

# *A Hierarchy Degrees*

Rod Downey  
Victoria University  
Wellington  
New Zealand

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## MOTIVATION

- Understanding the **dynamic** nature of constructions, and **definability** in the natural structures of computability theory such as the computably enumerable sets and degree classes.
- Beautiful examples: (i) definable solution to Post's problem of Harrington and Soare  
(ii) definability of the double jump classes for c.e. sets of Cholak and Harrington

- (iii) (Nies, Shore, Slaman) Any relation on the c.e. degrees invariant under the double jump is definable in the c.e. degrees iff it is definable in first order arithmetic.
- The proof of (i) and (ii) come from analysing the way the automorphism machinery fails. (ii) only gives  $L_{\omega_1, \omega}$  definitions.

## NATURAL DEFINABILITY

- This work is devoted to trying to find “natural” definitions.
- For instance, the NSS Theorem involves coding a standard model of arithmetic into the c.e. degrees, using parameters, and then dividing out by a suitable equivalence relation to get the (absolute) definability result.
- As articulated by Shore, we seek **natural** (e.g something that a lattice theorist might come up with) definable classes as per the following.

- (Ambos-Spies, Jockusch, Shore, and Soare) A c.e. degree **a** is promptly simple iff it is not cappable. (Ambos-Spies, Jockusch, Shore, and Soare)
- (Downey and Lempp) A c.e. degree **a** is contiguous iff it is locally distributive, meaning that

$$\forall \mathbf{a}_1, \mathbf{a}_2, \mathbf{b} (\mathbf{a}_1 \cup \mathbf{a}_2 = \mathbf{a} \wedge \mathbf{b} \leq \mathbf{a} \rightarrow \\ \exists \mathbf{b}_1, \mathbf{b}_2 (\mathbf{b}_1 \cup \mathbf{b}_2 = \mathbf{b} \\ \wedge \mathbf{b}_1 \leq \mathbf{a}_1 \wedge \mathbf{b}_2 \leq \mathbf{a}_2)),$$

holds in the c.e. degrees.

- (Ambos-Spies and Fejer) A c.e. degree **a** is contiguous iff it is not the top of the non-modular 5 element lattice in the c.e. degrees.

- (Downey and Shore) A c.e. truth table degree is  $\text{low}_2$  iff it has no minimal cover in the c.e. truth table degrees.
- (Ismukhametov) A c.e. degree is array computable iff it has a strong minimal cover in the degrees.

## SECOND (AND MAIN) MOTIVATION: UNIFICATION

- It is quite rare in computability theory to find a single class of degrees which capture precisely the underlying dynamics of a wide class of apparently similar constructions.
- Example: promptly simple degrees again.
- Martin identified the high c.e. degrees as the ones arising from dense simple, maximal, hh-simple and other similar kinds of c.e. sets constructions.
- $\text{low}_2$  degrees and lattice properties.
- K-trivials; lots of people, especially Nies and Hirschfeldt.

- Our inspiration was the the **array computable degrees**.
- These degrees were introduced by Downey, Jockusch and Stob
- This class was introduced by those authors to explain a number of natural “multiple permitting” arguments in computability theory.



- Definition: A degree  $\mathbf{a}$  is called **array noncomputable** iff for all functions  $f \leq_{wtt} \emptyset'$  there is a function  $g$  computable from  $\mathbf{a}$  such that

$$\exists^\infty x (g(x) > f(x)).$$

- Looks like “non-low<sub>2</sub>.”
- Indeed many nonlow<sub>2</sub> constructions can be run with only the above. For example, every anc degree bounds a 1-generic.

- c.e. and degree are those that:
- (Kummer) Contain c.e. sets of infinitely often maximal Kolmogorov complexity
- (Downey, Jockusch, and Stob) bound disjoint c.e. sets  $A$  and  $B$  such that every separating set for  $A$  and  $B$  computes the halting problem
- Exactly those that have integer valued randoms (D-Barnikow) and have packing dimension 1 (D-Greenberg).
- (Cholak, Coles, Downey, Herrmann) The array noncomputable c.e. degrees form an invariant class for the lattice of  $\Pi_1^0$  classes via the thin perfect classes

## THE FIRST CLASS

- (Downey, Greenberg, Weber, also J. Miller) We say that a c.e. degree  $\mathbf{a}$  is **totally  $\omega$ -c.a.** iff for all functions  $g \leq_T \mathbf{a}$ ,  $g$  is  $\omega$ -c.a.. That is, there is a computable approximation  $g(x) = \lim_s g(x, s)$ , and a computable function  $h$ , such that for all  $x$ ,

$$|\{s : g(x, s) \neq g(x, s + 1)\}| < h(x).$$

- array computability is a uniform version of this notion where  $h$  can be chosen independent of  $g$ . Since  $\mathbf{a}$  is **not** totally  $\omega$ -c.e. means that there is a function  $g \leq \mathbf{a}$ , such that for all  $f \leq_{wtt} \emptyset'$ ,  $\exists^\infty n (g(n) > f(n))$ . Note the quantifier swap from anc.
- So every array computable degree (and hence every contiguous degree) is totally  $\omega$ -c.a..

## AND LATTICE EMBEDDINGS

- Lattice embedding into the c.e. degrees. (Lerman, Lachlan, Lempp, Solomon etc.)
- One central notion:
- (Downey, Weinstein) Three incomparable c.e. degrees  $\mathbf{a}_0, \mathbf{b}, \mathbf{a}_1$  form a weak critical triple iff  $\mathbf{a}_0 \cup \mathbf{b} = \mathbf{a}_1 \cup \mathbf{b}$  and there is a c.e. degree  $\mathbf{c} \leq \mathbf{a}_0, \mathbf{a}_1$  with  $\mathbf{a}_0 \leq \mathbf{b} \cup \mathbf{c}$ .
- $\mathbf{a}, \mathbf{b}_0$  and  $\mathbf{b}_1$  form a *critical triple* in a lattice  $L$ , if  $\mathbf{a} \cup \mathbf{b}_0 = \mathbf{a} \cup \mathbf{b}_1$ ,  $\mathbf{b}_0 \not\leq \mathbf{a}$  and for  $\mathbf{d}$ , if  $\mathbf{d} \leq \mathbf{b}_0, \mathbf{b}_1$  then  $\mathbf{d} \leq \mathbf{a}$ .
- A lattice  $L$  has a weak critical triple iff it has a critical triple.
- Critical triples attempt to capture the “continuous tracing” needed in an embedding of the lattice  $M_5$  below, first embedded by Lachlan.

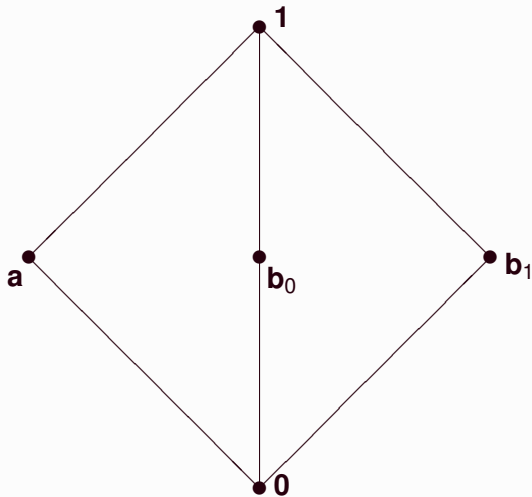


FIGURE: The lattice  $M_5$

### THEOREM (DOWNEY, WEINSTEIN)

*There are initial segments of the c.e. degrees where no lattice with a (weak) critical triple can be embedded.*

### THEOREM (DOWNEY AND SHORE)

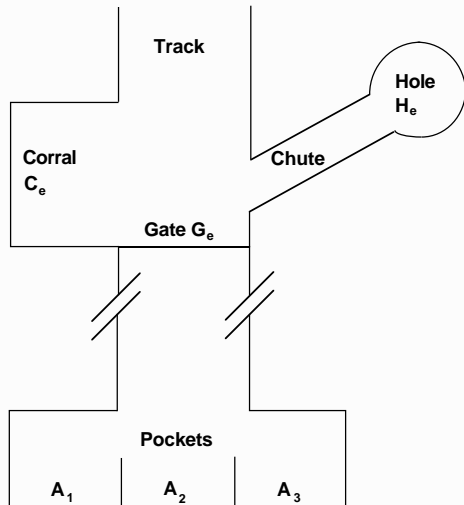
*If  $\mathbf{a}$  is non- $low_2$  then  $\mathbf{a}$  bounds a copy of  $M_5$ .*

### THEOREM (WALK)

*Constructed a array noncomputable c.e. degree bounding no weak critical triple,*

- and hence it was already known that array non-computability was not enough for such embeddings.

# ANALYSING THE CONSTRUCTION



- $P_{e,i} : \Phi_e^A \neq B_i (i \in \{0, 1, 2\}, e \in \omega)$ .
- $N_{e,i,j} : \Phi_e(B_i) = \Phi_e(B_j) = f$  total implies  $f$  computable in  $A$ ,  
 $(i, j \in \{0, 1, 2\}, i \neq j, e \in \omega)$
- Associate  $H_{\langle e,i \rangle}$  with  $P_{e,i}$  and gate  $G_{\langle e,i,j \rangle}$  with  $N_{e,i,j}$ .



- Balls may be follower balls (which are emitted from holes), or trace balls.
- $x = x_{e,n}^i$ . that  $x$  is a follower is targeted for  $A_i$  for the sake of requirement  $P_{e,i}$  and is our  $n^{\text{th}}$  attempt at satisfying  $P_{e,i}$ .
- otherwise it is a trace ball:  $t_{e,i,m}^j(x)$  which indicates it is targeted for  $B_j$  and is the  $m^{\text{th}}$  trace:
- at any stage  $s$  things look like:  
 $x_{e,n}^i, t_{e,i,1}^{j_1}, t_{e,i,2}^{j_2}, \dots, t_{e,i,m}^{j_m}$
- The key observation of Lachlan was that a requirement  $N_{e,i,j}$  is only concerned with entry of elements into both  $B_i$  and  $B_j$  between expansionary stages

- When a ball is sitting at a hole it either gets released or it gets a new trace.
- When released a 1-2 sequence, say, moves down together and then stops at the first unoccupied 1-2 gate. All but the last one are put in the corral. The last one is the **lead trace**.
- Here things need to go thru one ball at a time and we retarget the lead trace as a 1-3 sequence or a 2-3 sequence. The current last trace is targeted for 1 it is a 1-3 sequence, else a 2-3 sequence.

# ONE GATE

- The notion of a critical triple is reflected in the behaviour of **one gate**. This can be made precise with a tree argument.
- We have a 1-2 sequence with all but the last in the corral.
- the last needs to get thru. It's traces while waiting will be a 2-3 sequence, say. (or in the case of a critical triple, a sequence with a trace and a trace for the middle set  $A$ ).
- Once it enters its target set, the the next comes out of corral and so forth.
- Now suppose that we want to do this below a degree  $\mathbf{a}$ . We would have a **lower gate** where thru drop waiting for some permission by the relevant set  $D$ .
- **We know that if  $\mathbf{d}$  is not totally  $\omega$ -c.a. then we have a function  $g \leq_T D$ ,  $\Gamma^D = g$  which is not  $\omega$ -c.a. for any witness  $f$ .**
- We force this enumeration to be given in a stage by stage manner  $\Gamma^D = g[s]$ .
- We ignore gratuitous changes by the opponent.

- Now we try to build a  $\omega$  approximation to  $g$  to force  $D$  to give many permissions.
- thus, when the ball and its  $A$ -trace drop to the lower gate, then we enumerate an attempt at a  $\omega$ -c.a. approximation to  $\Gamma^D(n)[s]$ .
- This is repeated each time the ball needs some permission.

## A CHARACTERIZATION

### THEOREM (DOWNEY, GREENBERG, WEBER)

- (I) *Suppose that  $\mathbf{a}$  is totally  $\omega$ -c.a.. Then  $\mathbf{a}$  bounds no weak critical triple.*
- (II) *Suppose that  $\mathbf{a}$  is not totally  $\omega$ -c.a.. Then  $\mathbf{a}$  bounds a weak critical triple.*
- (III) *Hence, being totally  $\omega$ -c.a. is naturally definable in the c.e. degrees.*

- The proof of (i) involves simulating the Downey-Weinstein construction **enough** and guessing nonuniformly at the  $\omega$ -c.a. witness.
- The other direction is a tree argument simulating the “one gate” scenario, as outlined.

## A COROLLARY

- Recall, a set  $B$  is called **superlow** if  $B' \equiv_{tt} \emptyset'$ .

### THEOREM (DOWNEY, GREENBERG, WEBER)

*The low degrees and the superlow degrees are not elementarily equivalent. (Nies question)*

- Proof: There are low copies of  $M_5$ .
- Also: Cor. (DGW) There are c.e. degrees that are totally  $\omega$ -c.a. and not array computable.

## OTHER SIMILAR RESULTS

### THEOREM (DOWNEY, GREENBERG, WEBER)

A c.e. degree  $\mathbf{a}$  is totally  $\omega$ -c.a. iff there are c.e. sets  $A$ ,  $B$  and  $C$  of degree  $\leq_T \mathbf{a}$ , such that

- (I)  $A \equiv_T B$
- (II)  $A \not\leq_T C$
- (III) For all  $D \leq_{wtt} A, B$ ,  $D \leq_{wtt} C$ .

- (Downey and Greenberg) Actually  $D$  can be made as the infimum.



## PRESENTING REALS

- A real  $A$  is called left-c.e. if it is a limit of a computable non-decreasing sequence of rationals.
- (eg)  $\Omega = \sum_{U(\sigma)\downarrow} 2^{-|\sigma|}$ , the halting probability.
- A c.e. prefix-free set of strings  $A \in 2^{<\omega}$  **presents** left c.e. real  $\alpha$  if  $\alpha = \sum_{\sigma \in A} 2^{-|\sigma|} = \lambda(A)$ .

### THEOREM (DOWNEY AND LAFORTE)

*There exist noncomputable left c.e. reals  $\alpha$  whose only presentations are computable.*

## THEOREM (DOWNEY AND TERWIJN)

*The wtt degrees of presentations forms a  $\Sigma_3^0$  ideal. Any  $\Sigma_3^0$  ideal can be realized.*

## THEOREM (DOWNEY AND GREENBERG)

*The following are equivalent.*

- (I)  $\mathbf{a}$  is not totally  $\omega$ -c.a.
- (II)  $\mathbf{a}$  bounds a left c.e. real  $\alpha$  and a c.e. set  $B <_T \alpha$  such that if  $A$  presents  $\alpha$ , then  $A \leq_T B$ .

- For example, this generalizes work of Stephan and Wu who proved part of this for K-trivials, which of course are array computable.
- Notice that if  $\mathbf{a}$  is array computable, it means that we can always present it via prefix free set of the **same** degree.

# A HIERARCHY

- Lets re-analyse the 1-3-1 example.
- With more than one gate then when it drops down, it needs to have the same conditions met.
- That is, for each of the  $f(i)$  many values  $j$  at the first gate there is some value  $f(j, s)$  at the second.
- This suggest **ordinal notations**.
- (Strong Notation) Notations in Kleene's sense, except that we ask that the notation for an ordinal is given by an effective Cantor Normal Form.
- There is no problem for the for ordinals below  $\epsilon_0$ , and such notations are computably unique.

- Now we can define for a notation for an ordinal  $\mathcal{O}$ , a function to be  $\mathcal{O}$ -c.a. in an analogous way as we did for  $\omega$ -c.a..
- e.g.  $g$  is  $2\omega + 3$  c.e., if it had a computable approximation  $g(x, s)$ , which initially would allow at most 3 mind changes.
- Perhaps at some stage  $s_0$ , this might change to  $\omega + j$  for some  $j$ , and hence then we would be allowed  $j$  mind changes, and finally there could be a final change to some  $j'$  many mind changes.
- All  $\text{low}_2$ .

$\omega^\omega$

- Analysing the 1-3-1 case, you realize that **that** construction needs at least  $\omega^\omega$ .

**THEOREM (DOWNEY AND GREENBERG)**

***$\mathfrak{a}$  is not totally  $< \omega^\omega$ -c.a. iff  $\mathfrak{a}$  bounds a copy of  $M_5$ .***

- The proof in one way uses direct simulation of the pinball machine plus “not  $< \omega^\omega$ ” permissions, building functions at the gates. At gate  $n$  build at level  $\omega^n$  for each  $P_e$  of higher priority.
- In the reverse direction, we use level  $\omega$ -nonuniform arguments where the inductive strategies are based on the failure of the previous level. Kind of like a level  $\omega$  version of Lachlan non-diamond, using the Downey-Weinstein construction as a base.
- Corollary There are c.e. degrees that bound lattices with critical triples, yet do not bound copies of  $M_5$ .

# ADMISSIBLE RECURSION

## THEOREM (GREENBERG, THESIS)

Let  $\alpha > \omega$  be admissible. Let  $\mathbf{a}$  be an incomplete  $\alpha$ -ce degree. TFAE.

- (1)  $\mathbf{a}$  computes a counting of  $\alpha$
- (2)  $\mathbf{a}$  bounds a 1-3-1
- (3)  $\mathbf{a}$  bounds a critical triple.

- Uses a theorem of Shore that if  $\mathbf{a}$  computes a cofinal sequence iff it computes a counting. Then the weak critical triple machinery can actually have a limit. (Plus Maass-Freidman)

## THEOREM (DOWNEY AND GREENBERG)

Let  $\psi$  be the sentence “ $\mathbf{a}$  bounds a critical triple but not a 1-3-1” and let  $\alpha$  be admissible. Then  $\alpha$  satisfies  $\psi$  iff  $\alpha = \omega$ .

- This is the first natural difference between  $R_\omega$  and  $R_{\omega_1^{CK}}$ .
- Differences in Greenberg's thesis are all about coding.



## *m*-TOPPED DEGREES

- Recall that a c.e. degree  $\mathbf{a}$  is called *m*-topped if it contains a c.e. set  $A$  such that for all c.e.  $W \leq_T A$ ,  $W \leq_m A$ .

### THEOREM (DOWNEY AND JOCKUSCH)

*Incomplete ones exist, and are all  $low_2$ . None are low.*

### THEOREM (DOWNEY AND SHORE)

*If  $\mathbf{a}$  is a c.e.  $low_2$  degree then there is an *m*-topped incomplete degree  $\mathbf{b} > \mathbf{a}$ .*

## THEOREM (DOWNEY AND GREENBERG)

Suppose that  $\mathbf{b}$  is totally  $< \omega^\omega$ -c.a. Then  $\mathbf{a}$  bounds no  $m$ -topped degree.

- The point is that making an  $m$ -top is kind of like making  $\emptyset'$  on a tree:  $\Phi_e^A = W_e$  implies  $W_e \leq_m A$ , with  $\Phi_e^A \neq B$ .
- (Downey and Greenberg) There is, however, a totally  $\omega^\omega$  degree that is an  $m$ -top (and hence the full power of  $\text{nonlow}_2$  permitting is not needed), and arbitrarily complex degrees that are not.

## EXPLORING THE HIERARCHY

- Theorem (Downey and Greenberg) If  $n \neq m$  then the classes of totally  $\omega^n$ -c.a. and totally  $\omega^m$ -degrees are distinct. Also there is a c.e. degree **a** which is not totally  $< \omega^\omega$ -c.a. yet is totally  $\omega^\omega$ -c.a..
- Also totally  $< \omega^\omega$  not  $\omega^n$  for any  $n$ .
- This is also true at limit levels higher up.

## THEOREM (DOWNEY AND GREENBERG)

There are *maximal* (e.g.) totally  $\omega$ -c.a. degrees. These are totally  $\omega$ -c.a. and each degree above is *not* totally  $\omega$ -c.a. degree.

- Thus they are another definable class.
- As are maximal totally  $< \omega^\omega$ -c.a. degrees.

## THEOREM (DOWNEY AND GREENBERG)

***a** is totally  $\omega^2$ -c.a. implies there is some totally  $\omega$ -c.a. degree **b** below **a** with no critical triple embeddable in **[b, a]**.*

- Question: Are totally  $\omega^n$ -c.a. degrees all definable.
- Other assorted results about contiguity higher up.

## THE PROMPT CASE

- What about zero bottom? It is possible to get the infimum to be zero.
- (DG) For the classes  $\mathcal{C}$  above, we can define a notion of being **promptly**  $\mathcal{C}$  then show that if  $\mathbf{a}$  is such for the  $\omega$  case, then it bound a critical triple with infimum  $\mathbf{0}$ .
- (DG)  $\mathbf{a}$  bounds a pairs of separating classes the degrees of whose members form minimal pairs.
- etc.

## NORMAL NOTATIONS?

### THEOREM (DOWNEY AND GREENBERG)

*Suppose that  $\mathbf{a}$  is  $low_2$ . Then there is a notation  $\mathcal{O}$  relative to which  $\mathbf{a}$  is totally  $\omega^2$ -c.a.*

- $\Delta_3^0$  nonuniform version of Epstein-Haass-Kramer/Ershov.

## FINITE RANDOMNESS

- Replace tests by finite tests. Several variations.
- If no conditions then on  $\Delta_2^0$  reals MLR and finite random coincide.
- If the test  $\{V_n : n \in \omega\}$  has  $|V_n| < g(n)$  for computable  $g$ , we say it is computably finite random. (I.e. if it passes all such tests.)

### THEOREM (BRODHEAD, DOWNEY, NG)

*The c.e. degrees containing no such real are the totally  $\omega$ -c.a. degrees.*

- Compare with

### THEOREM (DOWNEY AND GREENBERG)

*The c.e. degrees containing sets of packing dimension 1 are exactly the anc degrees.*



## WORKING ABOVE SUCH DEGREES

- With George Barmpalias, Noam and I began to look at the effect of being able to compute such a degree, but with **strong reducibilities**.

### THEOREM (BARMPALIAS, DOWNEY, GREENBERG)

*Every set in (c.e.)  $\mathbf{a}$  is wtt reducible to a ranked one iff every set in  $\mathbf{a}$  is wtt reducible to a hypersimple set iff  $\mathbf{a}$  is totally  $\omega$ -c.a.*

### THEOREM (BARMPALIAS, DOWNEY, GREENBERG)

*A computably enumerable  $\mathbf{a}$  computes a pair of left c.e. reals with no upper bound in the cL degrees iff  $\mathbf{a}$  computes a left c.e. real not cL reducible to a random left c.e. real iff  $\mathbf{a}$  is anc.*

## OTHER WORK

- A set  $I$  is called **indifferent** for  $A$  and class  $C$  if changing  $A$  on any position in  $I$  keeps  $A$  in  $C$ .
- For example  $I$  is indifferent ifor  $A$  for 1-genericity if anything  $I$ -equivalent to  $A$  is 1-generic.
- (Day)  $\mathbf{a}$  can compute a 1-generic  $B$  which can compute and indifferent subset of itself if  $\mathbf{a}$  is not totally  $< \omega^\omega$ -c.a.. Conversely if  $\mathbf{a}$  can do this it must not be totally  $\omega$ -c.a.
- Nice open question to sort this one out.

# HOW UNBOUNDED IS SACKS SPLITTING?

## THEOREM (AMBOS-SPIES, D, MONATH)

*If  $A$  is c.e. then there are totally  $\omega^3$ -c.a. c.e. sets splitting  $A$ .*

## THEOREM (D AND NG)

*There are c.e. degrees  $\mathbf{a}$  which are not the sup of two totally  $\omega$ -c.a. c.e. degrees.*

## GENERALIZATIONS

- In a recent paper, D. Ambos-Spies and Monath, extends this to *wtt*-reductions.
- They characterize those c.e. sets  $\leq_{wtt}$  a maximal set.
- Yet more hierarchies to analyse.

## CONCLUSIONS

- We have defined a new hierarchy of degree classes within  $\text{low}_2$ .
- This hierarchy **unifies** many constructions, and
- Provides **new** natural degree definable degree classes.
- Many questions remain. eg, is array computable definable in the degrees. Are these classes definable in the degrees?
- Can they be used higher up in relativized form, say?