

# Resolute Sets and Initial Segment Complexity (Some Preliminary Results)

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# Plan

- ▶ Introduce some new concepts relating to reals with **low** initial segment complexity.
- ▶ Relate them to known degree classes.
- ▶ Relate them to known notions of lowness for initial segment complexity.
- ▶ Our idea is that lowness concepts like  $K$ -triviality, Schnorr triviality, (non-)complexity, have been so successful that perhaps we should seek relaxations of these concepts and if they are interesting.
- ▶ Here we relax  $K$ -triviality.
- ▶ Recall that we had no idea at the beginning that  $K$ -triviality would be so interesting.

## Initial Segment Complexity

- ▶ Theme:  $Q(A \upharpoonright n)$  for Kolmogorov complexities  $Q$  give insight into the relative randomness of  $Q$ , and interplay with computational power.
- ▶ For example Schnorr :  $A$  is random iff  $K(A \upharpoonright n) \geq^+ n$ .
- ▶ Variations such as effective dimensions.
- ▶ Variations such as complex and autocomplex via orders and PA degrees etc.
- ▶ Recent Barmpalias, Hölzl, Lewis, Merkle “Computationally enumerable sets that resemble  $\Omega$ ”
- ▶ Barmplais survey: “Measures of Randomness”

## Initial Segment Lowness

- ▶  $X$  is **low for random** means  $Y$  random iff  $Y$  is  $X$ -random.
- ▶  $X$  is  **$K$ -trivial** iff for all  $n$ ,  $K(X \upharpoonright n) \leq K(n) + c$ , for all  $n$ .

### Theorem (Chaitin)

*$X$  is computable iff for all  $n$ ,  $C(X \upharpoonright n) \leq C(n) + c$  for all  $n$ . That is,  $C$ -trivial=computable.*

## A trivial story

- ▶  $A = \{ \langle e, n \rangle : \exists s (W_{e,s} \cap A_s = \emptyset \wedge \langle e, n \rangle \in W_{e,s} \text{ and } \bigwedge \sum_{\langle e, n \rangle \leq j \leq s} 2^{-K(j)[s]} < 2^{-(e+2)}) \}$ .
- ▶ This  $A$  is  $K$ -trivial, non-computable.

### Theorem (Downey, Hirschfeldt, Nies, Stephan)

*If  $A$  is  $K$ -trivial then  $A$  solves Post's problem. That is, a one line description of a Turing incomplete set.*

### Theorem (Nies)

1.  $A$  is  $K$ -trivial iff
2.  $A$  is low for randomness iff
3.  $A \leq X$  for some  $A$ -random  $X$  (with Hirschfeldt)
4.  $A$  is low for  $K$  meaning  $K^A = K$ .

## Why do we feel they are important?

- ▶  $K$ -trivials are ubiquitous with maybe 15 characterizations.
- ▶ Bring to the fore themes of tracing.
- ▶ Variations have been used to solve longstanding questions in computability theory and in logic.
- ▶ Newer variations with weaker initial segment properties.
- ▶ For example **low for  $K$  up to  $g$**  (Herbert, Hirschfeldt-Weber)  
 $K(n) \leq K^A(n) + g(n)$  for  $(\Delta_2^0)$  order  $g$ .
- ▶ First **fundamentally enumerable** property. No forcing.

# Some old and new relatives

## Definition

- ▶ We will say that  $A$  is **weakly  $K$ -resolute** iff for all (computable) orders  $h$ ,  $K(A \upharpoonright n) \geq^+ K(A \upharpoonright h(n))$ .
- ▶ Similarly for  $C$ -.

## Definition (Franklin, Greenberg, Stephan, Wu)

$A$  is **anti-complex** iff for all orders  $h$ ,  $C(A \upharpoonright h(n)) \leq n$ .

## Definition (Lathrop and Lutz)

$A$  is called **ultracompressible** iff for all orders  $g$ ,  $K(A \upharpoonright n) \leq^+ K(n) + g(n)$ .

## Definition

$A$  is **Kummer anti-complex** iff for all orders  $g$ ,  $C(A \upharpoonright n) \leq C(n) + g(n)$ .

- ▶ Note that in the first two definitions, think of  $h$  as fast growing, and the second two  $g$  as very slow growing.



- ▶ A natural way to construct sets with low initial segment complexity is to “thin them out”.
- ▶ The *f*-shift of  $A$ ,  $A_f$ , results by  $f(n) \in A_f$  iff  $n \in A$ .

## Definition

$A$  is called *Q-resolute* ( $Q \in \{C, K\}$ ) iff for all computable orders  $f$  with  $f(n) \geq n$ , and all  $m$ ,

$$Q(A \upharpoonright m) =^+ Q(A_f \upharpoonright m).$$

## Lemma

- 1 If  $A$  is *Q-resolute* then  $A$  is weakly *Q-resolute*.
- 2 If  $A$  is (weakly) *Q-resolute* and  $B \equiv_Q A$  then  $B$  is (weakly) *K-resolute*.
- 3 If  $A$  is weakly *Q-resolute* and  $B \leq_{\text{wtt}} A$ , then  $B \leq_Q A$ .

- ▶ Proof of 3. Let  $B \leq_{wtt} A$ , and hence  $Q(B \upharpoonright n) \leq^+ Q(A \upharpoonright f(n))$  for some computable  $f$ . But  $A$  is weakly  $Q$ -resolute then  $Q(A \upharpoonright n) \geq^+ Q(A \upharpoonright f(n))$ .
- ▶ Proof of 2. Let  $f$  be the computable shift. Then  $Q(B \upharpoonright n) \leq^+ Q(A \upharpoonright n) \leq^+ Q(A_f \upharpoonright n) \leq^+ Q(B_f \upharpoonright n)$ . The weak case is similar.
- ▶ Proof of 1. Suppose that  $A$  is  $Q$ -resolute. Then  $A \equiv_Q A_f$ . Hence  $Q(A \upharpoonright n) \geq^+ Q(A_f \upharpoonright f(n)) =^+ Q(A \upharpoonright f(n))$ .

# Anticomplex

## Lemma

*If  $A$  is weakly  $K$ -resolute then  $A$  is anticomplex.*

Reason:  $n \geq C(A \upharpoonright n) \geq^+ C(A \upharpoonright h(n))$ .

## Corollary

*If  $A$  is weakly  $K$  resolute the  $A \leq_{wtt} X$  for some Schnorr trivial set  $X$ .*

REcall:  $A$  is **Schnorr trivial** iff for all computable measure machines  $M$ , there is a computable measure machine  $\hat{M}$  such that for all  $n$ ,  $K_{\hat{M}}(A \upharpoonright n) \leq^+ K_M(n)$ .

Recall: computable measure machine has  $\mu(\text{dom}(M)) = r$  for a computable real  $r$ .

Recall:  $X$  is Schnorr random iff for all such machines  $M$ ,  $K_M(X \upharpoonright n) \geq^+ n$ . (Downey-Griffiths)

## Theorem

1. *Every  $K$ -resolute set  $X$  is ultracompressible.*
2. *Every  $C$ -resolute set is Kummer anti-complex.*
3. *The converse is not true.*

- ▶ 1 and 2. Let  $g$  be an order (slow growing) and choose an order  $f$  so that  $f(g(n)) = n$ . The  $Q(X_f \upharpoonright n) \leq^+ Q(n) + Q(g(n))$ . This is because  $X_f$  has at most  $X_f \upharpoonright n$  has at most at most  $g(n)$  nonzero bits. But  $X$  is  $Q$ -resolute. Hence  $Q(X \upharpoonright n) \leq^+ Q(n) + Q(g(n))$ . This means  $Q(X \upharpoonright n) \leq^+ Q(n) + g(n)$  and hence  $X$  is ultracompressible/Kummer-anticomplex.
- ▶ 3. Next frame

# Array Computable Degrees

## Definition (Downey, Jockusch and Stob)

We say that  $\mathbf{a}$  is **array computable** iff for some order  $h$  with  $h(0) \geq 1$ , all  $g \leq_T \mathbf{a}$  is  $h(n)$ -c.e. (ie  $g(n) = \lim_s g(n, s)$  with  $|\{s \mid g(n, s+1) \neq g(n, s)\}| \leq h(n)$ ).

Strictly speaking, not a definition, but a theorem. It can be shown that any order will do, proof in Downey-Hirschfeldt or Nies for instance.

## Theorem

*If  $\mathbf{a}$  is array computable then it is completely ultracompressible and Kummer anti-complex. (and anticomplex)*

## Theorem (Kummer)

*If  $\mathbf{a}$  is array noncomputable then it contains a c.e. set which is neither.*

- ▶ Suppose that  $X$  is array computable. let  $f$  be an order. Want to show  $Q(A \upharpoonright n) \leq^+ Q(n) + f(n)$ . Let  $Q = K$ . Let  $g(n) = \log \log f(n)$ , say. Then  $X \upharpoonright n$  is  $g$ -traceable, as  $X$  is array computable, that is  $X \upharpoonright n \in V_n$  with  $|V_n| \leq g(n)$ .
- ▶ Consider then the algorithm  $M$  which on input  $\sigma$  simulates  $U(\sigma)$ , and if it halts we will define  $M(\sigma\nu)$  potentially for all  $\nu \in 2^{\log |V_{|U(\sigma)|}|}$ .
- ▶ The idea is that when  $\tau$  occurs in  $V_{|U(\sigma)|}$ , we use the next leaf  $\nu$  of  $2^{\log |V_{|U(\sigma)|}|}$  when another string occurs in  $V_{|U(\sigma)|}$ . This works.
- ▶ The  $C$  case is easier.

- ▶ Kummer showed that if  $\mathbf{a}$  is array noncomputable then it contains  $A$  such that  $\exists^\infty n C(A \upharpoonright n) \geq^+ 2 \log n$ .



## Theorem

*There is an array computable  $X$  which is not weakly  $Q$ -resolute.*

$R_e : \Phi_e^X$  total implies  $\Phi_e^X$  is id-ce.

Placed as nodes  $\tau$  on the tree. If  $\tau \infty$  then we must build a witness.

We build  $h$  such that for all  $e$ , exists  $n$ ,  $K(X \upharpoonright n) \not\leq K(X \upharpoonright h(n)) - e$ .

Nodes on the tree with guesses. Action: has a bit of measure,  $2^{-e(\sigma)}$  which it **lowers**  $K(A_s \upharpoonright n)$ , freezes that and then **challenges** the opponent to match on  $K_s(A_s \upharpoonright h(n))$  and we get to change  $A_t[n+1, h(n)]$ , etc. The **point** is to choose  $n \gg e$ .

# Shifts

- ▶ Note that if  $A$  is  $K$ -trivial then  $A$  is  $K$ -resolute.
- ▶ However, there are degrees which are **completely  $K$ -resolute** and not  $K$ -trivial.
- ▶ We begin with constructions of  $K$ -resolute sets.

## Lemma

*Let  $f$  be an order. Then every c.e.  $m$ -degree contains a set  $X$  such that  $X \equiv_K X_f$ .*

- ▶ Given  $Y$  define  $g(n) = f^n(0)$  and  $X = \{g(n) \mid n \in Y\}$ .  $X \equiv_m Y$ . Clearly  $Q(X \upharpoonright n) \geq^+ X_f$ . Conversely, given  $X_f \upharpoonright n$ , note that all the bits are 0 except perhaps the first  $k + 1$  members,  $t_0, \dots, t_k$ , of  $F = \{f^i(0) \mid i \in \omega\}$  below  $n$ . Note that  $X(t_i) = X_f(t_{i+1})$  for  $i < k$ , thus to describe  $X \upharpoonright n$  we only need  $X_f \upharpoonright n$  and the value of  $X(t_k)$ . That is,  $X \leq_{rK} X_f$ .

## Theorem

*Every high c.e. degree contains a  $K$ -resolute set.*

## Theorem

*Suppose that  $S$  is  $CEA(\emptyset')$ . Then there is a c.e.  $K$ -resolute set  $X$  with  $X' \equiv_T S$ .*

- ▶ The idea for the high degree theorem is to use the  $m$ -degree results and use highness to guess totality for functions. That is, iterate the methodology making  $A$  “very sparse”.
- ▶ The idea for the other one is similar, noting that enumeration is not actually necessary except for coding, but sparsification is. The “noise” is computable. This uses a tree.

## Definition

- ▶ Given  $X, Y$  and  $g$ , let  $X \otimes_g Y$  be the set obtained by replacing the  $g(i)$ -th bit of  $X$  by the  $i$ -th bit of  $Y$ .
- ▶ If  $g$  is increasing, and  $E$  is computable we say  $A$  is  $g$ -sparse if  $A = E \otimes_f X$  for some  $X$  and computable  $f$ , with  $g(f(i)) < f(i+1)$ .  $A$  is sparse if it is  $g$ -sparse for all computable  $g$ .

## Theorem

- ▶ *Every sparse set is  $Q$ -resolute.*
- ▶ *Every sparse hyperimmune set is high.*
- ▶ *Sparse sets form a meager class.*
- ▶ *There is a special  $\Pi_1^0$  class of sparse (and hence resolute) sets.*

They are all pretty straightforward, the last one uses the expected construction. It also follows by the work of Ian Herbert and Lempp, Miller, Ng, Turetsky, Weber on low for dimension reals.

# Completely $K$ -resolute degrees

## Definition (Ladner and Sasso)

$X$  is called (strongly) **contiguous** if for all (not necessarily c.e.)  $Y \equiv_T X$ ,  $Y \equiv_{wtt} X$ .

## Theorem (Ng)

$X$  is contiguous iff  $X$  is strongly contiguous.

## Theorem

If  $X$  is strongly contiguous then  $X$  is completely  $Q$ -resolute, and for all c.e.  $Y \equiv_T X$ ,  $Y \equiv_Q X$ .

## Theorem

There are strongly contiguous degrees where the above is true removing the "c.e." before the  $Y$ .

Direct construction for the last one. Probably true for all contiguous.

## Corollary

*There are degrees  $\mathbf{a}$  containing only one  $Q$ -degree and are not  $K$ -trivial.*

That is because there are properly  $\text{low}_2$ , and superlow-non- $K$ -trivial contiguous degrees, for instance. Note also the Herbert et al gives this, but there ones are all low, as low for information is  $\text{GL}_1$ .

## Theorem

*There exist degrees  $\mathbf{a}$  containing only one  $Q$ -degree and are neither contiguous nor  $K$ -trivial. They are completely  $Q$ -resolute.*

The proof is that  $Q$  allows for a small number of errors, but enough to make  $A \not\leq_{\text{wtt}} B$ , with  $A \equiv_T B$ . Think of  $\Gamma^B = A$  where the use is allowed to change but only a small number of times, like a slowly growing order. This makes a  $\leq_{rK}$  reduction.

### Theorem

*If  $\mathbf{a}$  is made so that all elements are sparse, then they are all Schnorr trivial.*

Suppose that  $U$  is a computable measure machine. The proof is to think about the function  $f(n)$  that computes where  $\mu(\text{dom}(U)) \leq 2^{-2^{n+1}}$ , say. Use this and the sparseness to calculate  $M$  witnessing that  $A \in \mathbf{a}$  is Schnorr trivial.

Notice it is enough to be **narrow** (Binns). Recall:  $A$  is narrow iff for all orders  $h$ , there is a  $\Pi_1^0$  class  $P$  containing  $A$  with the width at level  $n \leq h(n)$ .

The construction of such degrees is essentially the contiguous one. Is that sufficient?



## Back to the contiguous story

### Theorem

Suppose that  $\mathbf{a}$  is wtt-bottomed. Then the bottom  $A$  is (weakly)  $K$ -resolute.

Suppose that  $A$  is not  $h$ -resolute. Thus for all  $c$  there are infinitely many  $n$  with  $K(A \upharpoonright n) \not\cong K(A \upharpoonright h(n))$ . We build  $B \equiv_{\mathcal{T}} A$  to meet the requirements. The reduction  $\Delta^B = A$  is by markers  $\delta(n, s)$ . The other one is simple permitting.  $\delta(n, s)$  move as usual via some kind of kicking. We we move  $\delta(n, s)$  only if  $A \upharpoonright h(n)[s]$  changes, and *must* move it when  $A \upharpoonright n$  changes.

Let  $\Gamma_e$  denote the  $e$ -wtt reduction. We meet

$$R_e : \Gamma^B \neq A.$$

When nothing else happens and  $n$  enters  $A_s$  we simply put  $\delta(n, s)$  into  $B_s$  and kick  $\delta(m, s)$  for  $m \geq n$ , to big numbers.

- ▶  $R_e$  asserts control of  $n$  if  $\ell(e, s) > h(n)$  at stage  $s$  for the first time.  $R_e$  asserts control of  $A_s \upharpoonright h(n)$ .
- ▶ We make a description of  $A_s \upharpoonright h(n)$  matching  $A_s \upharpoonright n$  with weighting  $2^{-(e+2)}$ . Such descriptions will be enumerated at  $e$ -expansion stages.
- ▶ If  $A_t \upharpoonright h(n)$  changes after this for the **first** time we will enumerate  $\delta(n, s)$  into  $B_{s+1}$  (rather than perhaps some  $\gamma(m, s)$  for  $m \in [n + 1, h(n)]$ .)
- ▶ The key fact is that if  $\gamma_e^B = A$  then after the next expansion stage, if  $A \upharpoonright h(n)$  changes, then it must be that some  $i \leq n$  enters  $A$  also since else  $B \upharpoonright \gamma(n, s)$  would not change.
- ▶ The result follows by finite injury, since if any  $R_e$  acted confinally, then it would demonstrate that  $K(A \upharpoonright n) \geq^+ K(A \upharpoonright h(n))$ .

# Not every c.e. degree

## Theorem

*There exists a c.e. degree  $\mathbf{a}$  containing no ultracompressible set. They can be, e.g.  $high_2$  or low.*

We build  $A$  to meet  $R_e : \Phi_e^A = W_e \wedge \Gamma_e^{W_e} = A$  implies  $W_e$  is not weakly resolute at order  $h_e$ .

$h_e$  is built at the mother node  $\tau$ . Worker nodes  $\sigma$  try to demonstrate that  $K(W \upharpoonright n) \not\leq K(W \upharpoonright n) + h(n) + e$ . Again packets of measure at  $\sigma$ , and local control via links back at  $\tau$  with enough layers to kill  $e$ . Put them in reverse order.

Thank You