

# *Generic Decision Complexity*

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## REFERENCES

- Asymptotic Density for c.e. Sets (with Jockusch and Schupp) in preparation.
- Generic Computability, Turing Degrees and Asymptotic Density (Jockusch and Schupp), to appear.
- Generic case complexity, decision problems in group theory and random walks, (Kapovich, Miasnikov, Schupp and Shpilrain) J. Algebra, (2003)
- Genericity, the Arshantseva-Ol'shanskii technique and the isomorphism problem for one relator groups, (Kapovich and Schupp) Math Ann (2005)

## BACKGROUND

- Classical complexity, P, NP etc seems often the wrong model for actual behaviour of problems.
- E.g Simplex Algo, Polynomial Identity Testing etc.
- Other models: Parameterized complexity (Downey-Fellows), average case complexity (Gurevich-Levin), smoothed analysis (Spielman-modern version of average case)
- The first does not always explain things it seems, and the last two are hard to apply (distributions etc)
- New method suggested by Kapovich, Miasnikov, Schupp and Shpilrain in 2003.

## ASYMPTOTIC DENSITY

- A finite alphabet  $\Sigma$
- Let  $S$  be a subset of  $\Sigma^*$ . For every  $n \geq 0$  let  $S \upharpoonright n$  denote the set of all words in  $S$  of length at most  $n$ .

- Let

$$\rho_n(S) = \frac{|S \upharpoonright n|}{|\Sigma^* \upharpoonright n|}$$

- **Upper density** (Borel)

$$\bar{\rho}(S) := \limsup_{n \rightarrow \infty} \rho_n(S)$$

- Similarly, **Lower density**
- **(asymptotic) density** If the actual limit

$$\rho(S) = \lim_{n \rightarrow \infty} \rho_n(S) \text{ exists}$$

## GENERIC CASE COMPLEXITY

- A subset  $S$  of  $\Sigma^*$  is **generic** if  $\rho(S) = 1$  and  $S$  is **negligible** if  $\rho(S) = 0$
- **exponentially fast** Exist  $0 \leq \sigma < 1$  and  $C > 0$  such that for every  $n \geq 1$  we have  $1 - \rho_n(S) \leq C\sigma^n$ . In this case we say that  $S$  is **strongly generic**.
- A (partial)  $\Phi : \Sigma^* \rightarrow \{0, 1\}$  is a **generic description** of  $S$  if  $\Phi(x) \downarrow \rightarrow \Phi(x) = S(x)$  and the domain of  $\Phi$  is generic.
- A set  $S$  is called **generically computable** if there exists a *partial computable* function  $\Phi$  which is a generic description of  $S$ .

## AN EXAMPLE

- $G = \langle a, b; R \rangle$  be any 2-generator group.
- Note Any countable group is embeddable in a 2-generator group so there are uncountably many such  $G$ .
- Let  $F = \langle x, y \mid \rangle$  be the free group of rank 2.
- $H = G * \langle x, y \rangle := \langle a, b, x, y; R \rangle$  be the free product of  $G$  and  $F$ .
- Then the word problem for  $H$  is strongly generically solvable in linear time.

## A GENERIC CASE ALGORITHM

- Take a long word  $w$  on the alphabet  $\{a, b, x, y\}^{\pm 1}$ , e.g.  $abx^{-1}bxyaxbby$ .
- Erase the  $a, b$  symbols, freely reduce the remaining word on  $\{x, y\}^{\pm 1}$ , and if any letters remain, output “no”.
- This partial algorithm gives no incorrect answers because if the image of  $w$  under the projection homomorphism to the free group  $F$  is not 1, then  $w \neq 1$  in  $H$ .

$$abx^{-1}bxyaxbby \rightarrow x^{-1}xyxy \rightarrow yxy \neq 1$$

- The successive letters on  $\{x, y\}^{\pm 1}$  in a long random word  $w \in H$  is a long random word in  $F$  which is not equal to the identity. So the algorithm answers “No” on a strongly generic set and gives no answer if the image in  $F$  is equal to the identity.

## OTHER EXAMPLES

- The above is called the **quotient method** and can be used for any  $G = \langle X, R \rangle$  subgroup of  $K$  of finite index for which there is an epimorphism  $K \rightarrow H$  hyperbolic and not virtually cyclic, to show generically solvable word problem.
- Applies also to 1-relator groups with  $\geq 3$  generators similarly (no bound for Magnus' solution), plus isomorphism problem; and braid groups, and automorphism problems for free groups etc.
- Boone's group also, unknown if there is a one without a generically solvable word problem. (See also Gilman, Miasnikov and Osin for the strong case)
- See the papers by Schupp, Kapovich etc.

## EASY OBSERVATIONS

- Every degree contains a generically computable set.  $S \subseteq \{0, 1\}^*$  be the set  $\{0^n : n \in A\}$ .
- (Jockusch-Schupp; Miasnikov-Rybalov) Every nonzero Turing degree contains a set which is not generically computable. Let  $A$  be any noncomputable subset of  $\omega$  and let  $T = \{0^n 1 w : n \in A, w \in \{0, 1\}^*\}$ .
- Clearly  $A$  and  $T$  are Turing equivalent.
- For a fixed  $n_0$ ,  $\rho(\{0^{n_0} 1 w : w \in \{0, 1\}^*\}) = 2^{-(n_0+1)} > 0$ . A generic algorithm for a set must give an answer on some members of any set of positive density.

## GENERIC COMPUTABILITY OF SUBSETS OF $\omega$

- density is now Borel density.

### DEFINITION (JOCKUSCH-SCHUPP)

Let  $\mathcal{C}$  be a family of subsets of  $\omega$ . A set  $A \subseteq \omega$  is **densely  $\mathcal{C}$ -approximable** if there exist sets

$C_0, C_1 \in \mathcal{C}$  such that  $C_0 \subseteq \bar{A}$ ,  $C_1 \subseteq A$  and  $C_0 \cup C_1$  has density 1.

### THEOREM (JOCKUSCH-SCHUPP)

*A set  $A$  is generically computable if and only if  $A$  is densely approximable by c.e. sets. Hence every c.e. set of density 1 is generically computable.*

## A KEY PLAYER

- Jockusch-Schupp defined the following set:

$$R_k = \{m : 2^k | m, 2^{(k+1)} \nmid m\}$$

- The collection of sets  $\{R_k\}$  forms a partition of unity for  $\omega - \{0\}$  since these sets are pairwise disjoint and  $\bigcup_{k=0}^{\infty} R_k = \omega - \{0\}$ .
- As JS observed they have the following nice additivity: If  $\{S_i\}, i = 0, 1, \dots$  is a countable collection of pairwise disjoint subsets of  $\omega$  such that each  $\rho(S_i)$  exists and  $\bar{\rho}(\bigcup_{i=N}^{\infty} S_i) \rightarrow 0$  as  $N \rightarrow \infty$ , then

$$\rho\left(\bigcup_{i=0}^{\infty} S_i\right) = \sum_{i=0}^{\infty} \rho(S_i).$$

### DEFINITION

If  $A \subseteq \omega$  then  $\mathcal{R}(A) = \bigcup_{n \in A} R_n$

- $\rho(\mathcal{R}(A)) = \sum_{n \in A} 2^{-(n+1)}$

## A SIMPLE APPLICATION

- (JS) If  $r = .b_0b_1b_2\dots b_i\dots$  is the binary expansion of  $r$ , let  $A = \{i : b_i = 1\}$  and then  $\rho(\mathcal{R}(A)) = r_A$ .
- The density  $r_A$  of  $\mathcal{R}(A)$ , i.e.  $\sum_{n \in A} \rho(R_n)$ , is a computable real if and only if  $A$  is computable. Hence every real in  $[0, 1]$  is a density.

### THEOREM (JOCKUSCH-SCHUPP)

*A real number  $r \in [0, 1]$  is the density of some computable set if and only if  $r$  is a  $\Delta_2^0$  real.*

- If  $A$  is computable

$$q_n = \rho_n(A) = \frac{|\{k : k \leq n, k \in A\}|}{n+1}$$

for all  $n$ . Thus, if  $\rho(A) = \lim_{n \rightarrow \infty} \rho_n(A)$  exists, its value  $r$  is a  $\Delta_2^0$  real.

- Let  $r = \lim_n q_n \in (0, 1)$  a limit of a computable sequence of rationals
- there is a computable set  $A$  with  $\rho(A) = r$ .

- (Interpolating sequences) A computable increasing sequence  $\{s_n\}$  of positive integers such that

$$\left| \frac{|A[s_n]|}{s_n + 1} - q_n \right| \leq \frac{1}{n} \text{ and } \lim_{n \rightarrow \infty} \frac{|A[s_n]|}{s_n + 1} = r.$$

Take  $s_1 = 1$  and put 0 in  $A$ . If  $A[s_n]$  is already defined there are two cases.

If  $\frac{|A[s_n]|}{s_n + 1} < q_{n+1}$  find the least  $k$  such that

$$\frac{|A[s_n]| + k}{s_n + k + 1} \geq q_{n+1}.$$

(Such a  $k$  exists because  $q_{n+1} < 1$ .) Let  $s_{n+1} = s_n + k$  and let  $A[s_{n+1}] = A[s_n \cup \{s_n + 1, \dots, s_n + k\}]$ .

If  $\frac{|A[s_n]|}{s_n + 1} \geq q_{n+1}$  find the least  $k$  such that

$$\frac{|A[s_n]|}{s_n + k + 1} < q_{n+1}.$$

Let  $s_{n+1} = s_n + k$  and let  $A[s_{n+1}] = A[s_n]$ .

## A STARTING POINT

### THEOREM (JOCKUSCH-SCHUPP)

*There exists a c.e. set  $A$  of density 1 which has no computable subset of density 1. Hence, generically computable sets need not be densely approximable by computable sets. Hence, there exists a generically computable set  $A$  of density 1 such that no generic algorithm for  $A$  has computable domain.*

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$$P_n : R_n \subseteq^* A$$

- $N_e$ : If  $W_e \cup A = \omega$  then  $W_e$  does not have upper density 0 on  $R_e$ .

## THE $N_e$ STRATEGY

- Work in cycles. Pick a big interval in  $R_e$ , say  $I_{e,1}$ .
- Keep this out of  $A$  but put the rest of  $R_e$  into  $A$  (i.e. below  $s$ ) until  $W_e$  eats  $I_{e,1}$ .
- Then put  $I_{e,1}$  into  $A$  and repeat with another big interval  $I_{e,2}$ .
- Finite outcome  $W_e$  is bad, infinite outcome  $I_{e,i} \cap W_e = \emptyset$  for all  $i$  and hence  $W_e$  does not have density 1.

## A CHARACTERIZATION OF LOWNESS

### THEOREM (DOWNEY-JOCKUSCH-SCHUPP)

*A c.e. degree  $\mathbf{a}$  contains a c.e. set  $A$  of density 1 which has no computable subset of density 1*

*iff*

*$\mathbf{a}$  is nonlow..*

## ONE DIRECTION

- We are given nonlow  $A$  and build  $B \leq_T A$ .

$$Q_e : \overline{W}_e \text{ density } 1 \rightarrow \overline{W}_e \not\subseteq B.$$

- For the sake of  $Q_e$ , we will set aside infinitely many rows,  $R_{e,i}$  where  $i \in \omega$ .
- The idea is that row  $R_{e,i}$  will be devoted to argument  $i$  of the jump,  $\Phi_i(i)$  with use  $\varphi_i(\cdot, \cdot)$ .
- For each  $e$  we will build a (potential) limit lemma reduction  $\Gamma_e(i, s)$  trying to compute  $A'$  predicated on the *failure* of  $Q_e$  being met.
- All of the strategies work completely independently, though all the  $R_{e,i}$  use the same  $\Gamma_e$ .

- $\Gamma_e(i, 0) = 0$
- $\Phi^A(i) \downarrow [s_0]$ . (If not then fine)
- Then we will pick a *big* interval  $I$  in  $R_{e,i}$  (so that, in particular,  $\varphi_i(i, s) < \min I$ ). We restrain this interval from  $B$ , at present. We wait for one of two things to happen.
  - (I)  $W_e$  eats the interval, at stage  $t$ .
  - (II)  $\Phi^A(i) \uparrow [t]$  using the hat convention here.
- If (ii) occurs, we will release all restraint on  $B$  and enumerate all of  $I$  immediately into  $B$ . ( $A$ -permitted)
- If (i) occurs, we will enumerate  $\Gamma_e(i, t) = 1$ , and declare  $i$  as *active*. If (i) occurs, we will then do nothing more unless a stage  $v > t$  occurs where  $\Phi^A(i) \uparrow [v]$ , (the hat convention applies) in which case we immediately enumerate  $I$  into  $B$ , declare  $i$  as no longer active, make  $\Gamma_e(i, v) = 0$  and repeat.

## HOW TIGHT?

### THEOREM (DOWNEY-JOCKUSCH-SCHUPP)

*If  $A$  is c.e. and has density  $q$  and  $q' < q$ ,  $A$  has a computable subset of density  $q'$ .*

- Use a method of “big interval bootstrapping”
- Divide the universe into  $I_0, I_1, \dots$
- Work from the point where the density is above  $q'$ , say  $I_0$ .
- Ask that  $A$  achieves high density on all of  $I_{i+2}$  before enumerating  $C$  on  $I_i$ .
- Choose the sizes  $I_j$  so that the combinatorics works.

## THE OTHER DIRECTION OF THE LOWNESS RESULT

- Suppose that we had a computable function  $f$  telling us that above  $f(n)$   $A$  had density  $1 - 2^{-n}$ , on the  $I_n$  above chosen like Ackermann's function. Then we could **wait** for the elements to enter  $A$  and then put them into a computable set  $C \subseteq A$ .
- The statement that for all  $m > \min I_n$  the density of  $A \upharpoonright m > 1 - 2^{-n}$  is  $A'$ -computable and hence approximable if  $A$  is low.
- Now run the construction using a  $\emptyset'$  approximation to  $f^A$  as above.

## WHAT ARE THE DENSITIES OF C.E. SETS?

### THEOREM (DOWNEY-JOCKUSCH-SCHUPP)

Let  $g(n, s)$  be a computable function with rational values such that:

- (I) For all  $n, s, 0 \leq g(n, s) \leq g(n, s + 1) \leq 1$ ,
- (II) For all  $n, \exists^{<\infty} s [g(n, s) \neq g(n, s + 1)]$

Let  $h(n) = \lim_s g(n, s)$  Then there is a c.e. set  $A$  such that the lower density of  $A$  is  $\liminf_n h(n)$  and the upper density is  $\limsup_n h(n)$ .

- Note that changing  $g(n, s)$  by at most  $1/n$  can assume that  $g(n, s)$  has the form  $k/n$ , where  $k$  is an integer.
- Partition the interval  $[n!, (n+1)!)$  into consecutive subintervals of size  $n$ . Let  $A$  consist of the first  $nh(n)$  elements of each such subinterval, over all  $n$ .
- $A$  is c.e. because, by (i),  $h(n) = \max_s g(n, s)$ .
- Clearly the density of  $A$  on each interval  $[n!, (n+1)!)$  is exactly  $h(n)$ , since this is the density of  $A$  on each subinterval.
- Hence the density of  $A$  on  $[0, (n+1)!)$  is close to  $h(n)$ , since  $n!$  is negligible in comparison with  $(n+1)!$ , for large  $n$ .
- For  $i$  in the interval  $(n!, (n+1)!)$  the density of  $A$  on  $[0, i)$  is approximately between  $h(n-1)$  and  $h(n)$  (if  $n > 0$ ), with error which approaches 0.

## A COROLLARY

### COROLLARY (DOWNEY-JOCKUSCH-SCHUPP)

*TFAE for  $r$  a real in  $[0, 1]$*

- (I)  $r$  is the upper density of a c.e. set*
- (II)  $r$  is the density of a c.e. set*
- (III)  $r$  is the upper density of a computable set*
- (IV)  $r$  is left  $\Pi_2$ .*

### COROLLARY (DOWNEY-JOCKUSCH-SCHUPP)

*There is a  $\Delta_3^0$  real that is not the density of a c.e. set.*

### COROLLARY (DOWNEY-JOCKUSCH-SCHUPP)

*There is a real which is the density of a c.e. set but not of any computable set.*

## OTHER DIRECTIONS

- (JS)  $A$  of natural numbers *coarsely computable* if there is a computable set  $B$  such that the symmetric difference of  $A$  and  $B$  has density 0.
- Thus there exists a *total* algorithm  $\Phi$  which may make mistakes on membership in  $A$  but the mistakes occur only on a negligible set.

### THEOREM (JOCKUSCH-SCHUPP)

*The word problem of any finitely generated group  $G = \langle X : R \rangle$  is coarsely computable.*

- If  $G$  is an infinite group, the set of words on  $(X \cup X^{-1})^*$  which are not equal to the identity in  $G$  has density 1 and hence is coarsely computable.
- Generic computability and coarse computability are independent for c.e. sets (JS)

## COARSE VS GENERIC

- JS coarse but not generic: take e.g. a simple set and say no for all inputs.
- The other direction looks a bit like the the theorem above. Build  $A_1 \sqcup A_2$  and kill  $\Psi_e$ 's using their totality and big intervals.

### THEOREM (JOCKUSCH-SCHUPP)

*Every nonzero degree contains a set that is not coarsely computable.  
(and of course one that is)*

- Their proof breaks down into two cases.  $\mathcal{R}(A)$  is coarsely computable iff  $A \leq_T \emptyset'$ , and if  $A \leq_T \emptyset'$  use the fact that  $A$  is hyperimmune to directly meet requirements. See the JS paper.

## ANOTHER CHARACTERIZATION OF LOWNESS

### THEOREM (DOWNEY-JOCKSUCH-SCHUPP)

*Let  $r$  be a computable real. A c.e. degree  $\mathbf{a}$  contains a c.e. generically computable set and the density of  $A$  is  $r$ , which is not coarsely computable iff  $\mathbf{a}$  is non-low.*

- The proof is similar to the other one, in some sense.

- However, if we remove the  $r$  from the above only one direction holds. If  $\mathbf{a}$  is nonlow it computes a c.e generically computable set which is not coarsely computable. This is not surprising:

### THEOREM (DOWNEY-JOCKSUCH-SCHUPP)

If  $\mathbf{a}$  is a nonzero degree then  $\mathbf{a}$  computes a c.e generically computable set which is not coarsely computable.

## WHERE TO?

- **Reductions** are problematical. Most generally to be transitive should be enumeration operators which generically take a generic description of  $A$  to one for  $B$ .
- Even if full access to  $A$ ,  $B$  questions like minimal pairs, degrees all open and seem quite hard.
- Provably need to be in hyperimmune-free degrees so loved by Frank Stephan.
- What about generic algos for other algebraic objects?
- Lots of other nice results in the Jockusch-Schupp paper about associated degree structures, etc.

- Thanks!