

# *Practical FPT and Foundations of Kernelization*

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# THIS LECTURE:

- ▶ Basic Definitions
- ▶ Classical Motivations
- ▶ Kernelization
- ▶ Limits of Kernelization
- ▶ Related Work

- ▶ Languages (e.g. graphs)  $L \subset \Sigma^*$
- ▶ P=polynomial time. Those languages  $L$  for which there is an algorithm deciding  $x \in L$  in time  $O(|x|^c)$  some fixed  $c$ .
- ▶ E.g. 2-colouring of graphs.
- ▶ NP=nondeterministic polynomial time. Those languages  $L$  for which there is a **nondeterministic (guess and check)** algorithm deciding  $x \in L$  in time  $O(|x|^c)$  some fixed  $c$ .
- ▶ E.g. 3-colouring of graphs.

# WHERE DOES PARAMETERIZED COMPLEXITY COME FROM?

- ▶ A mathematical idealization is to identify “Feasible” with P. (I won’t even bother looking at the problems with this.)
- ▶ With this assumption, the theory of NP-hardness is an excellent vehicle for mapping an **outer** boundary of intractability, for all practical purposes.
- ▶ Indeed, assuming the reasonable current working assumption that NTM acceptance is  $\Omega(2^n)$ , NP-hardness allows for practical lower bound for exact solution for problems.
- ▶ A very difficult practical and theoretical problem is “How can we deal with P?”.
- ▶ More importantly how can we deal with  $P - FEASIBLE$ , and map a further boundary of intractability.

- ▶ **Lower bounds in P** are really hard to come by. But this theory will allow you establish infeasibility for problems in P, under a reasonable complexity hypothesis.
- ▶ Also it will indicate to you how to attack the problem if it looks bad.
- ▶ It is thus both a positive and negative tool kit.

# I'M DUBIOUS; EXAMPLE?

- ▶ Below is **one** application that points at why the **completeness** theory might interest you.
- ▶ The great PCP Theorem of Arora et. al. allows us to show that things don't have PTAS's on the assumption that  $P \neq NP$ .
- ▶ Some things actually **do** have PTAS's. Lets look at a couple taken from recent major conferences: STOC, FOCS, SODA etc.

- ▶ Arora 1996 gave a  $O(n^{\frac{3000}{\epsilon}})$  PTAS for EUCLIDEAN TSP
- ▶ Chekuri and Khanna 2000 gave a  $O(n^{12(\log(1/\epsilon)/\epsilon^8)})$  PTAS for MULTIPLE KNAPSACK
- ▶ Shamir and Tsur 1998 gave a  $O(n^{2^{2^{\frac{1}{\epsilon}} - 1}})$  PTAS for MAXIMUM SUBFOREST
- ▶ Chen and Miranda 1999 gave a  $O(n^{(3mm!)^{\frac{m}{\epsilon} + 1}})$  PTAS for GENERAL MULTIPROCESSOR JOB SCHEDULING
- ▶ Erlebach **et al.** 2001 gave a  $O(n^{\frac{4}{\pi}(\frac{1}{\epsilon^2} + 1)^2(\frac{1}{\epsilon^2} + 2)^2})$  PTAS for MAXIMUM INDEPENDENT SET for geometric graphs.

- ▶ Deng, Feng, Zhang and Zhu (2001) gave a  $O(n^{5 \log_{1+\epsilon}(1+(1/\epsilon))})$  PTAS for UNBOUNDED BATCH SCHEDULING.
- ▶ Shachnai and Tamir (2000) gave a  $O(n^{64/\epsilon + (\log(1/\epsilon)/\epsilon^8)})$  PTAS for CLASS-CONSTRAINED PACKING PROBLEM (3 cols).

Reference	Running Time for a 20% Error
Arora (Ar96)	$O(n^{15000})$
Chekuri and Khanna (CK00)	$O(n^{9,375,000})$
Shamir and Tsur (ST98)	$O(n^{958,267,391})$
Chen and Miranda (CM99)	$> O(n^{10^{60}})$ (4 Processors)
Erlebach <i>et al.</i> (EJS01)	$O(n^{523,804})$
Deng et. al (DFZZ01)	$O(n^{50})$
Shachnai and Tamir (ST00)	$O(n^{1021570})$

TABLE: The Running Times for Some Recent PTAS's with 20% Error.

# WHAT IS THE PROBLEM HERE?

- ▶ Arora (1997) gave a PTAS running in nearly linear time for EUCLIDEAN TSP. What is the difference between this and the PTAS's in the table. Can't we simply argue that with more effort all of these will eventually have truly feasible PTAS's.
- ▶ The principal problem with the baddies is that these algorithms have a factor of  $\frac{1}{\epsilon}$  (or worse) in their exponents.
- ▶ By analogy with the situation of *NP* completeness, we have some problem that has an exponential algorithm. Can't we argue that with more effort, we'll find a much better algorithm? As in Garey and Johnson's famous cartoon, we cannot seem to prove a better algorithm. BUT we prove that it is NP hard.

- ▶ Then assuming the **working hypothesis** that there is basically **no way to figure out if a NTM has an accepting path of length  $n$  except trying all possibilities** there is no hope for an exact solution with running time significantly better than  $2^n$ . (Or at least no polynomial time algorithm.)
- ▶ Moreover, if the PCP theorem applies, then using this basic hypothesis, there is also no PTAS.

- ▶ In the situation of the **bad** PTAS's the algorithms **are** polynomial. Polynomial lower bound are hard to come by.
- ▶ It is difficult to apply classical complexity since the classes are not very sensitive to things in P.
- ▶ Our idea in this case is to follow the NP analogy but work within P.

- ▶ What parametric complexity has to offer:
- ▶ Then assume the **working hypothesis** that there is basically **no way to figure out if a NTM has an accepting path of length  $k$  except trying all possibilities**. Note that there are  $\Omega(n^k)$  possibilities. (Or at least no way to get the “ $k$ ” out of the exponent or an algorithm deciding  $k$ -STEP NTM,)

- ▶ One then defines the appropriate reductions from  $k$ -STEP TURING MACHINE HALTING to the PTAS using  $k = \frac{1}{\epsilon}$  as a parameter to argue that if we can “get rid” of the  $k$  from the exponent then it can only be if the working hypothesis is wrong.

- ▶ Even if you are only interested in “classical” problems you would welcome a methodology that allows for “practical” lower bounds in  $P$ , modulo a reasonable complexity assumption.
- ▶ An optimization problem  $\Pi$  has an **efficient  $P$ -time approximation scheme  $e$**  (EPTAS) if it can be approximated to a goodness of  $(1 + \epsilon)$  of optimal in time  $f(k)n^c$  where  $c$  is a constant and  $k = 1/\epsilon$ .

- ▶ (without even the formal definition) (Bazgan (Baz95), also Cai and Chen (CC97)) Suppose that  $\Pi_{opt}$  is an optimization problem, and that  $\Pi_{param}$  is the corresponding parameterized problem, where the parameter is the value of an optimal solution. Then  $\Pi_{param}$  is fixed-parameter tractable if  $\Pi_{opt}$  has an EPTAS.

- ▶ Others to use this technique include the following
- ▶ (Alekhnovich and Razborov (AR01)) Neither resolution nor tree-like resolution is automatizable unless  $W[P]$  is randomized FPT by a randomized algorithm with one-sided error. (More on the hypothesis later)
- ▶ Frick and Grohe showed that towers of twos obtained from general tractability results with respect to model checking can't be gotten rid of unless  $W[1] = FPT$ , again more later.

- ▶ Without even going into details, think of all the graphs you have given names to and each has a relevant parameter: planar, bounded genus, bounded cutwidth, pathwidth, treewidth, degree, interval, etc, etc.
- ▶ Also **nature** is kind in that for many practical problems the input (often designed by **us**) is nicely ordered.

# TWO BASIC EXAMPLES

- ▶ VERTEX COVER

**Input:** A Graph  $G$ .

**Parameter :** A positive integer  $k$ .

**Question:** Does  $G$  have a size  $k$  vertex cover? (Vertices cover edges.)

- ▶ DOMINATING SET

**Input:** A Graph  $G$ .

**Parameter :** A positive integer  $k$ .

**Question:** Does  $G$  have a size  $k$  dominating set? (Vertices cover vertices.)

- ▶ VERTEX COVER is solvable by an algorithm  $\mathcal{D}$  in time  $f(k)|G|$ , a behaviour we call **fixed parameter tractability**, (Specifically  $1.4^k k^2 + c|G|$ , with  $c$  a small absolute constant independent of  $k$ .)
- ▶ Whereas the only known algorithm for DOMINATING SET is complete search of the possible  $k$ -subsets, which takes time  $\Omega(|G|^k)$ .

# BASIC DEFINITION(S)

- ▶ Setting : Languages  $L \subseteq \Sigma^* \times \Sigma^*$ .
- ▶ Example (Graph, Parameter).
- ▶ We say that a language  $L$  is **fixed parameter tractable** if there is a algorithm  $M$ , a constant  $C$  and a function  $f$  such that for all  $x, k$ ,

$$(x, k) \in L \text{ iff } M(x) = 1 \text{ and}$$

the running time of  $M(x)$  is  $f(k)|x|^C$ .

- ▶ E.g. VERTEX COVER has  $C = 1$ . Vertex Cover has been implemented and shown to be practical for a class of problems arising from computational biology for  $k$  up to about 400 (Stege 2000, Dehne, Rau-Chaplin, Stege, Taillon 2001) and  $n$  large.

- ▶ Keep in mind: an FPT language is in  $P$  “by the slice”, and more: each  $k$ -slice is in the same polynomial time class via the same machine.
- ▶ Let  $L_k$  denote the  $k$ -th slice of  $L$  and  $L_k^{(>m)}$  denote  $\{\langle x, k \rangle : |x| > m\}$ , the part of  $L_k$  from  $m$  onwards.
- ▶ (Cai, Chen Downey, Fellows; Flum and Grohe)  $L \in \text{FPT}$  iff there is an algorithm  $M$ , a constant  $c$ , and a computable function  $g$  such that  $M$  witnesses that

$$L_k^{(>g(k))} \in \text{DTIME}(n^c).$$

- ▶ e.g. For VERTEX COVER,  $g$  is about  $2^k$ .
- ▶ Can do this with other classes, such as LOGSPACE, etc.

# DOES THIS MATTER?

- ▶ The table below illustrates why this might be interesting.

	$n = 50$	$n = 100$	$n = 150$
$k = 2$	625	2,500	5,625
$k = 3$	15,625	125,000	421,875
$k = 5$	390,625	6,250,000	31,640,625
$k = 10$	$1.9 \times 10^{12}$	$9.8 \times 10^{14}$	$3.7 \times 10^{16}$
$k = 20$	$1.8 \times 10^{26}$	$9.5 \times 10^{31}$	$2.1 \times 10^{35}$

TABLE: The Ratio  $\frac{n^{k+1}}{2^k n}$  for Various Values of  $n$  and  $k$

- ▶ Note that we are using arbitrarily  $f(k) = 2^k$ , and sometimes we can do better. (Such as the case of VERTEX COVER)
- ▶ So the FPT is interesting since it works better than complete search for problems where we might be interested in small parameters but large input size.

- ▶ Natural basic hardness class:  $W[1]$ . Does not matter what it is, save to say that the analog of Cook's Theorem is SHORT NONDETERMINISTIC TURING MACHINE ACCEPTANCE

**Instance:** A nondeterministic Turing Machine  $M$  and a positive integer  $k$ .

**Parameter:**  $k$ .

**Question:** Does  $M$  have a computation path accepting the empty string in at most  $k$  steps?

- ▶ If one believes the philosophical argument that Cook's Theorem provides compelling evidence that SAT is intractable, then one surely must believe the same for the parametric intractability of SHORT NONDETERMINISTIC TURING MACHINE ACCEPTANCE.
- ▶ Moreover, recent work has shown that if SHORT NTM is fpt then  $n$ -variable 3SAT is in  $\text{DTIME}(2^{o(n)})$
- ▶ Hundreds of problems shown to be as complex as this.

- ▶ INDEPENDENT SET, CLIQUE, DOMINATING SET, VAPNIK–CHERVONENKIS DIMENSION, LONGEST COMMON SUBSEQUENCE, SHORT POST CORRESPONDENCE, MONOTONE DATA COMPLEXITY FOR RELATIONAL DATABASES
- ▶ Actually databases are a good study here, see my web page.
- ▶ There is actually a hierarchy based around logical depth.

# POSITIVE TECHNIQUES

- ▶ Elementary ones
- ▶ Logical metatheorems
- ▶ Limits

- ▶ I believe that the most important practical technique is called **kernelization**.
- ▶ pre-processing, or reducing

► TRAIN COVERING BY STATIONS

**Instance:** A bipartite graph  $G = (V_S \cup V_T, E)$ , where the set of vertices  $V_S$  represents railway stations and the set of vertices  $V_T$  represents trains.  $E$  contains an edge  $(s, t)$ ,  $s \in V_S, t \in V_T$ , iff the train  $t$  stops at the station  $s$ .

**Problem:** Find a minimum set  $V' \subseteq V_S$  such that  $V'$  covers  $V_T$ , that is, for every vertex  $t \in V_T$ , there is some  $s \in V'$  such that  $(s, t) \in E$ .

▶ REDUCTION RULE TCS1:

Let  $N(t)$  denote the neighbours of  $t$  in  $V_S$ . If  $N(t) \subseteq N(t')$  then remove  $t'$  and all adjacent edges of  $t'$  from  $G$ . If there is a station that covers  $t$ , then this station also covers  $t'$ .

▶ REDUCTION RULE TCS2:

Let  $N(s)$  denote the neighbours of  $s$  in  $V_T$ . If  $N(s) \subseteq N(s')$  then remove  $s$  and all adjacent edges of  $s$  from  $G$ . If there is a train covered by  $s$ , then this train is also covered by  $s'$ .

- ▶ European train schedule, gave a graph consisting of around  $1.6 \cdot 10^5$  vertices and  $1.6 \cdot 10^6$  edges.
- ▶ Solved in minutes.
- ▶ This has also been applied in practice as a subroutine in **practical heuristical** algorithms.

- ▶ Reduce the parameterized problem to a **kernel** whose size depends **solely on the parameter**
- ▶ As compared to the classical case where this process is a central heuristic we get a **provable performance guarantee**.
- ▶ We remark that often the performance is **much better** than we should expect **especially when elementary methods are used**.

- ▶ REDUCTION RULE VC1:  
Remove all isolated vertices.
- ▶ REDUCTION RULE VC2:  
For any degree one vertex  $v$ , add its single neighbour  $u$  to the solution set and remove  $u$  and all of its incident edges from the graph.
- ▶ Note  $(G, k) \rightarrow (G', k - 1)$ .
- ▶ (S. Buss) REDUCTION RULE VC3:  
If there is a vertex  $v$  of degree at least  $k + 1$ , add  $v$  to the solution set and remove  $v$  and all of its incident edges from the graph.
- ▶ The result is a graph with no vertices of degree  $> k$  and this can have a VC of size  $k$  only if it has  $< k^2$  many edges.

## DEFINITION (KERNELIZATION)

Let  $L \subseteq \Sigma^* \times \Sigma^*$  be a parameterized language. Let  $\mathcal{L}$  be the corresponding parameterized problem, that is,  $\mathcal{L}$  consists of input pairs  $(l, k)$ , where  $l$  is the main part of the input and  $k$  is the parameter. A reduction to a problem kernel, or kernelization, comprises replacing an instance  $(l, k)$  by a reduced instance  $(l', k')$ , called a problem kernel, such that

- (i)  $k' \leq k$ ,
- (ii)  $|l'| \leq g(k)$ , for some function  $g$  depending only on  $k$ ,  
and
- (iii)  $(l, k) \in L$  if and only if  $(l', k') \in L$ .

The reduction from  $(l, k)$  to  $(l', k')$  must be computable in time polynomial in  $|l|$ .

## THEOREM (CAI, CHEN, DOWNEY AND FELLOWS)

$L \in FPT$  iff  $L$  is kernelizable.

- ▶ Proof Let  $L \in FPT$  via a algorithm running in time  $n^c \cdot f(k)$ . Then run the algorithm which in time  $O(n^{c+1})$ , which eventually dominates  $f(k)n^c$ , either computes the solution or understands that it is in the first  $g(k)$  many exceptional cases. (“Eventually polynomial time”)

# STRATEGIES FOR IMPROVING I: BOUNDED SEARCH TREES

- ▶ Buss's algorithm gives crudely a  $2n + k^{k^2}$  algorithm for  $k$ -VC.
- ▶ Here is another algorithm: (DF) Take any edge  $e = v_1 v_2$ . **either  $v_1$  or  $v_2$  is in any VC.** Begin a tree  $T$  with first children  $v_1$  and  $v_2$ . At each child delete all edges covered by the  $v_j$ .
- ▶ repeat to depth  $k$ .
- ▶ Gives a  $O(2^k \cdot n)$  algorithm.
- ▶ Now combine the two: Gives a  $2n + 2^k k^2$  algorithm.

# PRUNING TREES AND CLEVER REDUCTION RULES

- ▶ If  $G$  has paths of degree 2, then there are simple reduction rules to deal with them first. Thus we consider that  $G$  is of min degree 3.

## BRANCHING RULE VC2:

If there is a degree two vertex  $v$  in  $G$ , with neighbours  $w_1$  and  $w_2$ , then either both  $w_1$  and  $w_2$  are in a minimum size cover, or  $v$  together with **all other neighbours** of  $w_1$  and  $w_2$  are in a minimum size cover.

- ▶ Now when considering the kernel, for each vertex considered **either**  $v$  is included or **all** of its neighbours (at least)  $\{p, q\}$  are included.
- ▶ Now the tree looks different. The first child nodes are labeled  $v$  or  $\{p, q\}$ , and on the right branch the parameter drops by 2 instead of 1. or similarly with the  $w_i$  case.

- ▶ Now the size of the search tree and hence the time complexity is determined by some recurrence relation.
- ▶ many, many versions of this idea with increasingly sophisticated reduction rules.

# SHRINK THE KERNEL

## THEOREM (NEMHAUSER AND TROTTER (1975))

For an  $n$ -vertex graph  $G = (V, E)$  with  $m$  edges, we can compute two disjoint sets  $C' \subseteq V$  and  $V' \subseteq V$ , in  $O(\sqrt{n} \cdot m)$  time, such that the following three properties hold:

- (i) There is a minimum size vertex cover of  $G$  that contains  $C'$ .
- (ii) A minimum vertex cover for the induced subgraph  $G[V']$  has size at least  $|V'|/2$ .
- (iii) If  $D \subseteq V'$  is a vertex cover of the induced subgraph  $G[V']$ , then  $C = D \cup C'$  is a vertex cover of  $G$ .

## THEOREM (CHEN ET AL. (2001))

Let  $(G = (V, E), k)$  be an instance of  $K$ -VERTEX COVER. In  $O(k \cdot |V| + k^3)$  time we can reduce this instance to a problem kernel  $(G = (V', E'), k')$  with  $|V'| \leq 2k$ .

- ▶ The current champion using this approach is a  $O^*(1.286^k)$  (Chen01).
- ▶ Here the useful  $O^*$  notation only looks at the **exponential** part of the algorithm.

- ▶ Now we can ask lots of questions. How small can the kernel be?
- ▶ Notice that applying the kernelization to the unbounded problem yields a approximation algorithm.
- ▶ Using the PCP theorem we know that no kernel can be smaller than  $1.36 k$  unless  $P=NP$  (Dinur and Safra) as no better approximation is possible. Is this tight?
- ▶ Actually we know that no  $O^*(1 + \epsilon)^k$  is possible unless ETH fails.

# A BIG QUESTION

- ▶ When can we show that a FPT problem likely has no polynomial size kernel?
- ▶ Notice that if  $P=NP$  then all have constant size kernel, so some reasonable assumption is needed.

## DEFINITION (BODLAENDER, DOWNEY, FELLOWS, HERMELIN)

labelDefinition: DistillationA **OR-distillation algorithm** for a classical problem  $L \subseteq \Sigma^*$  is an algorithm that

- ▶ receives as input a sequence  $(x_1, \dots, x_t)$ , with  $x_i \in \Sigma^*$  for each  $1 \leq i \leq t$ ,
- ▶ uses time polynomial in  $\sum_{i=1}^t |x_i|$ ,
- ▶ and outputs a string  $y \in \Sigma^*$  with
  1.  $y \in L \iff x_i \in L$  for some  $1 \leq i \leq t$ .
  2.  $|y|$  is polynomial in  $\max_{1 \leq i \leq t} |x_i|$ .
- ▶ Similarly AND-distillation.

# THE FORTNOW-SANTHANAM LEMMA

## LEMMA (FORTNOW AND SANTHANAM 2007)

*If any NP complete problem has a distillation algorithm then  $PH = \Sigma_3^P$ . That is, the polynomial time hierarchy collapses to three or fewer levels That is, the polynomial time hierarchy collapses to three or fewer levels*

- ▶ Here  $\Sigma_3^P$  is  $NP^{NP^{NP}}$ .
- ▶ Strictly speaking the prove that  $co - NP \subseteq NP \setminus poly$ .

- ▶ Let  $L$  be NP complete. We show that  $\bar{L}$  is in  $\text{NP} \setminus \text{poly}$  if  $L$  has dist.
- ▶ Let  $\bar{L}_n = \{x \notin L : |x| \leq n\}$ .
- ▶ Given any  $x_1, \dots, x_t \in \bar{L}_n$ , the distillation algorithm  $\mathcal{A}$  maps  $(x_1, \dots, x_t)$  to some  $y \in \bar{L}_{n^c}$ , where  $c$  is some constant independent of  $t$ .

- ▶ The main part of the proof consists in showing that there exists a set  $S_n \subseteq \bar{L}_n^c$ , with  $|S_n|$  polynomially bounded in  $n$ , such that for any  $x \in \Sigma^{\leq n}$  (PHP) we have the following:
  - ▶ If  $x \in \bar{L}_n$ , then there exist strings  $x_1, \dots, x_t \in \Sigma^{\leq n}$  with  $x_i = x$  for some  $i$ ,  $1 \leq i \leq t$ , such that  $\mathcal{A}(x_1, \dots, x_t) \in S_n$ .
  - ▶ If  $x \notin \bar{L}_n$ , then for all strings  $x_1, \dots, x_t \in \Sigma^{\leq n}$  with  $x_i = x$  for some  $i$ ,  $1 \leq i \leq t$ , we have  $\mathcal{A}(x_1, \dots, x_t) \notin S_n$ .
- ▶ to decide if  $x \in \bar{L}$ , guess  $t$  strings  $x_1, \dots, x_t \in \Sigma^{\leq n}$ , and checks whether one of them is  $x$ . If not, it immediately rejects. Otherwise, it computes  $\mathcal{A}(x_1, \dots, x_t)$ , and accepts iff the output is in  $S_n$ . It is immediate to verify that  $M$  correctly determines (in the non-deterministic sense) whether  $x \in \bar{L}_n$ .

# HOW DOES THIS RELATE TO KERNELIZATION?

DEFINITION (BODLAENDER, DOWNEY, FELLOWS, HERMELIN)

A **OR-composition algorithm** for a parameterized problem  $L \subseteq \Sigma^* \times \mathbb{N}$  is an algorithm that

- ▶ receives as input a sequence  $((x_1, k), \dots, (x_t, k))$ , with  $(x_i, k) \in \Sigma^* \times \mathbb{N}^+$  for each  $1 \leq i \leq t$ ,
- ▶ uses time polynomial in  $\sum_{i=1}^t |x_i| + k$ ,
- ▶ and outputs  $(y, k') \in \Sigma^* \times \mathbb{N}^+$  with
  1.  $(y, k') \in L \iff (x_i, k) \in L$  for some  $1 \leq i \leq t$ .
  2.  $k'$  is polynomial in  $k$ .

LEMMA (BODLAENDER, DOWNEY, FELLOWS, HERMELIN)

*Let  $L$  be a compositional parameterized problem whose derived classical problem  $L_c$  is NP-complete. If  $L$  has a polynomial kernel, then  $L_c$  is also distillable.*

## LEMMA (BODLAENDER, DOWNEY, FELLOWS, HERMELIN)

*Let  $L$  be a parameterized graph problem such that for any pair of graphs  $G_1$  and  $G_2$ , and any integer  $k \in \mathbb{N}$ , we have  $(G_1, k) \in L \vee (G_2, k) \in L \iff (G_1 \cup G_2, k) \in L$ , where  $G_1 \cup G_2$  is the disjoint union of  $G_1$  and  $G_2$ . Then  $L$  is compositional.*

- ▶  $k$ -PATH,  $k$ -CYCLE,  $k$ -CHEAP TOUR,  $k$ -EXACT CYCLE, and  $k$ -BOUNDED TREewidth SUBGRAPH
- ▶  $k, \sigma$ -SHORT NONDETERMINISTIC TURING MACHINE COMPUTATION (Needs work)

# AND-COMPOSITION AND DISTILLATION

- ▶ There are a number of applications of these, but we have no classical collapse implied by some (and hence all) NP-complete problems having AND-distillation.
- ▶ Oracle results
- ▶ Applications: Graph width metrics:
- ▶ CUTWIDTH, TREewidth, PROBLEMS WITH TREEwidth PROMISES, EG.. COLOURING

- ▶ Related work of Frick and Grohe show that iterated towers of 2's from logical metatheorems such as Coucelle's Theorem for monadic second order logic (say) on bounded treewidth, or first order logic on bounded local treewidth can't be gotten rid of unless  $P=NP$  or  $FPT=W[1]$ .
- ▶ Still much to do.

# BOUNDED WIDTH METRICS

- ▶ Graphs constructed inductively. Treewidth, Pathwidth, Branchwidth, Cliquewidth, mixed width etc.  $k$ -Inductive graphs, plus old favourites such as planarity etc, which can be viewed as **local width**.
- ▶ Example:

## DEFINITION

[Tree decomposition and Treewidth] Let  $G = (V, E)$  be a graph.

A **tree decomposition**,  $TD$ , of  $G$  is a pair  $(T, \mathcal{X})$  where

1.  $T = (I, F)$  is a tree, and
2.  $\mathcal{X} = \{X_i \mid i \in I\}$  is a family of subsets of  $V$ , one for each node of  $T$ , such that

(i)  $\bigcup_{i \in I} X_i = V$ ,

(ii) for every edge  $\{v, w\} \in E$ , there is an  $i \in I$  with  $v \in X_i$  and  $w \in X_i$ , and

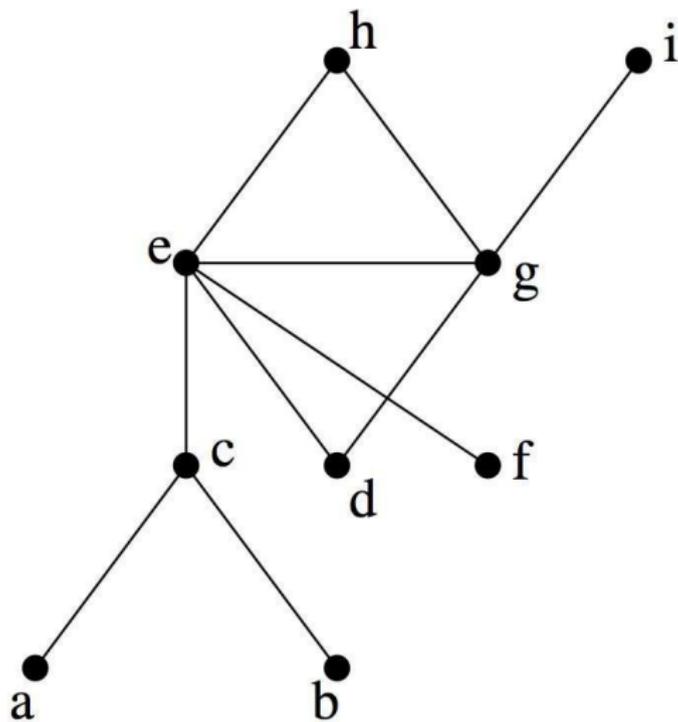
(iii) for all  $i, j, k \in I$ , if  $j$  is on the path from  $i$  to  $k$  in  $T$ , then  $X_i \cap X_k \subseteq X_j$ .

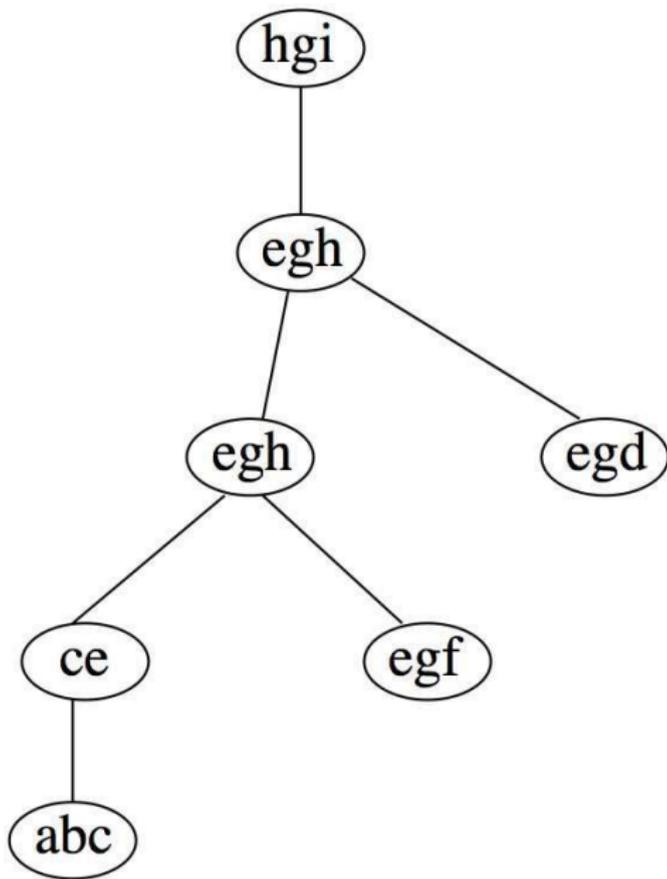
- ▶ This gives the following well-known definition.

### DEFINITION

The **width** of a tree decomposition  $((I, F), \{X_i \mid i \in I\})$  is  $\max_{i \in I} |X_i| - 1$ . The treewidth of a graph  $G$ , denoted by  $tw(G)$ , is the minimum width over all possible tree decompositions of  $G$ .

# AN EXAMPLE





- ▶ The following refers to any of these inductively defined graph families. Notes that many commercial constructions of, for example chips are inductively defined.
  1. Find a bounded-width tree (path) decomposition of the input graph that exhibits the underlying tree (path) structure.
  2. Perform dynamic programming on this decomposition to solve the problem.

# BODLAENDER'S THEOREM

- ▶ The following theorem shows that treewidth is FPT. Improves many earlier results showing this. The constant is about  $2^{35k^2}$ .

## THEOREM (BODLAENDER)

*k*-TREEWIDTH is linear time FPT

- ▶ **Not** practical because of large hidden  $O$  term.
- ▶ Unknown if there is a practical FPT treewidth algorithm
- ▶ Nevertheless approximation and algorithms specific to known decomps run well at least sometimes.

# COURCELLE'S AND SEESE'S THEOREMS

## THEOREM (COURCELLE 1990)

*The model-checking problem for MSO restricted to graphs of bounded treewidth is linear-time fixed-parameter tractable.*

Detleef Seese has proved a converse to Courcelle's theorem.

## THEOREM (SEESE 1991)

*Suppose that  $\mathcal{F}$  is any family of graphs for which the model-checking problem for MSO is decidable, then there is a number  $n$  such that, for all  $G \in \mathcal{F}$ , the treewidth of  $G$  is less than  $n$ .*

- ▶ BDFH show that there are problems in ETP (FPT in time  $O^*(2^{O(k)})$ ) without polynomial time kernels.
- ▶ Fortnow and Santhanam: Satisfiability does not have PCP's of size polynomial in the number of variables unless PH collapse.
- ▶ The Harnik-Noar approach to constructing collision resistant hash functions won't work unless PH collapses.
- ▶ Burhmann and Hitchcock: There are no subexponential size hard sets for NP unless PH collapses.
- ▶ Chen Flum Müller: Many results, e.g. parameterized SAT has no subexponential "normal" (strong) kernelization unless ETH fails.

# SOME QUESTIONS

- ▶ Commercially many things are solved using SAT solvers. Why do they work. What is the reason that the instances arising from real life behave well?
- ▶ How to show no reasonable FPT algorithm using some assumption?
- ▶ Develop a reasonable randomized version, PCP, etc. This is the “hottest” area in TCS yet not really developed in parameterized complexity. (Moritz Meuller has some nice work here)

## SOME REFERENCES

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- ▶ Invitation to Parameterized Algorithms, 2006 Niedermeier, OUP
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- ▶ Parameterized Complexity for the Skeptic, D, proceedings CCC, Aarhus, (see my homepage)
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- ▶ Parameterized Algorithmics: Theory, Practice, and Prospects, Henning Fernau, CUP to appear.

# WHAT SHOULD YOU DO?

- ▶ You should buy that wonderful book...(and its friends)
- ▶ Than You

# BUT WAIT, THERE'S MORE

- ▶ We have two permanent positions, areas open, at lectureship=assistant professor (US system).