

The Finite Intersection Property and Computability Theory

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- ▶ One equivalent of the axiom of choice
- ▶ A family of sets $\mathcal{F} = \{A_i \mid i \in Q\}$ has **finite intersection property** iff for all finite $F \subset Q$, $\bigcap_{i \in F} A_i \neq \emptyset$.
- ▶ The principal says: **Any collection of sets has a maximal subfamily with FIP.**
- ▶ We investigate the computability of this.
- ▶ First began by Dzharfarov and Mummert.

- ▶ The first thing to notice is that it depends on whether you consider the family as **set** or a **sequence**
- ▶ If as a **set** then \emptyset' is easily codable into a sequence and the theorem is equivalent to ACA_0 . (Namely, have a set $B = B_e$ such that it is initially empty, and if $e \in \emptyset'[s]$ henceforth intersect it with everything, so it must be included. \emptyset' can clearly figure things out.)
- ▶ Interesting if a **sequence**, so that A_1, A_2, A_3 is different from A_2, A_3, A_1 .
- ▶ Similarly $\bar{D}_2\text{IP}$ for for all **pairs** $A_i \cap A_j \neq \emptyset$. (DM notation)

Definition

Say that **a** is FIP iff for all computable collections of sets, **a** can compute a solut to the FIP problem.

A Basic Result

Theorem (Dzharfarov and Mummert)

There is a computable collection of sets with no c.e. subfamily with FIP. So $\mathbf{0}$ is not FIP, or even \bar{D}_2IP .

1. Meet $R_e : W_e$ is not an index for a maximal FIP family.
2. In the below I will use A_i, \dots and X_e, B_i etc. Of course these are all the same and really are $W_{f(j)}$ for a computable f given by the s-m-n theorem, and I am really concerned with the index $f(i)$. Also we will ensure that each nonzero set has a unique identifier in it, so these are really streams of numbers under consideration.
3. Use a trap set X_e .
4. Begin with A_0, A_1, \dots . Wait for W_e to respond.
5. Start intersecting X_e “in the back”. If W_e enumerates it win with finite injury.

Theorem (Dzharfarov and Mummert)

If \mathbf{a} is \bar{D}_2IP then it is hyperimmune. (i.e. not computably dominated for those under 35)

Theorem (Dzharfarov and Mummert)

If $\mathbf{a} \neq \mathbf{0}$ is c.e. then \mathbf{a} is FIP.

Theorem (Dzharfarov and Mummert)

If \mathbf{a} is \emptyset' -hyperimmune then it is FIP.

- ▶ The c.e. noncomputable case below $C \neq_T \emptyset$.
- ▶ We are building A_0, A_1, \dots, A_n .
- ▶ We want to put some element B into this family (with truncation), as we have seen B intersect A_0, \dots, A_j , the first position determined by B 's index.
- ▶ We then place a permitting challenge to C . If later we see C permit j , we change the family to A_0, \dots, A_j, B .
- ▶ When B meets $A_{j+1}[s]$ place another challenge on B .
- ▶ The \emptyset' -hyperimmune is because \emptyset' knows if we ever want to put things in, and infinitely often the C can decode this.
- ▶ It might seem that the c.e. case would also work for $\Delta_2^0 C$, but it fails for a nonuniform reason.
- ▶ An earlier promise for a C -configuration might force some D_1 into the sequence which might be disjoint from the B we are attempting to put in. (board)

Theorem (DM)

There is a computable nontrivial family such that every maximal subfamily with \bar{D}_2IP has hyperimmune degree.

(proof)[DDGT] We will define a computable family of the form

$$\{A_e^i : e \leq i\} \cup \{B_e : e \in \omega\}.$$

We will call sets A_e^i and B_e with subscript e “ e -sets”. We will ensure the following hold.

- ▶ Every A_e^i is nonempty.
- ▶ B_e is nonempty iff $\phi_e(e) \downarrow$, and contains only numbers larger than the stage when $\phi_e(e)$ converges.
- ▶ If $i \neq e$, then every nonempty e -set intersects every nonempty i -set.
- ▶ For all $i, j \geq e$, A_e^i intersects A_e^j .
- ▶ A_e^i intersects B_e iff $\phi_e(x) \downarrow$ for all $x \leq i + 1$. Moreover, the intersection only contains elements larger than the least stage s such that $\phi_e(x) \downarrow [s]$ for all $x \leq i + 1$.

We can assume the nonempty sets also code their indices, so that for every subfamily $\mathcal{C} = \{C_n \mid n \in \omega\}$ which does not contain the empty set, we can compute from C_n which set A_e^i or B_e is equal to C_n .

Let \mathcal{C} be a maximal subfamily with $\bar{D}_2\text{IP}$, and let \mathcal{C}_s denote $\{C_n \mid n \leq s\}$. Since \mathcal{C} does not contain the empty set, for each e , if $B_e \notin \mathcal{C}$, then $A_e^i \in \mathcal{C}$ for every $i \geq e$, since A_e^i intersects every nonempty set in our family, except perhaps B_e .

Now if $\phi_e(x)$ is total, then B_e must be in the family. From the family, we can compute the least number q with $q \in B_e \cap A_i^x$ for $x \geq e$, and this will exceed $\phi_e(x)$. We need to make the function essentially coding this total whether or not ϕ_e is total.

Let g be defined by

$$g(x) = (\mu s) \forall e \leq i \leq x \ A_e^i \in \mathcal{C}_s \vee B_e \in \mathcal{C}_s.$$

Let f be defined by

$$f(x) = (\mu n) \forall i, j \leq g(x) \ C_i \cap C_j \cap [0, n] \neq \emptyset.$$

Observe that $f \leq_T \mathcal{C}$.

We will show f is not majorized by any computable function. Suppose ϕ_e is total. Then every e -set intersects every nonempty set in the family we built, so the maximal subfamily \mathcal{C} must contain B_e and every A_e^i . Let $x \geq e$ be minimal such that A_e^x appears after B_e in \mathcal{C} . We claim $f(x) > \phi_e(x)$. Notice $g(x)$ bounds the position that B_e appears. If $x = e$, then $B_e \cap [0, f(x)]$ is nonempty and therefore $f(x) > \phi_e(e)$. If $x > e$, then $g(x)$ also bounds the position A_e^{x-1} appears, and therefore $B_e \cap A_e^{x-1} \cap [0, f(x)]$ is nonempty. Thus $f(x) > \phi_e(x)$.

1-Generics, again

Theorem (DDGT)

If \mathbf{a} bounds a 1-generic then \mathbf{a} is FIP.

The main idea: Think about the proof that if \mathbf{a} is c.e. then it is FIP. If we want to add some B to A_0, A_1, \dots , then we put up a permitting challenge to \mathbf{a} and if permission occurs slot B in, and truncate the family.

If we need to add some B in then it will be dense in the construction so a permission occurs. For a 1-generic construction, for finite partial families, we will see such B occur and challenge generics to include B by the enumeration of a c.e. set of strings (thinking of sequences as strings, and the family as coding the generic). If this is dense then the generic will meet the condition.

In more detail:

Suppose that X is 1-generic. Let $\{A_n : n \in \omega\}$ be a nontrivial family of sets. Without loss of generality, we may assume $A_0 \neq \emptyset$. Given $f : \omega \rightarrow \omega$, we define a function g recursively as follows:

- ▶ $g(0) = 0$
- ▶ Suppose we have defined $g \upharpoonright n$. To define $g(n+1)$, look for the least $m \leq n+1$ different from $g(0) \dots g(n)$ such that $A_m \cap \bigcap_{x \leq n} A_{g(x)}$ contains a number smaller than $f(n+1)$. If there is such an m , define $g(n+1) = m$. Otherwise, define $g(n+1) = 0$.

This defines a functional $\Psi : \omega^\omega \rightarrow \omega^\omega$. We define Ψ^σ for $\sigma \in \omega^{<\omega}$ in the usual way, noting that $|\Psi^\sigma| = |\sigma|$

In DDGT, we prove that if X is 1-generic, and if $g = \Psi^{p_X}$, where p_X is the principal function of X , then $\{A_{g(n)} : n \in \omega\}$ is a maximal subfamily of $\{A_n : n \in \omega\}$ with FIP.

By construction, for all N , $\bigcap_{n < N} A_{g(n)}$ is nonempty, as we only allow g to take a new value not already in its range when we see a witness to nonempty intersection. Thus the subfamily $\{A_{g(n)} : n \in \omega\}$ has FIP. Suppose it is not a maximal subfamily with FIP, and let m be minimal such that m is not in the range of g , but $\{A_m, A_{g(n)} : n \in \omega\}$ has FIP. Let

$$W = \{\sigma : \exists n \Psi^{p_\sigma}(n) = m\}$$

where p_σ is the element of ω^k , where k is the number of 1s in σ , such that $p_\sigma(i)$ gives the position of the i th 1 in σ . Then no initial segment of X can be in W , since m is not in the range of g . However, every initial segment of X can be extended to an element of W . Let σ be an initial segment of X such that the range of Ψ^{p_σ} contains every number less than m in the range of g , and for every number i less than m not in the range of g , the range of Ψ^{p_σ} contains some $j_1 \dots j_k$ such that

$$A_i \cap A_{j_1} \cap \dots \cap A_{j_k} = \emptyset.$$

Such a σ exists by the minimality of m .

Now, for any initial segment τ of X extending σ ,

$$A_m \cap \bigcap_{n < |p_\tau|} A_{\psi^{p_\tau}(n)} \neq \emptyset.$$

Therefore, extending τ by sufficiently many 0s followed by a 1 (such that the number of 0s bounds some element of this intersection) gives a string in W . This contradicts the 1-genericity of X .

The Δ_2^0 Case

Theorem (DDGT)

If X is Δ_2^0 and of FIP degree, then X computes a 1-generic.

The theorem is aided by the fact that there is a universal family.

Theorem (DDGT)

There is a computable instance of FIP named \mathcal{U} which is universal in the sense that any maximal solution for \mathcal{U} computes a maximal solution for every other computable instance of FIP. Further, this reduction is uniform—if \mathcal{A} is a computable instance of FIP, then from an index for \mathcal{A} , one can effectively obtain an index for a reduction that computes a maximal solution for \mathcal{A} from a maximal solution for \mathcal{U} . Thus FIP for \mathcal{U} is Medvedev-above all other computable FIPs.

The idea for the proof is “intersect a lot, in a recoverable way.”

The Δ_2^0 case

- ▶ Given Q of FIP degree, we build 1-generic $G \leq_T Q$, and a family. (NB nonuniformity or use the recursion theorem)
- ▶ At some stage have X_0, X_1, \dots and $G \leq_T Q[s]$.
- ▶ Want to make G meet V_e , say. Use an auxiliary set $B = B_e$.
- ▶ Make it meet, say, X_0, \dots, X_e (but not the rest) (A permitting challenge). Repeat with X_{e+1} etc.
- ▶ If at some stage we get permission, then want to have, say, X_0, \dots, X_j, B_e want to block this from going back (For the principle all families representing the same collections of sets should give the same 1-generic) using **bocker** $Z_{e,j}$

The general case

Theorem (DDGT)

*There is a **minimal** FIP \mathbf{a} in Δ_3^0 .*

The proof is a tricky full approximation argument.

- ▶ Image we have so far A_0, A_1, \dots, A_n and wish to slot in B_2 , position determined by index and “state”.
- ▶ Presumably we have enumerated some description of $\Phi^{(A_0, A_1, \dots, A_n)}(j)$ for $j \leq p$.
- ▶ We can move $A_0 A_1 B \dots$ for **one step** seeking agreeing computations.
- ▶ Then we can go back. If B stops intersecting, then who cares? If B intersects more, repeat.
- ▶ If we get a split we can change state.
- ▶ A split must generate equivalent families. $A_0 A_1 B \dots A_j$ and $A_0 A_1 \dots A_j B$ and this forces lots of pain when interactions are considered.

- ▶ Notably, priorities ensure that you need to force many splits before you believe “split”, as places for entry of high priority sets.
- ▶ These are “left hanging” which is why the trees are partial.
- ▶ That is, we might have $A_0A_1B \dots A_j$ and $A_0A_1 \dots A_jB$, being the place where we promise we would introduce C , but this intersection might never occur, so we force another split (at least).
- ▶ Matters can be arranged to make sure that the first splits split with the second, arguing about uses.
- ▶ Then we would work on the second split unless we need to introduce C .
- ▶ Interactions are intricate.

Finite variations

- ▶ Do the same but use only families of finite sets.
- ▶ Computably true if given as either canonical finite sets, or with a bound on the number.
- ▶ FIP is computably true (look at the big intersection)
- ▶ If only finite and weak indices:

Theorem (DDGT)

$\overline{D}_2IP_{finite}$ and Δ_2^0 iff it bounds a 1-generic.

- ▶ The proof is similar but uses more initialization and priority.

Thank You