i}^0$  for all  $i \leq p$ . Then one can use essentially the same argument as in [18] to show that there is a number  $t \leq p$  such that  $g(\mathcal{D}_k^0) \subseteq \mathcal{N}_t$ . Therefore, we may assume that g is an isomorphic embedding from  $\mathcal{D}_k^0$  into  $\mathcal{D}_{a_t}^0$ . By Proposition 2.1, g is an isomorphism and  $a_t = k$ .

We describe the construction of an **a**-c.e. enumeration  $\nu^{\mathcal{A}}$  of the family  $\mathcal{S}$ . At step s, we build a finite sequence of tuples  $\bar{a}_0^s, \bar{a}_1^s, \ldots, \bar{a}_{q(s)}^s$  and a sequence of functions  $g_0^s, g_1^s, \ldots, g_{q(s)}^s$  such that for every  $i \leq q(s), g_i^s$  is an embedding of the lattice  $\mathcal{D}^0(\bar{a}_i^s)$  into  $\mathcal{A}$ , and  $g_i^s \subseteq g_i^{s+1}$ . Set q(0) = -1. At step s + 1, search for the least  $b \leq s$  and  $j \leq q(s)$  such that there is an embedding  $g^* \colon \mathcal{D}^0(\bar{a}_j^s, b) \hookrightarrow \mathcal{A}$  with the following properties:  $g_j^s \subseteq g^*$  and  $y \leq s$  for all y from the range of  $g^*$ . If such numbers b and j exist, then define  $\bar{a}_j^{s+1} = (\bar{a}_j^s, b)$  and  $g_j^{s+1} = g^*$ . After that, find the (least under Gödel numbering) function g' such that g' embeds  $\mathcal{D}_0^0$  into  $\mathcal{A}$  and  $g' \cap g_i^s = \emptyset$  for all  $i \leq q(s)$ . Set q(s+1) = q(s) + 1,  $\bar{a}_{q(s+1)}^{s+1} = (0)$ , and  $g_{q(s+1)}^{s+1} = g'$ . If  $i \leq q(s)$  and  $i \neq j$ , then define  $\bar{a}_i^{s+1} = \bar{a}_i^s$  and  $g_i^{s+1} = g_i^s$ .

For  $n \in \omega$ , let

 $\nu^{\mathcal{A}}(n) = \{ m \in \omega : \exists s \text{ (the number } (m+1) \text{ occurs in the tuple } \bar{a}_n^s \} \}.$ 

It is easy to see that the enumeration  $\nu^{\mathcal{A}}$  is **a**-c.e. Moreover, Lemma 3.1 implies that for every n, we have  $\nu^{\mathcal{A}}(n) \in \mathcal{S}$ . The choice of the function g' in the construction above guarantees that for every  $F \in \mathcal{S}$ , there is a number m such that  $\nu^{\mathcal{A}}(m) = F$ . Thus,  $\nu^{\mathcal{A}}$  is an enumeration of  $\mathcal{S}$  and **a** lies in the enumeration spectrum of  $\mathcal{S}$ . This concludes the proof of Theorem 3.1.

Wehner [20] proved that there is a family  $S_0$  of finite sets such that the enumeration spectrum of  $S_0$  contains precisely the non-zero degrees. This and Theorem 3.1 imply that distributive lattices can realize the degree spectrum obtained by Slaman [22] and Wehner [20], thus we have Theorem 1.1.

#### 4. Relative computable categoricity

Before we prove Theorem 1.2 we give a detailed proof of the simpler result below. Theorem 1.2 will have to deal with a more complicated coding components, but many features of the below construction will also appear in the proof of Theorem 1.2.

**Theorem 4.1.** There is a computable distributive lattice  $\mathcal{A}$  which is computably categorical but not relatively computably categorical.

*Proof.* Fix an effective enumeration  $\{(\Theta_e, \bar{c}_e)\}_{e \in \omega}$  of all c.e. families of existential  $L_0$ -formulas, where  $\Theta_e$  is a family of formulas with parameters from  $\bar{c}_e$ . Without loss of generality, we may assume that every  $\Theta_e$  satisfies the following conditions:

(1) every formula  $\psi(\bar{x}, \bar{c}_e) \in \Theta_e$  is of the form

 $\psi = \exists y_1 \exists y_2 \dots \exists y_n (\phi_1(\bar{x}, \bar{y}, \bar{c}_e) \& \dots \& \phi_k(\bar{x}, \bar{y}, \bar{c}_e)),$ 

where every  $\phi_i$  is either atomic, or negation of an atomic formula, and

- (2) if  $\phi$  is an atomic subformula of some  $\psi \in \Theta_e$ , then  $\phi$  satisfies one of the following:
  - (2a)  $\phi = (t_0 = t_1)$ , where each  $t_i$  is either a constant from  $\bar{c}_e$  or a variable,
  - (2b)  $\phi = (t_0 * t_1 = t_2)$ , where  $* \in \{\lor, \land\}$  and each  $t_i$  is either a constant from  $\bar{c}_e$  or a variable.

We also fix an effective enumeration  $\{\mathcal{M}_e\}_{e \in \omega}$  of all computable (partial)  $L_0$ -structures.

The computable distributive lattice  $\mathcal{A}$  will be isomorphic to the shuffle sum **Shuf**( $\mathcal{B}_k$ ), where each of the structures  $\mathcal{B}_k$  has the following property: there is a number  $r \in \omega$  such that  $\mathcal{B}_k$  is isomorphic to one of the lattices  $\mathcal{D}^0(2r)$ ,  $\mathcal{D}^0(2r, 2r+1)$ , or  $\mathcal{D}^0(2r+1, 2r)$ .

We build the structure  $\mathcal{A}$  and satisfy the following requirements:

 $\mathcal{R}$ :  $\mathcal{A}$  is a shuffle sum.

 $\mathcal{I}_e$ : If  $\mathcal{M}_e \cong \mathcal{A}$ , then  $\mathcal{M}_e \cong_{\Delta_1^0} \mathcal{A}$ .

 $S_j$ :  $(\Theta_j, \bar{c}_j)$  is not a Scott family for the structure A.

Fix a computable sequence of computable infinite, pairwise disjoint sets  $\{Y_k\}_{k\in\omega}$  such that  $\bigcup_k Y_k = \mathbb{N}$ . In addition, we assume that for any *i* and *j*, if  $a, b \in Y_i$  and  $a <_{\eta} b$ , then there is an element *c* such that  $c \in Y_j$  and  $a <_{\eta} c <_{\eta} b$ .

We say that a number a is a k-colored box if  $a \in Y_k$ . A lattice  $\mathcal{B}$  lies in the box a at stage s if  $\mathcal{B}$  is the sublattice of  $\mathcal{A}_s$  on the universe  $\{(x, a) : x \in \omega\} \cap |\mathcal{A}_s|$ . A box a is empty at stage s if  $\mathcal{A}_s$  has no elements of the form  $(x, a), x \in \omega$ . We use the following convention: if  $a <_{\eta} b$  and  $(x, a), (y, b) \in \mathcal{A}_s$ , then  $(x, a) <_{\mathcal{A}_s} (y, b)$ .

We ensure the following property: if two different k-colored boxes are non-empty at the end of stage s, then they must contain isomorphic finite lattices.

We have two basic actions for building  $\mathcal{A}$ :

(1) Putting a finite lattice  $\mathcal{N}$  into an empty box a: The strategy takes a fresh copy of the structure  $\mathcal{N}$  on the universe  $\{(x, a) : x \in F\}$ , where F is a finite set, and adds it to the lattice  $\mathcal{A}_s$ .

(2) Glueing a finite lattice  $\mathcal{N}$  to all k-colored boxes: For every non-empty k-colored box a, the strategy proceeds as follows. If the box a contains a lattice  $\mathcal{B}$ , then the strategy "glues" a fresh copy of  $\mathcal{N}$  on top of  $\mathcal{B}$ . After the procedure, the box a contains an isomorphic copy of  $(\mathcal{B} \oplus_0 \mathcal{N})$ .

At stage s, we say that a lattice  $\mathcal{N}_0$  is younger than a lattice  $\mathcal{N}_1$  if there are a color k and different k-colored boxes  $a_0$  and  $a_1$  such that:

- (1)  $\mathcal{N}_i$  lies in the box  $a_i$  at stage s, and
- (2) there is a stage t < s at which the box  $a_0$  was non-empty and  $a_1$  was empty.

**Strategy for meeting**  $\mathcal{R}$ . The only node working for the  $\mathcal{R}$ -requirement is  $\emptyset$  (i.e., the root of the tree of strategies). This node has only one outcome act. At each stage s, it proceeds as follows. Assume that  $k \in \omega$  and there is (the least) k-colored box a such that it contains a lattice  $\mathcal{N}$  at the beginning of the stage s. For every such k, the  $\mathcal{R}$ -strategy finds the least empty k-colored box b and puts  $\mathcal{N}$  into the box b.

Strategy for meeting  $S_j$ . Assume that  $\sigma$  is an  $S_j$ -strategy and

$$\Theta_i = \{ \exists \bar{y}_i \psi_i(\bar{x}_i, \bar{y}_i, \bar{c}_j) : i \in \omega \},\$$

where every  $\psi_i$  is a quantifier-free formula.

- (1) Choose a large number r and find the least k such that every k-colored box is empty at the current stage. Denote such k by  $c(\sigma)$ . Assume that  $a_0$  is the k-colored box with the least number. Put the lattice  $\mathcal{D}^0(2r)$  into the box  $a_0$  and fix the tuple  $\bar{b} = (b_0, b_1, \ldots, b_N)$  such that the newly added copy of  $\mathcal{D}^0(2r)$  has the universe  $\{b_0 <_{\omega} b_1 <_{\omega} \ldots <_{\omega} b_N\}$ . We choose  $\bar{b}$  such that  $b_i \notin \bar{c}_j$  for all  $i \leq N$ .
- (2) For every formula  $\psi_i$ ,  $i \leq s$ , search for a tuple  $\bar{d}$  such that  $\mathcal{A}_s \models \psi_i(\bar{b}, \bar{d}, \bar{c}_i)$ .
- (3) If such a formula and a tuple are found, glue the lattice  $\mathcal{D}^0(2r+1)$  to all *k*-colored boxes.
- (4) Wait until the next stage at which  $\sigma$  is accessible.
- (5) Find the least  $k_1$  such that every  $k_1$ -colored box is empty. Denote such  $k_1$  by  $c_1(\sigma)$ . Put a copy of  $\mathcal{D}^0(2r+1,2r)$  into the least empty  $k_1$ -colored box.

While the strategy is searching at Step (2), it has outcome wait. Once it found a formula  $\psi_i$  and a tuple  $\bar{d}$ , it has outcome act.

Strategy for meeting  $\mathcal{I}_e$ . Assume that  $\sigma$  is an  $\mathcal{I}_e$ -node on the tree of strategies. The node  $\sigma$  has outcomes  $\infty$ , 0, 1, 2, .... Let s be a current stage, and k be the number of stages less than s at which  $\sigma$  had outcome  $\infty$ .

The strategy  $\sigma$  builds the mapping  $f_{\sigma}$  using the back-and-forth method. We set  $f_{\sigma}[0] = \emptyset$ .

Let  $B(\sigma, s)$  be the substructure of  $\mathcal{A}_s$  such that it contains precisely the following elements:

- (i) for each  $\tau \subset \sigma$  such that  $\hat{\tau \text{wait}} \subseteq \sigma$ , the youngest (k+1) lattices lying in the  $c(\tau)$ -colored boxes;
- (ii) for each τ ⊂ σ such that τ act ⊆ σ and τ has reached Step (5), the youngest (k + 1) lattices in the c(τ)-colored boxes and the youngest (k + 1) lattices in the c<sub>1</sub>(τ)-colored boxes;
- (iii) for each  $\tau \not\subset \sigma$  such that  $\tau$  is incomparable with  $\sigma \mathbf{k}$  and  $\tau$  is an  $S_j$ -strategy, the youngest l lattices in the  $c(\tau)$ -colored boxes and the youngest  $l_1$  lattices in the  $c_1(\tau)$ -colored boxes. Here l ( $l_1$ ) is the minimum of k + 1 and the number of non-empty  $c(\tau)$ -colored ( $c_1(\tau)$ -colored) boxes in  $\mathcal{A}_s$ ;
- (iv) for each  $a \in \omega$  such that  $\{(x, a) : x \in \omega\} \cap dom(f_{\sigma}[s-1]) \neq \emptyset$ , the lattice lying in the box a.

At stage s, we search for an isomorphic embedding  $g: B(\sigma, s) \hookrightarrow \mathcal{M}_{e,s}$  such that  $g \supseteq f_{\sigma}[s-1]$ . If such an embedding g is found, then search for a sublattice  $\mathcal{C} \subseteq \mathcal{M}_{e,s}$  such that  $\mathcal{C}$  is isomorphic to one of the lattices described in the conditions (i)–(iii) above, and the universe of  $\mathcal{C}$  is disjoint with the range of g. If such a lattice found, then again search for an isomorphic embedding  $g_1: (g(B(\sigma, s))\cup \mathcal{C}) \hookrightarrow \mathcal{A}_s$  such that  $g_1 \supseteq g^{-1}$ . If such  $g_1$  found, then  $\sigma$  has outcome  $\infty$  at stage s and  $f_{\sigma}[s] = g_1^{-1}$ . Otherwise,  $\sigma$  has outcome k and  $f_{\sigma}[s] = f_{\sigma}[s-1]$ .

**Construction.** For an  $S_j$ -strategy, we order the outcomes as act < wait. For an  $\mathcal{I}_e$ -strategy, we order the outcomes as  $\infty < \ldots < 2 < 1 < 0$ . The 0th level of the priority tree is devoted to the  $\mathcal{R}$ -strategy. For the other levels, each level is devoted (in some effective fashion) to one of requirements  $S_j$  or  $\mathcal{I}_e$ . As usual, at stage s we visit strategies of length at most s, and they act in order of priority.

**Verification.** First, notice that actions of the  $\mathcal{R}$ -strategy ensure that  $\mathcal{A}$  is a shuffle sum of finite distributive lattices. Hence, by construction,  $\mathcal{A}$  is a computable distributive lattice. The next lemma is similar to Lemma 3.1.

**Lemma 4.1.** Assume that  $t \in \omega$  and  $g: \mathcal{D}_t^0 \hookrightarrow \mathcal{A}$  is an isomorphic embedding. Let r = [t/2]. There are  $b \in \omega$  and a finite lattice  $\mathcal{N} \subseteq \mathcal{A}$  with the following properties:

- (1)  $g(\mathcal{D}_t^0)$  is a sublattice of  $\mathcal{N}$ ,
- (2)  $\mathcal{N}$  is a structure on the universe  $\{(x,b): x \in \omega\} \cap |\mathcal{A}|,$
- (3) if t is odd, then there is a lattice  $\mathcal{N}_0 \cong \mathcal{D}^0(2r)$  such that  $\mathcal{N} = \mathcal{N}_0 \oplus_0 g(\mathcal{D}_t^0)$ or  $\mathcal{N} = g(\mathcal{D}_t^0) \oplus_0 \mathcal{N}_0$ ,
- (4) if t is even, then  $\mathcal{N}$  satisfies one of the following: (4a)  $\mathcal{N} = g(\mathcal{D}_t^0)$ , or
  - (4b) there is a lattice  $\mathcal{N}_1 \cong \mathcal{D}^0(2r+1)$  such that  $\mathcal{N} = g(\mathcal{D}_t^0) \oplus_0 \mathcal{N}_1$  or  $\mathcal{N} = \mathcal{N}_1 \oplus_0 g(\mathcal{D}_t^0).$

**Lemma 4.2.** The structure  $\mathcal{A}$  is computably categorical.

*Proof.* Assume that a structure  $\mathcal{M}_e$  is isomorphic to  $\mathcal{A}$  and  $\sigma$  is an  $\mathcal{I}_e$ -strategy along the true path.

For an  $S_j$ -strategy  $\tau$ , suppose that a lattice  $\mathcal{N}$  lies either in a  $c(\tau)$ -colored box, or in a  $c_1(\tau)$ -colored box. Consider the following four cases for  $\tau$ :

(1) Suppose that  $\tau \subset \sigma$ . Since  $\sigma$  is on the true path,  $\mathcal{N}$  will never grow once  $\sigma$  begins considering it. Thus, if  $\mathcal{N}$  lies in the domain of  $f_{\sigma}$ , then by Lemma 4.1,  $f_{\sigma}(\mathcal{N})$  is one of the summands in the shuffle sum  $\mathcal{M}_e$ . In other words, the mapping  $f_{\sigma}$  is correct on  $\mathcal{N}$ .

(2) If  $\tau$  is incomparable with  $\sigma$ , then  $\tau$  can never be visited after  $\sigma$  begins considering  $\mathcal{N}$ . Therefore, again,  $f_{\sigma}$  is correct on  $\mathcal{N}$ .

(3) Suppose that  $\tau \supseteq \widehat{\sigma \infty}$ . Then there are two subcases:

(3a) If the final outcome of  $\tau$  is wait, then, as in Case (1),  $f_{\sigma}$  is correct on  $\mathcal{N}$ .

(3b) Assume that the final outcome of  $\tau$  is act. If  $\tau$  never reaches Step (5), then there is r such that  $\mathcal{N} \cong \mathcal{D}^0(2r, 2r+1)$  and by Lemma 4.1,  $f_{\sigma}$  eventually will be correct on  $\mathcal{N}$ . Suppose that  $\tau$  reaches Step (5). Then the lattices added by  $\tau$  eventually will become isomorphic either to  $\mathcal{D}^0(2r, 2r+1)$  or to  $\mathcal{D}^0(2r+1, 2r)$  for some fixed r. Assume that  $s_0$  is the first stage at which  $\tau$  reaches Step (5). If at stage  $s_0$ ,  $\mathcal{N}[s_0]$  lies in the domain of  $f_{\sigma}[s_0]$ , then the choice of the mapping  $f_{\sigma}[s_0]$  guarantees that  $\mathcal{N}[s_0]$  is isomorphic to  $\mathcal{D}^0(2r, 2r+1)$ . Thus, after stage  $s_0$ ,  $\mathcal{N}$  never grows and  $f_{\sigma}$  is correct on  $\mathcal{N}$ .

(4) Suppose that  $\tau \supseteq \sigma \mathbf{k}$  for some  $k \in \omega$ . If  $\sigma$  considers  $\mathcal{N}$  at some stage s, then  $\sigma$  has had outcome  $\infty$  more than k times by stage s. Hence,  $\mathcal{N}$  will never grow and  $f_{\sigma}$  is correct on  $\mathcal{N}$ .

The argument above proves the following claim. If  $\mathcal{N}$  is a summand from the shuffle sum  $\mathcal{A}$  and there is a stage s such that  $\mathcal{N}[s] \cap dom(f_{\sigma}[s]) \neq \emptyset$ , then  $f_{\sigma}(\mathcal{N})$  is a summand in the shuffle sum  $\mathcal{M}_{e}$ .

We now show that the node  $\sigma \propto \alpha$  also belongs to the true path. In order to prove this, assume that k is the true outcome of  $\sigma$ . Thus, k is the number of all stages at which  $\sigma$  had outcome  $\infty$ . Let  $s_0$  be the last stage at which  $\sigma$  had outcome  $\infty$ . Since  $\mathcal{M}_e \cong \mathcal{A}$  and  $f_{\sigma}$  is correct on every summand from  $\mathcal{A}$ , one can find a stage  $s > s_0$  such that  $\sigma$  has outcome  $\infty$  at stage s. This is a contradiction. Hence,  $\infty$ is the true outcome of  $\sigma$ .

Since  $f_{\sigma}$  uses the back-and-forth construction,  $f_{\sigma}$  is a computable isomorphism from  $\mathcal{A}$  onto  $\mathcal{M}_e$ .

#### **Lemma 4.3.** The lattice $\mathcal{A}$ is not relatively computably categorical.

Proof. Assume that  $\sigma$  is an  $S_j$ -strategy along the true path. Recall that  $\overline{b} = b_0, b_1, \ldots, b_N$  is the tuple from Step (1) of the  $S_j$ -strategy  $\sigma$ . If the true outcome of  $\sigma$  is wait, then there is no formula  $\psi \in \Theta_j$  such that  $\mathcal{A} \models \psi(\overline{b})$ . Suppose that the true outcome of  $\sigma$  is act. Fix the formula  $\psi_i$  and the tuple  $\overline{d}$  from Step (2) for  $\sigma$ . Let  $\mathcal{N}$  be the summand of  $\mathcal{A}$  such that  $\overline{b} \in \mathcal{N}$ . Note that  $\mathcal{N} \cong \mathcal{D}^0(2r, 2r+1)$ .

Since  $\mathcal{A}$  is a shuffle sum, there is a summand  $\mathcal{N}'$  in  $\mathcal{A}$  with the following properties:

- For every  $y \in \mathcal{N}', y \notin (\bar{c}_i \cup \bar{d})$ .
- $\mathcal{N}' = \mathcal{N}'_0 \oplus_0 \mathcal{N}'_1$ , where  $\mathcal{N}'_0 \cong \mathcal{D}^0(2r+1)$  and  $\mathcal{N}'_1 \cong \mathcal{D}^0(2r)$ .
- Fix a mapping  $g: \bar{b} \to \mathcal{N}'_1$  such that g is an isomorphism of lattices, and set  $b'_i = g(b_i)$  for all  $i \leq N$ . Then for every  $x \in (\bar{c}_i \cup \bar{d}) \setminus \bar{b}$  and every  $i \leq N$ ,

we have

 $(x \leq_{\mathcal{A}} b_i \text{ iff } x \leq_{\mathcal{A}} b'_i), \text{ and } (b_i \leq_{\mathcal{A}} x \text{ iff } b'_i \leq_{\mathcal{A}} x).$ 

Suppose that  $d_k \in \overline{d}$ . Then set  $d'_k = b'_i$  if there is a number  $i \leq N$  such that  $d_k = b_i$ . Otherwise, define  $d'_k = d_k$ .

Since  $\psi_i$  is a quantifier-free formula and  $\exists \bar{y}_i \psi_i \in \Theta_j$ , it is not difficult to show that  $\mathcal{A} \models \psi_i(\bar{b}', \bar{d}', \bar{c}_j)$ . Therefore, the tuples  $\bar{b}$  and  $\bar{b}'$  are not automorphic and they satisfy the same formula from  $\Theta_j$ . Thus,  $\Theta_j$  is not a Scott family for  $\mathcal{A}$ .  $\Box$ 

This concludes the proof of Theorem 4.1.

## 5. Relative $\Delta_2^0$ -categoricity

In this section we prove Theorem 1.2: There exists a computable distributive lattice  $\mathcal{A}$  which is computably categorical but not relatively  $\Delta_2^0$ -categorical.

*Proof of Theorem 1.2.* The proof is an extension of the proof of Theorem 4.1. The notation is the same as in Theorem 4.1.

For a computable structure  $\mathcal{M}$  and a  $\Sigma_2^c$ -formula  $\psi$ , the statement " $\mathcal{M} \models \psi(\bar{x}, \bar{c})$ " is effectively equivalent to the formula  $(\forall^{\infty} y)\phi(\bar{x}, \bar{c}, y)$  for some computable relation  $\phi$ . Thus, we fix an effective enumeration  $\{(\Theta_j, \bar{c}_j)\}_{j \in \omega}$  of all c.e. families of formulas of this form, and we will diagonalize against  $(\Theta_j, \bar{c}_j), j \in \omega$ .

Again, we satisfy the following requirements:

 $\mathcal{R}$ :  $\mathcal{A}$  is a shuffle sum.

 $\mathcal{I}_e$ : If  $\mathcal{M}_e \cong \mathcal{A}$ , then  $\mathcal{M}_e \cong_{\Delta_1^0} \mathcal{A}$ .

 $\mathcal{S}_j$ :  $(\Theta_j, \bar{c}_j)$  is not a Scott family for  $\mathcal{A}$ .

The lattice  $\mathcal{A}$  will be isomorphic to the shuffle sum  $\mathbf{Shuf}(\mathcal{B}_k)$ , where each of the structures  $\mathcal{B}_k$  is isomorphic to one of the following (for some  $r, q \in \omega$ ):

- (A)  $\mathcal{D}^0(2^r(2q+3), 2^r(2q+1), \dots, 2^r \cdot 3) \oplus_0 \mathcal{D}^0(2^r, 2^r \cdot 3, \dots, 2^r(2q+1)),$
- (B)  $\mathcal{D}^{0}(2^{r}(2q+1), 2^{r}(2q-1), \dots, 2^{r} \cdot 3) \oplus_{0} \mathcal{D}^{0}(2^{r}, 2^{r} \cdot 3, \dots, 2^{r}(2q+3)),$
- (C)  $\mathcal{D}^{0}(2^{r}(2q+5),2^{r}(2q+3),\ldots,2^{r}\cdot 3) \oplus_{0} \mathcal{D}^{0}(2^{r},2^{r}\cdot 3,\ldots,2^{r}(2q+1)),$
- (D)  $\mathcal{D}^{0}(2^{r}(2q+1), 2^{r}(2q-1), \dots, 2^{r} \cdot 3) \oplus_{0} \mathcal{D}^{0}(2^{r}, 2^{r} \cdot 3, \dots, 2^{r}(2q+5))$ , or
- (E) the infinite sum  $\mathcal{D}^0(\ldots, 2^r \cdot 5, 2^r \cdot 3, 2^r, 2^r \cdot 3, 2^r \cdot 5, \ldots)$ .

Assume that  $\mathcal{N}_0$  and  $\mathcal{N}_1$  are finite lattices. We define the following additional action for building  $\mathcal{A}$ :

 $[\mathcal{N}_0, \mathcal{N}_1]$ -Gluing for all k-colored boxes: For every non-empty k-colored box a, one proceeds as follows. If the box a contains a lattice  $\mathcal{B}$ , then we glue a fresh copy of  $\mathcal{N}_0$  to bottom of  $\mathcal{B}$ , and glue a copy of  $\mathcal{N}_1$  on top of  $\mathcal{B}$ . In other words, the procedure constructs an isomorphic copy of  $(\mathcal{N}_0 \oplus_0 \mathcal{B} \oplus_0 \mathcal{N}_1)$  in the box a.

The strategy for meeting  $\mathcal{R}$  is the same as in Theorem 4.1.

Strategy for meeting  $S_j$ . Suppose that  $\sigma$  is an  $S_j$ -strategy. The node  $\sigma$  has outcomes  $\infty$ , 0, 1, 2, .... Let s be a current stage, and k be the number of stages less than s at which  $\sigma$  had outcome  $\infty$ . Suppose that

$$\Theta_j = \{ (\forall^{\infty} y) \psi_i(\bar{x}_i, \bar{c}_j, y) : i \in \omega \},\$$

where every  $\psi_i$  is a computable relation. In the description below, we assume that all newly added elements do not belong to  $\bar{c}_j$ . Set  $v_0 = w_0 = -1$  and  $q_0 = 3$ .

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- (1) Let t < s be the last stage at which  $\sigma$  was accessible. If there is no such stage, then set t = 0.
- (2) If t = 0 (i.e., s is the first stage at which  $\sigma$  is visited), then choose a large number r. For each  $i \in \{1, 2\}$ , proceed as follows. Find the least number  $m_i$  such that every  $m_i$ -colored box is empty. Denote  $m_i$  by  $c_i(\sigma)$ . Let  $a_i$  be the  $m_i$ -colored box with the least number. Put the lattice  $\mathcal{D}^0(2^r)$  into the box  $a_i$ . Fix the tuple  $\bar{b}_i$  which constitutes the universe of the newly added copy of  $\mathcal{D}^0(2^r)$ . If i = 1, then do  $[0, \mathcal{D}^0(2^r \cdot 3)]$ -gluing for the  $m_1$ -colored box. If i = 2, then do  $[\mathcal{D}^0(2^r \cdot 3), 0]$ -gluing for the  $m_2$ -colored box.
- (3) If  $t \neq 0$  and  $\sigma$  had outcome  $\infty$  at stage t, then we do  $[\mathcal{D}^0(2^r(q_t-2)), 0]$ -gluing for all  $c_1(\sigma)$ -colored boxes, and  $[0, \mathcal{D}^0(2^r(q_t-2))]$ -gluing for all  $c_2(\sigma)$ -colored boxes.
- (4) Find the least (under Gödel numbering) pair  $(v_s, w_s)$  such that  $v_s \leq s$ ,  $w_s \leq s$ , and both  $\psi_{w_s}(\bar{b}_1, \bar{c}_j, y)$  and  $\psi_{w_s}(\bar{b}_2, \bar{c}_j, y)$  are true for all y with  $v_s \leq y \leq s$ . If there is no such pair, then set  $v_s = w_s = -1$ .
- (5) If t = 0 or  $(v_s, w_s) = (v_t, w_t)$ , then  $\sigma$  has outcome k and  $q_s = q_t$ .
- (6) Otherwise, do  $[0, \mathcal{D}^0(2^r(q_t+2))]$ -gluing for all  $c_1(\sigma)$ -colored boxes and do  $[\mathcal{D}^0(2^r(q_t+2)), 0]$ -gluing for all  $c_2(\sigma)$ -colored boxes. The strategy  $\sigma$  has outcome  $\infty$  and  $q_s = q_t + 2$ .

Strategy for meeting  $\mathcal{I}_e$ . Let  $\sigma$  be an  $\mathcal{I}_e$ -node in the tree of strategies. The actions of  $\sigma$  are the same as in Theorem 4.1, modulo the following modifications in the definition of the structure  $B(\sigma, s)$ :

- In (i), we need to consider strategies τ ⊂ σ such that τ̂m ⊆ σ for some m ∈ ω.
- In (ii), we deal with strategies  $\tau \subset \sigma$  such that  $\widehat{\tau \infty} \subseteq \sigma$ . Obviously, here we need not talk about Step (5).

**Construction.** Again, we order the outcomes as  $\infty < \ldots < 2 < 1 < 0$ , and the 0th level of our priority tree is devoted to the  $\mathcal{R}$ -strategy. As usual, the tree of strategies is organized in some effective fashion, and the strategies act in order of priority.

**Verification.** Note that the constructed structure  $\mathcal{A}$  is a shuffle sum of distributive lattices.

#### **Lemma 5.1.** The lattice $\mathcal{A}$ is computably categorical.

*Proof.* Suppose that  $\mathcal{M}_e \cong \mathcal{A}$  and  $\sigma$  is an  $\mathcal{I}_e$ -strategy along the true path. Consider an  $\mathcal{S}_j$ -strategy  $\tau$  and a lattice  $\mathcal{N} \subseteq \mathcal{A}$  such that  $\mathcal{N}$  lies in a  $c_i(\tau)$ -colored box for some  $i \in \{1, 2\}$ . First, we need to prove the following:

**Claim.** For every  $x \in \mathcal{N}$ ,  $f^{\sigma}(x)$  is eventually defined. Moreover,  $f_{\sigma}(\mathcal{N})$  is a summand in the shuffle sum  $\mathcal{M}_{e}$ .

We consider the only non-trivial case for  $\tau$ : we assume that  $\tau \supseteq \widehat{\sigma \infty}$ . Let  $s_0$  be the first stage at which  $\tau$  was accessible. For simplicity, suppose that our  $\tau$  chooses r = 0, and  $\mathcal{N}[s_0] \cong \mathcal{D}^0(1,3)$ .

Since  $\mathcal{M}_e$  is isomorphic to  $\mathcal{A}$ , there is the least stage  $s'_0 > s_0$  such that  $\mathcal{N}[s_0] \subseteq dom(f_{\sigma}[s'_0])$ . Similarly to Lemma 4.2, one can show that all the elements of  $f_{\sigma}(\mathcal{N})[s'_0]$  lie in the same summand of  $\mathcal{M}_e$ . Hence, if  $\tau$  never has outcome  $\infty$ , then  $f_{\sigma}$  is correct on  $\mathcal{N}$ .

Let  $s_1$  be the first stage at which  $\tau$  has outcome  $\infty$ . Evidently,  $s_1 \geq s'_0$ . We have  $\mathcal{N}[s_1] \cong \mathcal{D}^0(1,3,5)$ , and after stage  $s_1$ , the strategy  $\sigma$  tries to extend  $f_\sigma$  to  $\mathcal{N}[s_1]$ . If  $\sigma$  fails to do it, then we consider the summand  $\mathcal{B}$  in  $\mathcal{M}_e$  which contains  $f_\sigma(\mathcal{N})[s'_0]$ . The failure of  $\sigma$  implies that the lattice  $\mathcal{B}$  is isomorphic neither to  $\mathcal{D}^0(1,3,5)$ , nor to  $\mathcal{D}^0(5,3,1)$ . Thus  $\mathcal{M}_e \ncong \mathcal{A}$ ; a contradiction. Therefore, there is the first stage  $s'_1 > s_1$  such that  $\mathcal{N}[s_1] \subseteq dom(f_\sigma[s'_1])$ .

If  $\tau$  is never visited after stage  $s_1$ , then again,  $f_{\sigma}$  is correct on  $\mathcal{N}$ . Therefore, consider the first stage  $s_2 > s_1$  at which  $\tau$  is visited. Note that  $s_2 \ge s'_1$  and  $\mathcal{N}[s_2] \cong \mathcal{D}^0(3, 1, 3, 5)$ . If  $\sigma$  fails to extend  $f_{\sigma}$  to  $\mathcal{N}[s_2]$ , then the summand  $\mathcal{B}$  is isomorphic neither to  $\mathcal{D}^0(3, 1, 3, 5)$ , nor to  $\mathcal{D}^0(5, 3, 1, 3)$ . This implies that  $\mathcal{M}_e \cong \mathcal{A}$ . Hence, we can find the least  $s'_2 > s_2$  such that  $\mathcal{N}[s_2] \subseteq dom(f_{\sigma}[s'_2])$ . Using the same reasoning, one proceeds to costruct the sequence of stages  $s_0 < s'_0 \le s_1 < s'_1 \le \ldots$ such that for every  $m \in \omega$ ,

$$\mathcal{N}[s_{2m+1}] \cong \mathcal{N}[s_{2m}] \oplus_0 \mathcal{D}^0(2m+5), \quad \mathcal{N}[s_{2m+2}] \cong \mathcal{D}^0(2m+3) \oplus_0 \mathcal{N}[s_{2m+1}],$$

and  $\mathcal{N}[s_m] \subseteq dom(f_{\sigma}[s'_m]).$ 

The argument above shows that the structure  $\mathcal{N}$  satisfies one of the two cases:

- (a)  $\mathcal{N}$  is isomorphic to one of the lattices from (A)–(D), and there is a stage  $s^*$  such that  $\mathcal{N}[s^*] \subseteq dom(f_{\sigma}[s^*])$  and  $\mathcal{N}[s] = \mathcal{N}[s^*]$  for all  $s \geq s^*$ ; or
- (b)  $\mathcal{N}$  is isomorphic to the lattice from (E), and for every  $x \in \mathcal{N}$ , there is a stage s such that  $x \in dom(f_{\sigma}[s])$ .

In both cases, the mapping  $f_{\sigma}$  is correct on  $\mathcal{N}$ . This concludes our informal explanation.

Using the claim, it is not difficult to prove that the node  $\widehat{\sigma}\infty$  belongs to the true path and  $f_{\sigma}$  is a computable isomorphism from  $\mathcal{A}$  onto  $\mathcal{M}_e$ .

**Lemma 5.2.** The structure  $\mathcal{A}$  is not relatively  $\Delta_2^0$ -categorical.

*Proof.* Suppose that  $\Theta_j$  is a Scott family for the lattice  $\mathcal{A}$ . Let  $\sigma$  be the  $\mathcal{S}_j$ -strategy along the true path. Fix the tuples  $\bar{b}_1$  and  $\bar{b}_2$  from Step (2) for the node  $\sigma$ .

First, assume that there is  $\psi \in \Theta_j$  such that

(1) 
$$\mathcal{A} \models \psi(b_1) \& \psi(b_2).$$

Fix the least *i* such that the formula  $\psi = (\forall^{\infty} y)\psi_i(\bar{x}_i, \bar{c}_j, y)$  satisfies (1). We find a stage  $s^*$  and a number  $v^*$  such that  $(v_s, w_s) = (v^*, i)$  for all  $s \ge s^*$ . Let *m* be the number of all stages  $t \le s^*$  such that  $\sigma$  has outcome  $\infty$  at stage *t*. After stage  $s^*$ , the outcome of  $\sigma$  is always *m*. This implies that the tuple  $\bar{b}_1$  lies in one of the lattices from (B), and  $\bar{b}_2$  belongs to a lattice from (A). Hence, the tuples  $\bar{b}_1$  and  $\bar{b}_2$ are not automorphic, and  $\Theta_j$  cannot be a Scott family for  $\mathcal{A}$ .

Now assume that there is no formula  $\psi \in \Theta_j$  with the property (1). Then the value  $(v_s, w_s)$  changes infinitely often, and the true outcome of  $\sigma$  is  $\infty$ . Thus, each  $\bar{b}_i$  lies in the infinite lattice from (E), and the tuples  $\bar{b}_1$  and  $\bar{b}_2$  are automorphic. This contradicts the assumption that  $\Theta_j$  is a Scott family for  $\mathcal{A}$ .

This concludes the proof of Theorem 1.2.

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