# SLENDER CLASSES.

#### ROD DOWNEY AND ANTONIO MONTALBÁN

ABSTRACT. A  $\Pi_1^0$  class P is called *thin* if, given a subclass P' of P there is a clopen C with  $\mathcal{P}' = P \cap C$ . Cholak, Coles, Downey and Herrmann [7] proved that a  $\Pi_1^0$  class P is thin if and only if its lattice of subclasses forms a Boolean algebra. Those authors also proved that if this boolean algebra is the free Boolean algebra, then all such think classes are automorphic in the lattice of  $\Pi_1^0$  classes under inclusion. From this it follows that if the boolean algebra has a finite number n of atoms then the resulting classes are all automorphic. We prove a conjecture of Cholak and Downey [8] by showing that this is the only time the Boolean algebra determines the automorphism type of a thin class.

## 1. INTRODUCTION

A (computably bounded)  $\Pi_1^0$  class C can be defined as the set of infinite paths through a computable tree  $T \subseteq 2^{<\omega}$ . The study of  $\Pi_1^0$  classes has a long and interesting history, and many applications. These applications include those to effective model theory (e.g. Jockusch and Soare [13]), combinatorics (e.g. Remmel [19]), proof theory (through the use of the low basis theorem and the like), and more recently effective randomness (such as in Nies, Stephan and Terwijn [17]). We refer the reader to the surveys Cenzer [2], Cenzer-Remmel [6], Cenzer-Jockusch [4] and Simpson [20].

This paper continues the study of the lattice of  $\Pi_1^0$  classes along the lines of Cenzer, Downey, Jockusch, and Shore [3]. We are interested in the class of  $\Pi_1^0$  classes introduced in Downey [9, 10], but first constructed under duality in Martin and Pour-El [16]. These are the *thin* classes, where an infinite class P is called thin if, for all  $\Pi_1^0$  subclasses  $P' \subseteq P$ there is a clopen set C such that  $C \cap P = P'$ .

Thin classes have attracted considerable interest, and have particularly interesting degree-theoretical properties, as well as significant connections with algorithmic randomness such as Simpson [20], and Binns [1].

Thin classes more or less correspond to hyperhypersimple sets in the lattice of computably enumerable sets. This intuition was made clear in Cholak, Coles, Downey, and Herrmann [7], where it is proven that an infinite class P is thin if and only if the lattice of subclasses forms a Boolean algebra which is always  $\Delta_2^0$ , and every  $\Delta_2^0$  Boolean algebra is isomorphic to the lattice of subclasses of some thin class. This characterization can be viewed as the analog of Lachlan's result [14] that the collection of computably enumerable supersets of a hyperhypersimple set is a  $\Sigma_3^0$  Boolean algebra, and every such Boolean algebra can be realized.

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The main result of [7] is that if S and T are *perfect* thin classes, then there is an automorphism of the lattice of  $\Pi_1^0$  classes under inclusion taking S to T. Here the class is perfect if and only if the lattice of subclasses is isomorphic to the free (also known as atomless) Boolean algebra, or, equivalently the class has no isolated points. (Additionally, it is also proven in [7] that the degrees of such classes are exactly the *array non-computable degrees* of Downey, Jockusch and Stob [11]. Thus these classes and their degrees correspond to a  $\Pi_1^0$  class analog of Soare's result [21] that maximal sets form an orbit, and Martin's one [15] that the maximal sets all have high degrees.)

After seeing [7], it seemed reasonable to suggest that if the Boolean algebras of subclasses of two thin classes were isomorphic, then the classes would be automorphic. For example, the method of proof of [7] would show that if the Boolean algebra of subclasses of two thin classes  $T_1$  and  $T_2$  have the same finite number of atoms, then  $T_1$  and  $T_2$  are automorphic.

This hope was shown to fail in general by Cholak and Downey [8] who showed it failed for minimal classes. Here a thin class M is called minimal if M has a unique nonisolated (rank one) point in it, and hence every  $\Pi_1^0$  subclass is either finite or cofinite in M. These were first introduced in [3]. Cholak and Downey [8] formulated a new (definable) property, *cohesive* minimality, and proved that there are minimal classes which are cohesively minimal, and there are minimal classes which are not cohesively so. Thus, whilst the classes have the same lattices of subclasses (the Boolean algebra of finite and cofinite subsets), they cannot lie in the same orbit.

After proving this result, Cholak and Downey offered the following conjecture.

**Conjecture 1.1** (Cholak and Downey [8]). The only Boolean algebras  $\mathcal{B}$ , which have the property that any two thin classes with  $\mathcal{B}$  as their lattices of subclasses are automorphic, are ones with a finite number of atoms.

In this paper we will prove this conjecture.

**Theorem 1.2.** Suppose that  $\mathcal{B}$  is a  $\Delta_2^0$  Boolean algebra with infinitely many atoms. Then there are thin classes  $T_1$  and  $T_2$  both having  $\mathcal{B}$  as their lattices of subclasses, and such that  $T_1$  and  $T_2$  are not automorphic.

The idea of the proof of this result is a generalization of that used by Cholak and Downey. Let  $P \subseteq 2^{\omega}$  be a  $\Pi_1^0$  class. Then we will denote by  $int(P) \subseteq 2^{\omega}$  the interior of P (i.e. the largest open subset of P), and by  $iso(P) \subseteq 2^{\omega}$  the set of isolated points of P.

**Definition 1.3.** A  $\Pi_1^0$  class S is *slender* if for every other  $\Pi_1^0$  class F, there exists a clopen set C such that

$$int(F) \cap iso(S) = C \cap iso(S)$$

Our main result will follow once we have proven that there are  $T_1, T_2$  as above, one of which is slender and one of which is not. Actually this difference will be elementary because of work of Cenzer and Nies [5]. As with Cholak and Downey [8], the method of proof is a "full approximation" construction for the  $\Pi_1^0$  classes. However, the fact, for example, that there are  $\Delta_2^0$  Boolean algebras with no computable presentations, and for whom the atoms are not  $\Delta_2^0$ , means that there are many further layers of complexity within the proof. This necessitates a kind of non-uniformity to the strategies within the proof according to whether we are in some dense bit or not, as the reader will see in Section 4. Some of the technical difficulties are solved using algebra. That is, some these difficulties are allayed by the use of a topological form of the Remmel-Vaught Theorem (from [18]) which states that if  $\mathcal{B}_1$  and  $\mathcal{B}_2$  are Boolean algebras with infinitely many atoms, and  $\mathcal{B}_1$  results from  $\mathcal{B}_2$  by taking  $\mathcal{B}_2$ 's atoms  $\{b_i : i \in \omega\}$  and splitting each  $b_i$  into finitely many atoms, then  $\mathcal{B}_1$  and  $\mathcal{B}_2$  are isomorphic.

1.1. Notation. We use  $2^{\omega}$  to denote the set of infinite binary sequences,  $2^{<\omega}$  for the finite binary sequences and  $2^n$  for the binary sequences of length n. A tree S is a downward closed subset of  $2^{<\omega}$ . The set of paths through S is denoted by  $[S] \subseteq 2^{\omega}$ . If S is a tree,  $S_{\tau} = \{\sigma \in S, \sigma \subseteq \tau \lor \tau \subseteq \sigma\}$ . Given  $s \in \omega$ , let  $S[s] = S \cap 2^{\leq s}$  and  $[S][s] = S \cap 2^s$ , the stage-s approximation to S and [S]. A string  $\tau \in S$  is dead at stage s if it has no extensions in [S][s]. We abuse notation and use  $[\tau]$  represent both  $\{X \in 2^{\omega} : \tau \subset X\} \subseteq 2^{\omega}$  and also  $(2^{<\omega})_{\tau} \subseteq 2^{<\omega}$ ; it should be clear from the context which ones is being used. The empty string is denoted by  $\emptyset$ , concatenation of strings by  $\sigma^{\frown}\tau$ , and  $\sigma^{-}$  is the string  $\sigma$  with the last element removed. In general, when we use a variable, say x during a construction, x[s] represents the value of x at stage s. If x[s] is not specifically given a value, then it keeps the value of x[s-1]. Other notation will be as in Soare [22].

## 2. Perfect and thin versus finite

**Theorem 2.1.** There is a uniform procedure which, given a computable tree  $T \subseteq 2^{<\omega}$ , builds a computable tree S such that, if [T] is perfect [S] is perfect and thin, and if [T] is not perfect [S] is finite.

This theorem will be used in both constructions, the one of a non-slender thin class, and the one of a slender thin class. The proof starts developing ideas the will be used in both of those constructions.

In the case when [T] is perfect, we will define two tree-embeddings  $f, r: 2^{<\omega} \to S$  satisfying that  $f(\emptyset) = \emptyset$  and for every  $\sigma \in 2^{<\omega}$ ,

- (fr1)  $f(\sigma) \subseteq r(\sigma), f(\sigma^{-1}) = r(\sigma)^{-1}, \text{ and }$
- (fr2)  $[S_{f(\sigma)}] = [S_{r(\sigma)}]$

One can then prove by induction on n that  $[S] = \bigcup_{\sigma \in 2^n} [S_{r(\sigma)}] = \bigcup_{\sigma \in 2^{n+1}} [S_{f(\sigma)}]$ . It follows that [S] = [image(f)] = [image(r)], and hence that [S] is perfect.

There are two types of requirements: the thinness requirements

 $\mathcal{T}_e: \quad F_e \subseteq S \Rightarrow \exists C \subseteq 2^{\omega} \text{ clopen } ([F_e] = [S] \cap C),$ 

where  $\{F_0, F_1, F_2...\}$  is a sequence of computable subtrees of S enumerating all the  $\Pi_1^0$  subclasses of [S]; and the *isolation requirements* 

 $\mathcal{F}_e: [T_{t_e}] \text{ is isolated} \Rightarrow [S] \text{ is finite.}$ 

where  $\{t_0, t_1, ...\}$  is an enumeration of T. These requirements are subdivided even further. Each thinness requirement  $\mathcal{T}_e$  is divided into  $2^{2e}$  sub-requirements  $\mathcal{T}_{\sigma}$ , one for each  $\sigma \in 2^{2e}$ .

 $\mathcal{T}_{\sigma}: \quad \text{either } [S_{f(\sigma)}] = [(F_e)_{f(\sigma)}], \text{ or } [F_e] \cap [S_{f(\sigma)}] = \emptyset.$ Note that if all the requirements  $\mathcal{T}_{\sigma}$  for  $\sigma \in 2^{2e}$  are satisfied, then so is  $\mathcal{T}_e$  by letting  $C = \bigcup \{ [f(\sigma)] : \sigma \in 2^{2e} \& [S_{f(\sigma)}] = [(F_e)_{f(\sigma)}] \}.$  The strategy of  $\mathcal{T}_{\sigma}$  is roughly the following. If  $\mathcal{T}_{\sigma}$  sees the opportunity to define  $r(\sigma) \notin F_e$ , it will do it guaranteeing that  $[F_e] \cap [S_{r(\sigma)}] = \emptyset.$  If such an opportunity never appears, it will be because  $(F_e)_{f(\sigma)} = S_{f(\sigma)}.$ 

Each isolation requirement  $\mathcal{F}_e$  is be divided into  $2^{2e+1}$  sub-requirements  $\mathcal{F}_{\sigma}$ , one for each  $\sigma \in 2^{2e+1}$ .

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 $\mathcal{F}_{\sigma}$ : if  $[T_{t_e}]$  is isolated  $\Rightarrow [S_{f(\sigma)}]$  is isolated.

 $\mathcal{F}_{\sigma}$  works roughly as follows. Every time it believes  $[T_{t_e}]$  is isolated, it will kill all the paths in  $[S_{f(\sigma)}][s]$ , except for one. If this occurs infinitely often it is because  $[T_{t_e}]$  is isolated and it will make  $[S_{f(\sigma)}]$  isolated too. It then follows that [S] has at most  $2^{2e+1}$  many paths. Otherwise, after some stage  $\mathcal{F}_{\sigma}$  will not act anymore and let the construction above  $f(\sigma)$ continue.

2.1. Organization of the construction. We will define a computable tree S by stages; at stage s we will define  $S[s] = S \cap 2^{\leq s}$ . The functions f and r are also defined by stages and their values might change along the construction. At the end of stage s, we will have f[s] and r[s] defined on a finite tree  $D_s \subset 2^{<\omega}$ . We will always have that, if  $\tilde{D}_s$  is the set of end-nodes of  $D_s$ , then  $[S][s] = \{r(d)[s] : d \in \tilde{D}_s\} \subseteq 2^s$ .

Each  $\sigma \in 2^{<\omega}$  has a requirement  $\mathcal{R}_{\sigma}$  (either  $\mathcal{T}_{\sigma}$  or  $\mathcal{F}_{\sigma}$ ) assigned. If  $\sigma, \tau \in 2^{<\omega}$  are incomparable, then the requirements  $\mathcal{R}_{\sigma}$  and  $\mathcal{R}_{\tau}$  do not interact at all with each other, and none of the two requirements has stronger priority than the other one. If  $\sigma \subset \tau$ , then  $\mathcal{R}_{\sigma}$  has stronger priority than  $\mathcal{R}_{\tau}$  and it is allowed to cancel it. Cancellation of  $\mathcal{R}_{\tau}$  by  $\mathcal{R}_{\sigma}$ is all the interaction there is between  $\mathcal{R}_{\sigma}$  and  $\mathcal{R}_{\tau}$ . Requirement  $\mathcal{R}_{\sigma}$  is given  $f(\sigma)$ , and is responsible for defining  $r(\sigma)$ , extending  $f(\sigma)$ , and satisfying condition (fr2).

Main module of the Construction. At each stage s, we will start by activating the strategy for  $\mathcal{R}_{\emptyset}$ . This strategy might later activate  $\mathcal{R}_{\langle 0 \rangle}$  and then  $\mathcal{R}_{\langle 1 \rangle}$ . Then,  $\mathcal{R}_{\langle 0 \rangle}$  could activate  $\mathcal{R}_{\langle 0,0 \rangle}$  and  $\mathcal{R}_{\langle 0,1 \rangle}$  and so on.  $D_s$  is the set to the requirements that are activated at stage s. In general, when a requirement  $\mathcal{R}_{\sigma}$  is activated, at at stage s + 1, it can do three things:

- The first time  $\mathcal{R}_{\sigma}$  is active (either first time ever or first time since it was last canceled), it has to be *initialized*.  $\mathcal{R}_{\sigma}$  defines  $f(\sigma)$  using (fr1): If  $\sigma = \tau^{\hat{}}i$ , then  $f(\sigma)[s+1] = r(\tau)[s+1]^{\hat{}}i$ . (If  $\sigma = \emptyset$ , let  $f(\sigma) = \emptyset$ .) It defines  $r(\sigma)[s+1] = f(\sigma)[s+1]$ . It also set its status to an initial status that depends on the requirement.  $\mathcal{R}_{\sigma}$  will not activate any other requirement at this stage, and hence we will have  $\sigma \in \tilde{D}_{s+1}$ . We will observe later that  $r(\sigma)[s+1]$  has length s+1, because  $r(\tau)[s+1]$  had to have length s.
- $\mathcal{R}_{\sigma}$  might *act*. In this case,  $\mathcal{R}_{\sigma}$  will redefine  $r(\sigma)$ , cancel all the requirements of lower priority and stop going up the tree. So, again we will have  $\sigma \in \tilde{D}_{s+1}$ .  $\mathcal{R}_{\sigma}$  is also responsible for defining  $[S_{f(\sigma)}][s+1] \subseteq 2^{s+1}$ .
- Otherwise,  $\mathcal{R}_{\sigma}$  keeps the previous value of  $r(\sigma)$  and activates  $\mathcal{R}_{\sigma \frown 0}$  and  $\mathcal{R}_{\sigma \frown 1}$ . In this case we will have  $\sigma \in D_s$  but  $\sigma \notin \tilde{D}_{s+1}$ . Since there is no interaction between  $\mathcal{R}_{\sigma \frown 0}$  and  $\mathcal{R}_{\sigma \frown 1}$ , it does not matter whether they run simultaneously or one after the other one.

 $\Diamond$ 

In the case when [T] is perfect, the construction will be a finite injury one. Every requirement will be activated infinitely often, but it will stop canceling weaker priority ones after some stage and f[s] and r[s] will reach a limit. When [T] is not perfect, and  $t_e$ is the first node such that  $[T_{t_e}]$  is isolated, every requirement  $\mathcal{R}_{\sigma}$  with  $|\sigma| > 2e + 1$  will be canceled infinitely often. However, in this case, requirement  $\mathcal{F}_e$  is the only one that needs to be satisfied.

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We observe that when  $\mathcal{R}_{\sigma}$  is initialized, necessarily  $f(\sigma)[s+1] = r(\sigma)[s+1]$  have length s + 1: Since  $\mathcal{R}_{\sigma}$  became activated at this stage, it means that for every  $\gamma \subset \sigma$ ,  $\mathcal{R}_{\gamma}$  did not act, and hence  $r(\gamma)[s] = r(\gamma)[s+1]$ . Another observation is that since  $\mathcal{R}_{\sigma}$  was not active at stage s, it means that  $\sigma \notin D_s$ , but  $\tau = \sigma^-$  had been initialized before s, so  $\tau \in D_s$ . Hence  $r(\tau)[s] = r(\tau)[s+1]$  has length s, and  $f(\sigma)[s+1] = r(\sigma)[s+1]$  has length s+1. The value of  $f(\sigma)$  will not change again, unless  $\mathcal{R}_{\sigma}$  is canceled by a stronger priority requirement. The value of  $r(\sigma)$  might change a few times before stabilizing. Every time  $r(\sigma)$  changes,  $\mathcal{R}_{\sigma}$  initializes all the weaker priority requirements, that is, all the  $\mathcal{R}_{\tau}$  with  $\tau \supset \sigma$ .

We now describe the strategies of the requirements  $\mathcal{T}_{\sigma}$  and  $\mathcal{F}_{\sigma}$ .

2.2. Thinness requirement. Consider  $\sigma \in 2^{<\omega}$ ,  $|\sigma| = 2e$ . Recall that  $\mathcal{T}_{\sigma}$  is the requirement: either  $[(F_e)_{f(\sigma)}] = [S_{f(\sigma)}]$ , or  $[F_e] \cap [S_{f(\sigma)}] = \emptyset$ .

Module for requirement  $\mathcal{T}_{\sigma}$ . Suppose we are at stage s + 1 and  $\mathcal{T}_{\sigma}$  has just been activated. Also assume that  $\mathcal{T}_{\sigma}$  has been initialized in some previous stage. So  $f(\sigma)$  and  $r(\sigma)$  have been previously defined, and  $\mathcal{T}_{\sigma}$  is in status either wai (for "waiting") or sat (for satisfied). The initial status is wai.

First let us assume the current status of  $\mathcal{T}_{\sigma}$  is wai. Check whether  $[(F_e)_{f(\sigma)}][s] = [S_{f(\sigma)}][s]$ .

- If so, we keep the status wai and activate requirements  $\mathcal{F}_{\sigma \frown 0}$  and  $\mathcal{F}_{\sigma \frown 1}$ .
- Otherwise we act. Consider  $\gamma \in [S_{f(\sigma)}] \setminus [F_e][s]$ . We let  $r(\sigma) = \gamma^{\frown} 0$  and  $[S_{f(\sigma)}][s + 1] = \{r(\sigma)\}$ , making sure that  $[F_e] \cap [S_{f(\sigma)}] = [F_e] \cap [S_{r(\sigma)}] = \emptyset$ . The status of  $\mathcal{T}_{\sigma}$  is set to sat. All the requirements  $\mathcal{R}_{\tau}$  for  $\tau \supset \sigma$  are canceled.

If  $\mathcal{T}_{\sigma}$  is in status sat when it is activated, it immediately passes control to  $\mathcal{F}_{\sigma \frown 0}$  and  $\mathcal{F}_{\sigma \frown 1}$ .

Suppose there is a stage  $s_0$  after which  $\mathcal{T}_{\sigma}$  is activated infinitely often and never canceled again. If at some stage  $s \geq s_0$ ,  $\mathcal{T}_{\sigma}$  acts, then it is satisfied for ever, it status will be **sat** from there on, and after stage s, it will never act and cancel lower priority requirements again. Otherwise,  $\mathcal{T}_{\sigma}$  never acts after  $s_0$  and its status is always **wai**. In this case we have to have that  $[(F_e)_{f(\sigma)}] = [S_{f(\sigma)}]$ , so  $\mathcal{T}_{\sigma}$  is also satisfied.

2.3. Isolation requirements. Consider  $\sigma \in 2^{<\omega}$ ,  $|\sigma| = 2e + 1$ . Recall that  $\mathcal{F}_{\sigma}$  is the requirement: if  $[T_{t_e}]$  is isolated,  $[S_{f(\sigma)}]$  is isolated, where  $t_e \in T$ . We say that  $n > |t_e|$  is verified at s if exactly one string  $\tau \in T_{t_e} \cap 2^n$  is not dead at stage s. So, we have that  $[T_{t_e}]$  is isolated if and only if for every  $n > |t_e|$ , there exists a stage s at which n is verified. The strategy for  $\mathcal{F}_e$  is to try to verify every  $n > |t_e|$  one by one. At each stage there is a number  $n_{\sigma}$  that we are waiting to be verified; once it is verified, we add one to the value of  $n_{\sigma}$ .

Module for requirement  $\mathcal{F}_{\sigma}$ . Suppose that we are at stage s+1. If  $\mathcal{F}_{\sigma}$  has to be initialized, it sets its initial status to **niso** for not isolated (this is not relevant in this proof), and sets  $n_{\sigma}[s+1] = |t_e| + 1$ . At later stages, there will be some other value of  $n_{\sigma} > |t_e|$ , which is waiting to be verified. Suppose now that  $\mathcal{F}_{\sigma}$  has been activated at stage s+1 and that it has already been initialized at some previous stage. Start by checking whether  $n_{\sigma}[s]$  gets verified as s.

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- If so, we momentarily believe that  $[T_{t_e}]$  is isolated and we *act*. We define  $S_{f(\sigma)}[s+1]$  so that only one string in  $[S_{f(\sigma)}][s]$  is extended to  $[S_{f(\sigma)}][s+1]$  and we let  $r(\sigma)[s+1]$  be that one extension. We let  $n_{\sigma}[s+1] = n_{\sigma}[s] + 1$ . We then cancel all the requirement  $\mathcal{R}_{\tau}$  for  $\tau \supset \sigma$  and we stop going up  $2^{<\omega}$  for this stage. The status of  $\mathcal{F}_{\sigma}$  is set to iso for isolated.
- Otherwise, we pass control to  $\mathcal{T}_{\sigma \cap 0}$  and  $\mathcal{T}_{\sigma \cap 1}$ . The status of  $\mathcal{F}_{\sigma}$  is set to niso for not isolated.

(We mentioned the status of  $\mathcal{F}_{\sigma}$  only because we will use it in the Section 4)  $\diamond$ 

Suppose there is a stage  $s_0$  after which  $\mathcal{T}_{\sigma}$  is activated infinitely often and never canceled again. Note that if [T] is perfect, then for every  $t_e$ , there will be some  $n_e$  which will never be verified. After that  $n_e$  is chosen by  $\mathcal{F}_{\sigma}$ ,  $\mathcal{F}_{\sigma}$  will never act again and let the lower priority requirement do their work. Also, if  $[T_{t_e}]$  is empty, there will also be some  $n_e$  which will never be verified. On the other hand, if [T] is neither perfect nor empty, for some  $t_e$ ,  $[T_{t_e}]$ is isolated. For each requirement  $\mathcal{F}_{\sigma}$ ,  $\sigma \in 2^{2e+1}$ , every  $n > |t_e|$  will be verified at some stage, and hence there be infinitely many stages with  $[S_{f(\sigma)}][s]$  having only one element. So,  $[S_{f(\sigma)}]$  will consist of an isolated path.  $\mathcal{F}_{\sigma}$  will keep on injuring the requirements  $\mathcal{R}_{\tau}$ for  $\tau \supset \sigma$ . But, since we are assuming that  $S_{f(\sigma)}$  is isolated, we do not need to worry about them.

#### 2.4. Verifications.

**Lemma 2.2.** Suppose that for every i < e,  $[T_{t_i}]$  is not isolated. Consider  $\sigma \in 2^{\leq 2e+1}$ .

- (1) There is a stage  $s_0$ , after which  $\mathcal{R}_{\sigma}$  is always activated. In other words,  $\sigma \in D_s$  for every  $s \geq s_0$ . Also,  $\mathcal{R}_{\sigma}$  is never canceled after  $s_0$ , and  $f(\sigma) = \lim_s f(\sigma)[s]$  exists and equals  $f(\sigma)[s_0]$ .
- (2)  $\mathcal{R}_{\sigma}$  is satisfied.
- (3) For  $\sigma \in 2^{\leq 2e+1}$ ,  $\mathcal{R}_{\sigma}$  acts only finitely often, and  $r(\sigma) = \lim_{s} r(\sigma)[s]$  exists.

*Proof.* The proof is by simultaneous induction on the length of  $\sigma$ . Part (1) follows from the inductive hypothesis of (1) and (3). Parts (2) and (3) follow from (1) and the comments after the description above of the modules for the requirements.

Now, if [T] is perfect, then the lemma above holds for every e, and hence all the requirements  $\mathcal{T}_e$  are satisfied. So [S] is a thin  $\Pi_1^0$ -class. Also, the functions f and r are defined everywhere and satisfy (fr1) and (fr2). So [S] is perfect. Otherwise, [T] has some isolated path. Let e be the least such that  $[T_{t_e}]$  is isolated. From the lemma it follows that, for each  $\sigma \in 2^{2e+1}$ ,  $\mathcal{F}_{\sigma}$  is satisfied, and hence  $\mathcal{F}_e$  is satisfied. So [S] has at most  $2^{2e+1}$  paths.

2.5. A small modification. We now describe a stronger version of Theorem 2.1 that we are going to need in the construction of a slender thin  $\Pi_1^0$  class. The idea of the proof will also be used in that construction.

We still have a  $\Pi_1^0$  class [T] and we want to define S as in Theorem 2.1. But suppose now that not allowed to define [S][s] at every stage s, but only at some infinite number of stages, and there is some foreign agent defining S[s] at the other stages. However if we defined [S][s] a certain way and the foreign agent is defining [S][t] for some t > s, there has to be an extension in [S][t] of every element of [S][s]. This way, he is not really killing our construction. Let us describe this in a more formal way.

**Lemma 2.3.** Let [T] be a  $\Pi_1^0$  class. There is a computable function  $\Gamma_T$  which takes as input a finite sequence of stages  $s_0 < s_1 < \dots < s_n$  and a subtree of  $2^{\leq s_n}$ , and outputs a subtree of  $2^{\leq s_n}$  extending it, and satisfies the following property. Consider any infinite computable sequence  $\{s_0 < s_1 < \dots\}$  and a computable tree  $S \subseteq 2^{<\omega}$  such that for every  $n \in \omega, S[s_n] = \Gamma_T(s_0, \dots, s_n, S[s_n - 1])$  and every element of  $[S][s_n]$  has an extension in  $[S][s_{n+1} - 1]$ . Then, if T is perfect, [S] is perfect and thin, and [S] is finite otherwise.

Proof. Just let  $\Gamma_T(s_0, ..., s_n, S[s_n-1])$  do what the construction of Theorem 2.1 does in one stage. Before, for each  $\sigma \in \tilde{D}_{s_{n-1}}$  define  $r(\sigma)[s_n-1]$  to be some extension of  $r(\sigma)[s_n-1]$  in  $S[s_n-1]$ . It is not hard to see that this does not affect the satisfaction of the requirements  $\mathcal{T}_{\sigma}$  and  $\mathcal{F}_{\sigma}$ .

#### 3. A thin, non-slender class

**Theorem 3.1.** For every  $\Delta_2^0$  Boolean algebra  $\mathcal{B}$  with infinitely many atoms, there exists a thin but not slender computable tree S whose lattice of  $\Pi_1^0$  subclasses is isomorphic to  $\mathcal{B}$ .

**Definition 3.2.** Given a set  $X \subseteq 2^{\omega}$ , the algebra of clopen set of X,  $\operatorname{clo}(X)$  is the Boolean algebra whose elements are of the form  $C \cap X$ , where C is a clopen subset of  $2^{\omega}$ .

If T is a computable tree, we write clo(T) for clo([T]).

Note that if  $[T] \subseteq 2^{\omega}$  is a thin  $\Pi_1^0$  class, then the lattice of  $\Pi_1^0$  subclasses of [T] coincides with the algebra of clopen sets of [T].

Also observe that clo(T) is isomorphic to the Boolean algebra of clopen sets of  $2^{\omega}$ modulo the equivalence relation  $C \equiv D \iff C \cap [T] = D \cap [T]$ , which is a  $\Delta_2^0$ -condition, and that the elements of  $clo(2^{\omega})$  can be represented by finite sets of binary strings. It follows that for a computable tree T, clo(T) is  $\Delta_2^0$  presentable.

**Lemma 3.3.** For every  $\Delta_2^0$  Boolean algebra  $\mathcal{B}$ , there is a computable tree T whose algebra of clopen sets is  $\mathcal{B}$ .

*Proof.* Feiner [12] proved that every  $\Delta_2^0$  Boolean algebra is isomorphic to a c.e. quotient Boolean algebra. Then, cited as folklore, it is proven in [3, Theorem 4.8] that every c.e. quotient Boolean algebra is of the form clo(T) for some computable tree T.

Fix such a computable tree T.

We build a computable tree S and two tree-embeddings  $f, r: T \to S$  satisfying condition (fr1) for  $\sigma \in T$ , but not (fr2). Unfortunately, we will not have [S] = [image(f)] = [image(r)] as in the previous construction. Instead, we will construct S, f and r with the following properties.

(Sfr1) If  $[T_{\sigma}]$  is empty, then so is  $[S_{f(\sigma)}]$ ;

- (Sfr2) If  $[T_{\sigma}]$  is isolated, then  $[S_{f(\sigma)}]$  is finite;
- (Sfr3) If  $[T_{\sigma}]$  has more than one element and is not perfect, then  $[S_{f(\sigma)}] = X_{\sigma} \cup [S_{r(\sigma)}]$ , where  $X_{\sigma} \subseteq 2^{\omega}$  is a finite set disjoint form  $[r(\sigma)]$ .
- (Sfr4) If  $[T_{\sigma}]$  is perfect, then  $[S_{f(\sigma)}] = X_{\sigma} \cup [S_{r(\sigma)}]$ , where  $X_{\sigma} \subseteq 2^{\omega}$  is either perfect or empty, and is disjoint form  $[r(\sigma)]$ .

Using Remmel-Vaught's theorem, we can prove that these conditions imply that  $clo(S) \cong clo(T) \cong \mathcal{B}$ . If we also manage to make S thin, we will have that the lattice of  $\Pi_1^0$  subclasses of [S] is isomorphic to  $\mathcal{B}$ .

**Theorem 3.4** (Remmel-Vaught [18]). Let  $\mathcal{B}_0$  and  $\mathcal{B}_1$  be Boolean algebras with infinitely many atoms. Suppose  $\varphi \colon \mathcal{B}_0 \to \mathcal{B}_1$  is a Boolean algebra embedding such that

- (1)  $\mathcal{B}_1$  is generated by the image of  $\varphi$  and the atoms of  $\mathcal{B}_1$ ;
- (2) every atom of  $\mathcal{B}_0$  is mapped to a finite sum of atoms in  $\mathcal{B}_1$ ; and
- (3) every atom of  $\mathcal{B}_1$  is below the image of an atom of  $\mathcal{B}_0$ .

Then,  $\mathcal{B}_0$  and  $\mathcal{B}_1$  are isomorphic.

**Lemma 3.5.** If S, f and r satisfy conditions (fr1), (Sfr1)-(Sfr4) above, then the clopen Boolean algebra of S is isomorphic to the one of T, namely  $\mathcal{B}$ .

Proof. We define a map  $\varphi : \operatorname{clo}(T) \to \operatorname{clo}(S)$  which satisfies the conditions in Vaught-Remmel's theorem. If  $[S_{f(\sigma)}] = X_{\sigma} \cup [S_{r(\sigma)}]$ , where  $X_{\sigma}$  is a finite set, by (Sfr3) we know that  $[S_{r(\sigma)}]$  contains at least one isolated path; choose one and call it  $I_{\sigma}$ . The idea is to put  $[S_{r(\sigma)}]$  together with  $I_{\sigma}$  below the image, under  $\varphi$ , of some atom of  $\operatorname{clo}(T)$ . We first define  $\varphi$  on T by recursion, and then extend it to  $\operatorname{clo}(T)$  in the obvious way. We abuse notation, and when we write  $\varphi(\sigma)$  for  $\sigma \in T$ , we actually mean  $\varphi([\sigma] \cap [T])$ . Let  $\varphi(\emptyset) = \emptyset$ . Now we want to define  $\varphi(\tau)$ . If  $[T_{\tau}]$  is not perfect, define

$$\varphi(\tau) = f(\tau) \cup \bigcup_{\sigma \subseteq \tau: I_{\sigma} \in [T_{\tau}]} X_{\sigma}$$

If  $[T_{\tau}]$  is perfect, then, by (Sfr4), so is  $[S_{f(\tau)}]$ . Define  $\varphi$  mapping  $\operatorname{clo}(T_{\tau})$  to  $\operatorname{clo}(S_{f(\tau)})$  isomorphically.

It is not hard to see that  $\varphi$  can be extended to a Boolean algebra embedding. All one needs to check is that for every  $\tau \in T$ ,  $\varphi(\tau) = \varphi(\tau \cap 0) \cup \varphi(\tau \cap 1)$  and that  $\varphi(\tau \cap 0) \cap \varphi(\tau \cap 1) = 0$ . It is also not hard to see that every  $\sigma \in S$  with  $[S_{\sigma}]$  perfect is in the image of  $\varphi$ . If  $[S_{\sigma}]$  is not perfect, then  $[S_{\sigma}]$  together with some finite set is in the image of  $\varphi$ . In the case  $[S_{\sigma}]$  is isolated and contained in some  $X_{\pi}$  which is finite, we have that  $[S_{\sigma}]$  is below the image of  $I_{\pi} \in [T]$ . So, the conditions in Remmel-Vaught's theorem are satisfied.  $\Box$ 

There are three types of requirements: the thinness requirements  $\mathcal{T}_{\sigma}$ , one for every  $\sigma \in T$ ,  $\sigma$  of length 3e; the isolation requirements  $\mathcal{F}_{\sigma}$ , one for every  $\sigma \in T$ ,  $\sigma$  of length 3e + 1; and the non-slenderness requirements  $\mathcal{N}_{\sigma}$ , one for every  $\sigma \in T$ ,  $\sigma$  of length 3e + 2. The construction of S, f, and r is again a finite injury one, and it goes exactly as the *Main module of the construction* in Section 2.1. There is one slight difference. Only the nodes  $\sigma \in T$  have requirements assigned. Some nodes  $\sigma \in T$  have no extensions in [T]. These are the ones that we call *dead* nodes. But it might take us a while to find this out, and requirement  $\mathcal{R}_{\sigma}$  will start its job as usual. After the stage s when we find out that  $\sigma$  is a dead node (i.e.  $\sigma$  has no extensions in T[s]), we do not need to work for  $\mathcal{R}_{\sigma}$  anymore. We could do a bit more work, if we actually want f and r to be defined at every node of T. The next time  $\mathcal{R}_{\sigma}$  becomes activated, we do nothing, and we stop building S above  $f(\sigma)$ . This way we satisfy (Sfr1)

The thinness and the isolation requirements work exactly as the modules described for the previous construction. Let us now describe how the non-slenderness requirements work. These requirements do not injure lower priority requirements. 3.1. Non-slenderness requirements. The non-slenderness requirement  $\mathcal{N}$  will construct a computable tree  $F \supseteq S$  such that for no clopen set C we have  $\operatorname{int}([F]) \cap \operatorname{iso}([S]) = C \cap \operatorname{iso}([S])$ .  $\mathcal{N}$  has infinitely many sub-requirements  $\mathcal{N}_{\sigma}$ , one for each  $\sigma \in T$ ,  $|\sigma|$  of the form 3e + 2.

$$\mathcal{N}_{\sigma}: \quad [T_{\sigma}] \text{ has an isolated path} \Rightarrow \exists \text{ finite sets } Z_{\sigma}, Y_{\sigma} \subset 2^{\omega} \text{ such that} \\ [S_{f(\sigma)}] = Z_{\sigma} \cup Y_{\sigma} \cup [S_{r(\sigma)}] \quad \& \quad Z_{\sigma} \subset \operatorname{int}([F]) \quad \& \quad Y_{\sigma} \cap \operatorname{int}([F]) = \emptyset,$$

We claim that if all the requirements  $\mathcal{N}_{\sigma}$  are satisfied, then S is not slender. We know that [T] has infinitely many isolated paths. Let  $\{\sigma_0, \sigma_1, ...\} \subseteq T$  an enumeration of the roots of all this isolated paths (that is, for each  $\sigma_i$ ,  $[T_{\sigma_i}]$  is an isolated path, but  $[T_{\sigma_i^-}]$  is not). For each i, let  $\tau_i \subseteq \sigma_i$  be the longest string whose length is of the form 3e + 2. Since we are assuming  $\mathcal{N}_{\tau_i}$  is satisfied, we have two finite sets  $Z_{\tau_i}, Y_{\tau_i} \subset \operatorname{iso}[S] \cap [f(\tau_i)]$ , such that  $Z_{\sigma} \subset \operatorname{int}([F])$  and  $Y_{\sigma} \cap \operatorname{int}([F]) = \emptyset$ . Suppose toward a contradiction there is a clopen set C such that  $\operatorname{int}([F]) \cap \operatorname{iso}([S]) = C \cap \operatorname{iso}([S])$ , and suppose that C is a finite union of basic open sets  $[\pi_j]$ , with  $|\pi_j| < k$ . Let i be such that  $|\tau_i| > k$  and hence  $|f(\tau_i)| > k$ . Then, either  $[f(\tau_i)] \subseteq C$  or  $[f(\tau_i)] \cap C = \emptyset$ , contradicting  $Z_{\tau_i} \subseteq C$  and  $Y_{\tau_i} \cap C = \emptyset$ .

Module for  $\mathcal{N}_{\sigma}$  at stage s + 1. Suppose first that  $\mathcal{N}_{\sigma}$  has been initialized at the previous stage, namely stage s. So, no requirement of lower priority has been initialized yet. Defines  $r(\sigma) = f(\sigma)^{-0}$ ; this value will not change unless  $\mathcal{N}_{\sigma}$  is canceled. (Note that since  $\mathcal{N}_{\sigma}$  was initialized at stage s,  $|f(\sigma)| = s$ .)  $\mathcal{N}_{\sigma}$  also enumerates  $f(\sigma)^{-0}$  and  $f(\sigma)^{-1}$  into S and hence into F too. This is all it does at this stage, and it does not activate any other requirement.

In the next stages it will build  $Z_{\sigma}$  and  $Y_{\sigma}$  extending  $f(\sigma)^{10}$  and  $f(\sigma)^{11}$  respectively, and will let the rest of the construction continue in top of  $f(\sigma)^{0}$ . Here is how it builds  $Z_{\sigma}$  and  $Y_{\sigma}$ . Let  $Q_{\sigma}$  be the tree obtained when Theorem 2.1 is applied to  $T_{\sigma}$ . So,  $[Q_{\sigma}]$  is perfect and thin if  $T_{\sigma}$  is perfect, and  $[Q_{\sigma}]$  is finite otherwise. Requirement  $\mathcal{N}_{\sigma}$  places two copies of  $Q_{\sigma}$  in S and F, one in top of  $f(\sigma)^{10}$  and one in top of  $f(\sigma)^{11}$ . Since it might be cancel later,  $\mathcal{N}_{\sigma}$  builds these extensions step by step. Recall that at a stage s + 1 we can only define S up to length s + 1.  $\mathcal{N}_{\sigma}$  will include the whole cone  $[f(\sigma)^{10}]$  inside  $F_{f(\sigma)^{10}}$ , but it will let  $F_{f(\sigma)^{11}} = S_{f(\sigma)^{11}} = f(\sigma)^{11} \mathcal{Q}_{\sigma}$ .

So, the actions taken at stage s + 1, assuming  $\mathcal{N}_{\sigma}$  was initialized at a previous stage  $t = |f(\sigma)| < s$ , are the following. Keep  $r(\sigma) = f(\sigma)^{-0}$ ; Define  $[S_{f(\sigma)^{-10}}][s + 1] = f(\sigma)^{-10}[Q_{\tau}][s - t - 1], F_{f(\sigma)^{-10}}[s + 1] = [f(\sigma)^{-10}][s + 1]$  and  $[F_{f(\sigma)^{-11}}][s + 1] = [S_{f(\sigma)^{-11}}][s + 1] = f(\sigma)^{-11}[Q_{\tau}][s - t - 1]$ ; Activate requirements  $\mathcal{T}_{\sigma^{-0}}$  and  $\mathcal{T}_{\sigma^{-1}}$ .

Suppose there is a stage  $s_0$  after which  $\mathcal{N}_{\sigma}$  is always activated and never canceled again. It is clear that  $\mathcal{N}_{\sigma}$  will manage to build  $Y_{\sigma}$  and  $Z_{\sigma}$  as desired, and satisfy (Sfr3) and (Sfr4). All the requirements of lower priority than  $\mathcal{N}_{\sigma}$  are initialized after  $s_0+1$  and never canceled by  $\mathcal{N}_{\sigma}$ .

3.2. Thinness requirements. For each  $\sigma \in T$ ,  $\sigma$  of length 3e we have a thinness requirement: either  $[(F_e)_{f(\sigma)}] = [S_{f(\sigma)}]$ , or  $[F_e] \cap [S_{f(\sigma)}] = \emptyset$ . It does exactly the same as the module described in Section 2.2. However, when we showed that the satisfaction of all the  $\mathcal{T}_{\sigma}$  for  $\sigma \in 2^{2e+1}$  implies the satisfaction of  $\mathcal{T}_e$  in the previous construction, we used the fact that  $[S] = \bigcup_{\sigma \in 2^{2e+1}} [S_{f(\sigma)}]$ , which is not true in this case. Now, by (Sfr3) and (Sfr4),

we have that

$$[S] = \bigcup_{\sigma \in T \cap 2^{3e+1}} [S_{f(\sigma)}] \cup \bigcup_{\tau \in T \cap 2^{<3e+1}} X_{\tau}.$$

This is not a problem, because for each  $\tau \in T \cap 2^{\leq 3e+1}$ ,  $X_{\tau}$  is thin.

3.3. Isolation requirements. For each  $\sigma \in T$ ,  $\sigma$  of length 3e + 1 we have a isolation requirement: if  $[T_{\sigma}]$  is isolated,  $[S_{f(\sigma)}]$  is isolated. It does exactly the same as the module described in the previous construction, except that now we are looking at whether  $[T_{\sigma}]$  is isolated instead of  $[T_{t_e}]$ . The objective of these requirements is to satisfy (Sfr2): Suppose  $[T_{\tau}]$  is isolated and  $\sigma \supseteq \tau$  is the initial segment of  $[T_{\tau}]$  of length 3e + 1. Then, by (Sfr1) and (Sfr3), if  $\mathcal{N}_{\sigma}$  is satisfied,  $[S_{f(\tau)}]$  is finite, and hence (Sfr2) is satisfied.

#### 3.4. Verifications.

**Lemma 3.6.** Suppose that for every  $\sigma \subset \tau \in T$ ,  $[T_{\sigma}]$  is neither empty nor isolated. Consider  $\sigma \subseteq \tau$ .

(1) There is a stage  $s_0$ , after which  $\mathcal{R}_{\sigma}$  is always activated. In other words,  $\sigma \in D_s$  for every  $s \geq s_0$ . Also,  $\mathcal{R}_{\sigma}$  is never canceled after  $s_0$ , and  $f(\sigma) = \lim_s f(\sigma)[s]$  exists and equals  $f(\sigma)[s_0]$ .

- (2)  $\mathcal{R}_{\sigma}$  is satisfied.
- (3) For  $\sigma \subset \tau$ ,  $\mathcal{R}_{\sigma}$  acts only finitely often, and  $r(\sigma) = \lim_{s} r(\sigma)[s]$  exists.

*Proof.* The proof is by simultaneous induction on the length of  $\sigma$ .

It follows that S, f and r are as desired.

## 4. A THIN, SLENDER CLASS

**Theorem 4.1.** For every  $\Delta_2^0$  Boolean algebra  $\mathcal{B}$  there exists a thin and slender computable tree S whose lattice of  $\Pi_1^0$  subclasses is  $\mathcal{B}$ .

Fix a computable T as the one given by Lemma 3.3.

This construction has three types of requirements: thinness requirements, isolation requirements and slenderness requirements. Each node  $\sigma \in T$  will have a requirement assigned  $\mathcal{R}_{\sigma}$  that can be of any of these three kinds as in the previous constructions, and the thinness and isolation requirements will work exactly as before. One difference with the previous constructions is that this is an infinite injury construction, because the slenderness requirements will have  $\Pi_2^0$  and  $\Sigma_2^0$  outcomes. The construction is organized on a tree of strategies; actually a tree of trees of strategies. So each  $\mathcal{R}_{\sigma}$  will have a belief on the outputs of stronger priority requirements, namely  $\{R_{\tau} : \tau \subset \sigma\}$ . We will have different versions of  $\mathcal{R}_{\sigma}$  for the different possible believes, as one usually has in tree-of-strategies arguments, and one of this versions will act infinitely often and get injured only finitely often.

We will construct a computable tree S by stages and functions f and r satisfying (fr1), (Sfr1)-(Sfr4). We start by describing how the Slenderness requirements work, and then we will explain how the construction is organized on the tree of strategies.

4.1. Slenderness requirement. For every computable tree  $F_e$  we have a *Slenderness* requirement:

$$\mathcal{S}_e$$
:  $\exists$  clopen  $C \subseteq 2^{\omega}$   $(int[F_e] \cap iso[S] = C \cap iso[S])$ 

We partition this requirements into at most  $2^{e+2}$  many requirements, one for each string  $\sigma \in T$  of length 3e + 2:

$$\mathcal{S}_{\sigma}$$
: either int $([F_e]) \cap [S_{f(\sigma)}] = \emptyset$ , or  $[S_{r(\sigma)}] \subseteq int(F_e)$ .

Note that if  $S_{\sigma}$  is satisfied for every string  $\sigma \in T$  of length 3e + 2, then  $S_e$  is satisfied: Let  $C_0 = \bigcup\{[r(\sigma)] : \sigma \in T \cap 2^{3e+2}, [S_{r(\sigma)}] \subseteq \operatorname{int}[F_e]\}$ . Then  $\operatorname{int}[F_e] \cap \operatorname{iso}[S] = (C_0 \cap \operatorname{iso}[S]) \cup X$ , where  $X = \operatorname{int}[F_e] \cap \bigcup_{\tau \in T \cap 2^{\leq 3e+2}} X_{\tau}$ . Note that (Sfr1)-(Sfr4) imply that X contains a finite number of isolated paths. Let C be the union of  $C_0$  and those isolated paths.

We say that  $\tau \in S$  is *e-verified* at stage *s* if  $\exists \gamma \in 2^{\leq s} (\gamma \supseteq \tau \& \gamma \notin F_e)$ . So, we have that  $\operatorname{int}([F_e]) \cap [S_{f(\sigma)}] = \emptyset$  if and only if for every  $\tau \in S_{f(\sigma)}$ , there is a stage *s* at which either  $\tau$  is *e*-verified or  $\tau$  has no extension in [S][s].  $\mathcal{N}_{\sigma}$  will try to make sure that every  $\tau \in S_{f(\sigma)}$  is either *e*-verified, and if so, we say it has outcome  $\infty$ . If instead we find a string  $\tau \in S_{f(\sigma)}$  that is never *e*-verified, and hence  $[\tau] \subseteq \operatorname{int}([F_e])$ , we will move the construction of  $S_{r(\sigma)}$  to the cone above  $\tau$ . In this case we say that  $\mathcal{S}_{\sigma}$  has outcome fin.  $\mathcal{S}_{\sigma}$ 's initial status is  $\infty$ .

Suppose we are at stage s + 1 and requirement  $S_{\sigma}$  gets activated.

• Suppose first that the last time we visited  $S_{\sigma}$  it had status  $\infty$ .

If every  $\tau \in S_{f(\sigma)}[s]$  is *e*-verified, then keep the status  $\infty$  and move on to the next requirements  $\mathcal{T}_{\sigma \frown 0}$  and  $\mathcal{T}_{\sigma \frown 1}$ .

Otherwise, let  $\tau_0$  be the first  $\tau \in S_{f(\sigma)}[s]$  that has not been *e*-verified (first in some ordering of  $2^{<\omega}$ ). Let  $\tau_1$  be an extension of  $\tau_0$  in [S][s]. The plan now is to wait until  $\tau_1$  (actually  $\tau_1 \cap 0$ ) is e-verified, which will imply that  $\tau_0$  is e-verified. While we wait, we move the construction of  $[S_{f(\sigma)}]$  above  $\tau_1$ . Define  $r(\sigma)[s+1] = \tau_1 \cap 0$ (so it has length s+1) and set the status of  $S_{\sigma}$  at this stage to fin. If  $\tau_1 \cap 0$  is never e-verified, then we will have  $[S_{r(\sigma)}] \subseteq [r(\sigma)] \subseteq int(F_e)$  as wanted. If at some later stage it is e-verified, we will redefine  $r(\sigma) = f(\sigma)$  and we forget we ever moved  $r(\sigma)$ to  $\tau_1 \cap 0$ . The requirements of lower priority than  $\sigma$  will then continue the work they were doing when they were assuming  $S_{\sigma}$  had outcome  $\infty$  and  $r(\sigma) = f(\sigma)$ . Therefore, for now, while  $\mathcal{S}_{\sigma}$  has outcome fin, we cannot kill what we were doing above  $f(\sigma)$  while we were believing that the the output of  $\mathcal{S}_{\sigma}$  is  $\infty$ . So, at every stage t > s, while the outcome of  $\mathcal{S}_{\sigma}$  is still fin, we have to make sure that every node in [S][s] has at least one extension in [S][t]. We have to be careful doing this, because if we never come back to outcome  $\infty$ , we will had built some new paths extending  $f(\sigma)$  but not  $r(\sigma)$ . Let  $X_{\sigma} = [S_{f(\sigma)}] \setminus [S_{r(\sigma)}]$ . So, we have to make  $X_{\sigma}$ satisfy conditions (Sfr1)-(Sfr4). We do it as in the previous construction: On top of each  $\tau \in [S_{f(\sigma)}][s], \tau \neq \tau_1$ , we use Lemma 2.3 to build a tree  $Q_{\tau}$  such that if  $[T_{\sigma}]$ is perfect, then  $[Q_{\tau}]$  is perfect and thin, and  $[Q_{\tau}]$  is finite otherwise. Of course, the construction of  $Q_{\tau}$  is done step by step every time  $\mathcal{S}_{\sigma}$  is active and while it has outcome fin.

• Suppose now that the last time  $S_{\sigma}$  was active, it had status fin. That means that we are waiting for  $r(\sigma)$  to get *e*-verified.

- If  $r(\sigma)$  is still not *e*-verified, we keep the status **fin** and we activate the next requirements  $\mathcal{T}_{\sigma \frown 0}$  and  $\mathcal{T}_{\sigma \frown 1}$ . We also do one more step in the construction each of the  $Q_{\tau}$  that we started the last time we changed  $\mathcal{S}_{\sigma}$ 's status from  $\infty$ to **fin**. One thing to notice here is that even if  $\mathcal{S}_{\sigma}$  stays in status **fin** for ever, it might not be active at every stage. The reason is that there might be some stronger requirement  $\mathcal{S}_{\pi}, \pi \subset \sigma$ , that has outcome  $\infty$ . Even though we are assuming  $\mathcal{S}_{\sigma}$  knows this is  $\mathcal{S}_{\pi}$ 's final outcome,  $\mathcal{S}_{\pi}$  is going to change its status infinitely often to **fin**. Every time it does it,  $\mathcal{S}_{\sigma}$  gets paralyzed, and when  $\mathcal{S}_{\pi}$ 's status comes back to  $\infty$  and  $\mathcal{S}_{\sigma}$  becomes active again, it will find that some of the paths it was constructing had been extended to longer paths, though  $\mathcal{S}_{\pi}$  made sure no path had been killed. This does not affect  $\mathcal{S}_{\sigma}$ at all. So long as  $\mathcal{S}_{\sigma}$  gets to do a new step in the construction of the trees  $Q_{\tau}$ infinitely often, it will manage to construct them satisfying (Sfr1)-(Sfr4).
- Suppose now that  $r(\sigma)$  has been *e*-verified since the last time  $S_{\sigma}$  was active. Change  $S_{\sigma}$ 's status to  $\infty$ . Define  $r(\sigma)[s+1] = f(\sigma)$ . Let  $s_0$  be the last stage when  $S_{\sigma}$ 's status was  $\infty$ . Each string in  $[S_{f(\sigma)}][s_0]$  has at least one extension in  $[S_{f(\sigma)}][s]$ ; choose one. Kill all the other stings in  $[S_{f(\sigma)}][s]$  by not extending them in  $[S_{f(\sigma)}][s+1]$ . The rest of  $[S_{f(\sigma)}][s+1]$  will be defined at the end of stage s + 1 by other requirements. If a sting in  $[S_{f(\sigma)}][s_0]$  was of the form  $r(\pi)[s_0]$  for some  $\pi \supset \sigma$ , redefine  $r(\pi)$  to be the chosen extension of it in  $[S_{f(\sigma)}][s]$ . Activate requirements  $\mathcal{T}_{\sigma \frown 0}$  and  $\mathcal{T}_{\sigma \frown 1}$ .

4.2. Organization of the construction. Since this construction is an infinite injury one, we will do it on a tree of strategies. The way we do this is very standard, except for the fact that the requirements are not linearly ordered by priority. We could order the requirements linearly and define the tree of strategies the usual way, but instead we continue the style of the previous constructions.

Let TS, the tree of strategies, be the set of pairs  $\langle \sigma, \alpha \rangle$  where  $\sigma \in T$ , and  $\alpha$  contains beliefs of possible outcomes of the requirements stronger than  $\mathcal{R}_{\sigma}$ , that is,  $\alpha \in \{\text{wai}, \text{sat}, \text{iso}, \text{niso}, \infty, \text{fin}\}^{<\omega}$  satisfies that  $\alpha(3e) \in \{\text{sat}, \text{wai}\}, \alpha(3e+1) \in \{\text{iso}, \text{niso}\}, \alpha(3e+2) \in \{\infty, \text{fin}\}, \text{ and } |\alpha| = |\sigma|$ . So, for  $i < |\sigma|$ , we think of  $\alpha(i)$  as the outcome of the requirement at  $\sigma \upharpoonright i$ , and there is no belief about the outcome of  $\sigma$ . Each  $\langle \sigma, \alpha \rangle \in TS$  has a requirement  $\mathcal{R}_{\langle \sigma, \alpha \rangle}$  assigned, where  $\mathcal{R}$  can be either  $\mathcal{T}, \mathcal{F}$  or  $\mathcal{S}$  depending on whether  $|\sigma|$  is of the form 3e, 3+1 or 3e+2. The outcomes are ordered by  $\text{sat} <_L \text{wai}$ ,  $\text{iso} <_L \text{niso}$  and  $\infty <_L \text{fin}$ . This induces an ordering on TS as follows: we define  $\langle \sigma_0, \alpha_0 \rangle <_L \langle \sigma_1, \alpha_1 \rangle$ , and say that  $\langle \sigma_0, \alpha_0 \rangle$  is to the left of  $\langle \sigma_1, \alpha_1 \rangle$ , if there exists a i such that  $\sigma_0 \upharpoonright i + 1 = \sigma_1 \upharpoonright i + 1$ ,  $\alpha_0 \upharpoonright i = \alpha_1 \upharpoonright i$  and  $\alpha_0(i) <_L \alpha_1(i)$ . We give  $\mathcal{R}_{\langle \sigma_0, \alpha_0 \rangle}$  a stronger priority than  $\mathcal{R}_{\langle \sigma_1, \alpha_1 \rangle}$  if either  $\langle \sigma_0, \alpha_0 \rangle <_L \langle \sigma_1, \alpha_1 \rangle$  or  $\langle \sigma_0, \alpha_0 \rangle \subset \langle \sigma_1, \alpha_1 \rangle$ .

At every stage s there will be a finite tree  $D_s \subset T$  of nodes that get visited and a function  $o_s: D_s \to \{\text{wai}, \text{sat}, \text{iso}, \text{niso}, \infty, \text{fin}\}$  of outcomes. For every  $\sigma \in D_s$ , the requirement  $\mathcal{R}_{\langle \sigma, o_s \upharpoonright \sigma \rangle}$  is activated at stage s, and has outcome, or status,  $o_s(\sigma)$ , where  $o_s \upharpoonright \sigma = \langle o_s(\sigma \upharpoonright 0), o_s(\sigma \upharpoonright 1), ..., o_s(\sigma \upharpoonright |\sigma| - 1) \rangle$ .

At each stage s instead of having a partial function  $f[s]: D_s \to S$ , we have a partial function  $f[s]: TS \to S$ . The domain of r[s] will be TS+ instead of TS, where TS+ is the set of pairs  $\langle \sigma, \alpha \rangle$  where  $\sigma \in T$ , and  $\alpha$  contains beliefs of possible outcomes of the requirements  $\mathcal{R}_{\sigma \upharpoonright i}$  for every  $i \leq |\sigma|$ , so  $|\alpha| = |\sigma| + 1$ . f[s] and r[s] still satisfy that

 $f(\langle \sigma \cap 0, \alpha \rangle) = r(\langle \sigma, \alpha \rangle) \cap 0$ ,  $f(\langle \sigma \cap 1, \alpha \rangle) = r(\langle \sigma, \alpha \rangle) \cap 1$ , and  $f(\langle \sigma, \alpha^- \rangle) \subseteq r(\langle \sigma, \alpha \rangle)$ . Each requirement  $\mathcal{R}_{\langle \sigma, \alpha \rangle}$  is essentially given a string  $f(\langle \sigma, \alpha \rangle)$  for it to start working. At each stage s,  $\mathcal{R}_{\langle \sigma, \alpha \rangle}$  has an output  $o_s(\sigma)$  and defines  $r(\langle \sigma, \alpha \cap o_s(\sigma) \rangle)[s]$  extending  $f(\langle \sigma, \alpha \rangle)$ .

Main module for the construction at stage s. We start by activating the strategy for  $\mathcal{R}_{\langle \emptyset, \emptyset \rangle}$ . In general, when a requirement  $\mathcal{R}_{\langle \sigma, o_s \upharpoonright \sigma \rangle}$  is activated, at at stage s, it will end up with some status; we let  $o_s(\sigma)$  be that status, and we enumerate  $\sigma$  into  $D_s$ . All the requirements to the right of  $\langle \sigma, o_s \upharpoonright \sigma + \rangle$  are canceled, where  $o_s \upharpoonright \sigma + = \langle o_s(\sigma \upharpoonright i) : i = 0, ..., |\sigma| \rangle$ . Depending on what type of action  $\mathcal{R}_{\langle \sigma, o_s \upharpoonright \sigma \rangle}$  takes, it might activate requirements  $\mathcal{R}_{\langle \sigma^{-}0, o_s \upharpoonright \sigma + \rangle}$  and  $\mathcal{R}_{\langle \sigma^{-}1, o_s \upharpoonright \sigma + \rangle}$ . If it does not,  $\mathcal{R}_{\langle \sigma, o_s \upharpoonright \sigma \rangle}$  has to define  $[S_{f(\langle \sigma, o_s \upharpoonright \sigma \rangle)}][s]$ .

The true path TP:  $T \to {\text{wai}, \text{sat}, \text{iso}, \text{niso}, \infty, \text{fin}}$  is defined as usual:

$$\mathsf{TP}(\sigma) = \liminf_{s:(\mathsf{TP} \upharpoonright \sigma) = (o_s \upharpoonright \sigma)} o_s(\sigma).$$

At the end of the construction we define, for  $\sigma \in T$ ,

$$f(\sigma) = \lim_{s: (\operatorname{TP} \upharpoonright \sigma) = (o_s \upharpoonright \sigma)} f(\langle \sigma, \operatorname{TP} \upharpoonright \sigma \rangle)[s]$$

and

$$r(\sigma) = \lim_{s:(\mathtt{TP} \upharpoonright \sigma +) = (o_s \upharpoonright \sigma +)} r(\langle \sigma, \mathtt{TP} \upharpoonright \sigma + \rangle)[s].$$

# 4.3. Modulo for the slenderness requirements.

Modulo for requirement  $S_{\langle \sigma, \alpha \rangle}$  at stage s + 1. • Suppose first that the last time we visited  $S_{\langle \sigma, \alpha \rangle}$  it had status  $\infty$ .

- If every  $\tau \in S_{f(\langle \sigma, \alpha \rangle)}[s]$  is *e*-verified, then let  $o_{s+1}(\sigma) = \infty$  and move on to the next requirements  $\mathcal{T}_{\langle \sigma \frown 0, \alpha \frown \infty \rangle}$  and  $\mathcal{T}_{\langle \sigma \frown 1, \alpha \frown \infty \rangle}$ .
- Otherwise, let  $\tau_0$  be the first  $\tau \in S_{f(\langle \sigma, \alpha \rangle)}[s]$  that has not been *e*-verified (first in some ordering of  $2^{\langle \omega \rangle}$ ). Let  $\tau_1$  be an extension of  $\tau_0$  in [S][s]. Define  $r(\langle \sigma, \alpha \widehat{\mathsf{fin}} \rangle)[s+1] = \tau_1 \widehat{\mathsf{o}}$  and set  $o_{s+1}(\sigma) = \mathsf{fin}$ . Let  $[S_{f(\langle \sigma, \alpha \rangle)}][s+1] =$  $\{\tau \widehat{\mathsf{o}} : \tau \in [S_{f(\langle \sigma, \alpha \rangle)}][s]\}$ . Do not activate any other requirements.
- Suppose now that the last time  $\mathcal{S}_{\langle \sigma, \alpha \rangle}$  was active, it had status fin.
  - If  $r(\langle \sigma, \alpha \cap \mathtt{fin} \rangle)$  is still not *e*-verified, we keep the status  $\mathtt{fin}$ . Let  $s_0 < s_1 < \ldots < s_n = s + 1$  be the set of stages at which  $\mathcal{S}_{\langle \sigma, \alpha \rangle}$  has been activated after the last time *u* when  $\mathcal{S}_{\langle \sigma, \alpha \rangle}$  had outcome  $\infty$ . Let  $t_i = s_i u$  and let  $\{\tau_0, \ldots, \tau_{k-1}\} = [S_{f(\langle \sigma, \alpha \rangle)}][u] \setminus \{r(\langle \sigma, \alpha \cap \mathtt{fin} \rangle)\}$ . For each j < k, define  $[S_{\tau_j}][s+1] = \Gamma_{T_\sigma}(t_0, \ldots, t_n, [S_{\tau_j}][s])$ , where  $\Gamma$  is as in Lemma 2.3. Activate the requirements  $\mathcal{T}_{\langle \sigma \cap 0, \alpha \cap \mathtt{fin} \rangle}$  and  $\mathcal{T}_{\langle \sigma \cap 1, \alpha \cap \mathtt{fin} \rangle}$ .
  - Suppose now that  $r(\langle \sigma, \alpha \rangle)$  has been *e*-verified since the last time  $\mathcal{S}_{\langle \sigma, \alpha \rangle}$  was active. Let  $o_{s+1}(\sigma) = \infty$ . Define  $r(\langle \sigma, \alpha^{\frown} \infty \rangle)[s+1] = f(\langle \sigma, \alpha \rangle)$ . Let *u* be the last stage when  $\mathcal{S}_{\langle \sigma, \alpha \rangle}$ 's status was  $\infty$  and  $\{\tau_0, ..., \tau_k\} = [S_{f(\langle \sigma, \alpha \rangle)}][u]$ . If  $\tau_i$  is of the form  $r(\langle \pi, \delta \rangle)[u]$ , then let  $r(\langle \pi, \delta \rangle)[s]$  be an extension of it in  $[S_{f(\langle \sigma, \alpha \rangle)}][s]$ . Activate requirements  $\mathcal{T}_{\langle \sigma^{\frown} 0, \alpha^{\frown} \infty \rangle}$  and  $\mathcal{T}_{\langle \sigma^{\frown} 1, \alpha^{\frown} \infty \rangle}$ .

Suppose  $\langle \sigma, \alpha \rangle \in \text{TP}$  and  $\mathcal{S}_{\langle \sigma, \alpha \rangle}$  gets activated infinitely often and after some stage  $s_0$  it is never canceled. If after some stage  $s_1$ , whenever  $\mathcal{S}_{\langle \sigma, \alpha \rangle}$  is activated, its outcome is fin, then we will have that  $r(\sigma) = r(\langle \sigma, o_{s_1} \upharpoonright \sigma + \rangle)$  and  $[r(\sigma)] \subseteq F_e$ . So  $[S_{r(\sigma)}] \subseteq \int ([F_e])$ , and

 $\Diamond$ 

 $[S_{f(\sigma)}] = X_{\sigma} \cap [S_{r(\sigma)}]$ , where  $X_{\sigma}$  is a finite union of set isomorphic to  $Q_{\sigma}$ , and hence  $X_{\sigma}$  is perfect and thin if  $[T_{\sigma}]$  is perfect and  $X_{\sigma}$  is finite otherwise.

Otherwise, if  $S_{\langle \sigma, \alpha \rangle}$  has  $\infty$  outcome infinitely many times, then there requirements extending  $\langle \sigma, \alpha \frown \infty \rangle$  will have the chance to act infinitely often and will never be canceled by  $S_{\langle \sigma, \alpha \rangle}$ . In this case we have that every sting of  $S_{f(\sigma)}$  gets *e*-verified and hence  $[S_{f(\sigma)}] \cap$  $int([F_e]) = \emptyset$ .

4.4. Thinness requirements. For each  $\langle \sigma, \alpha \rangle \in TS$ , of length 3e we have a thinness requirement: either  $[(F_e)_{f(\sigma)}] = [S_{f(\sigma)}]$ , or  $[F_e] \cap [S_{f(\sigma)}] = \emptyset$ . It does exactly the same as the module described in Section 2.2. As in the previous constructions, it will not build any set  $X_{\sigma}$  and we will have  $[S_{f(\sigma)}] = [S_{r(\sigma)}]$ . When it is initialized is stars on status wai, and  $r(\langle \sigma, \alpha \frown wai \rangle) = f(\langle \sigma, \alpha \rangle)$ . If it ever acts, it changes its status to sat and defines  $r(\langle \sigma, \alpha \frown sat \rangle)$  to be some string not in  $F_e$  and it is then satisfied for ever. Note that if  $\mathcal{T}_{\langle \sigma, \alpha \rangle}$  is in status sat and some other requirement changes the value of  $r(\langle \sigma, \alpha \frown sat \rangle)$  for a longer string, then  $\mathcal{T}_{\langle \sigma, \alpha \rangle}$  reminds satisfied. So, as in the comments at the end of Section 2.2, so long as  $\mathcal{T}_{\langle \sigma, \alpha \rangle}$  gets to act infinitely often after the last time it was initialized, it will be satisfied. The reason why all the requirements  $\mathcal{T}_{\sigma}$  for  $\sigma$  of length 3e imply  $\mathcal{T}_e$  is the same as in Section 3.2.

4.5. Isolation requirements. For each  $\sigma \in T$ ,  $\sigma$  of length 3e + 1 we have a isolation requirement: if  $[T_{\sigma}]$  is isolated,  $[S_{f(\sigma)}]$  is isolated. It does exactly the same as the module described in the previous construction, and so long as it gets to act infinitely often without being canceled, it will make sure that (Sfr2) is satisfied as in the previous construction.

4.6. Verifications. Let D be the set of  $\sigma \in T$  such that  $[T_{\sigma}]$  is non-empty and  $[T_{\sigma^{-}}]$  is not isolated.

**Lemma 4.2.** Consider  $\sigma \in D$ .

- (1) There is a stage  $s_0$ , after which  $\mathcal{R}_{\langle \sigma, TP \upharpoonright \sigma \rangle}$  is activated infinitely often and never canceled again. We also have that  $f(\sigma)$  exists and equals  $f(\langle \sigma, TP \upharpoonright \sigma \rangle [s_0])$ .
- (2)  $\mathcal{R}_{\sigma}$  is satisfied.
- (3) For  $\sigma$  not an end-node of D,  $\mathcal{R}_{\langle \sigma, TP \upharpoonright \sigma \rangle}$  acts only finitely often, and  $r(\sigma)$  exists.
- (4)  $TP(\sigma)$  exists.

*Proof.* The proof is by simultaneous induction on the length of  $\sigma$ .

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