GROWTH RATE FUNCTIONS OF DENSE CLASSES OF REPRESENTABLE MATROIDS

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ABSTRACT. For each proper minor-closed subclass \mathcal{M} of the $\mathrm{GF}(q^2)$ -representable matroids containing all $\mathrm{GF}(q)$ -representable matroids, we give, for all large r, a tight upper bound on the number of points in a rank-r matroid in \mathcal{M} , and give a rank-r matroid in \mathcal{M} for which equality holds. As a consequence, we give a tight upper bound on the number of points in a $\mathrm{GF}(q^2)$ -representable, rank-r matroid of large rank with no $\mathrm{PG}(k, q^2)$ -minor.

1. INTRODUCTION

If \mathcal{M} is a class of matroids containing at least one matroid of each nonnegative rank, then the growth rate function $h_{\mathcal{M}}$ of \mathcal{M} is the function whose value $h_{\mathcal{M}}(n)$ at a nonnegative integer n is defined to be the maximum of $|\mathcal{M}|$, where \mathcal{M} is a simple matroid in \mathcal{M} with $r(\mathcal{M}) \leq n$, or to be ∞ if no such maximum exists.

For each nonnegative integer k and prime power q, let $\mathcal{P}_{q,k}$ denote the set of matroids of the form M/C, where M is a $\mathrm{GF}(q^2)$ -representable matroid, C is a rank-k independent set in M, and $M \setminus C$ is a projective geometry over $\mathrm{GF}(q)$. Equivalently, $\mathcal{P}_{q,k}$ is the set of $\mathrm{GF}(q^2)$ -representable, k-element projections of projective geometries over $\mathrm{GF}(q)$. We prove the following:

Theorem 1.1. Let q be a prime power. If \mathcal{M} is a proper minor-closed subclass of the $GF(q^2)$ -representable matroids containing all GF(q)-representable matroids, then there is an integer $k \geq 0$ such that $\mathcal{P}_{q,k} \subseteq \mathcal{M}$, and $h_{\mathcal{M}}(n) = h_{\mathcal{P}_{q,k}}(n)$ for all large n.

We also characterise the densest matroids in $\mathcal{P}_{q,k}$, which will allow us to give an explicit expression for $h_{\mathcal{M}}(n)$:

Theorem 1.2. Let q be a prime power. If \mathcal{M} is a proper minor-closed subclass of the $GF(q^2)$ -representable matroids containing all GF(q)representable matroids, then there exist nonnegative integers $k_{\mathcal{M}}$ and $n_{\mathcal{M}}$ so that

$$h_{\mathcal{M}}(n) = \frac{q^{n+k_{\mathcal{M}}} - 1}{q - \frac{1}{1}} - q \frac{q^{2k_{\mathcal{M}}} - 1}{q^2 - 1}$$

for all $n \geq n_{\mathcal{M}}$.

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The qualitative behaviour of growth rate functions in minor-closed classes is elegantly summarised by the 'Growth Rate Theorem', a combination of results of Geelen, Kabell, Kung and Whittle, proved in [5]. All of our results treat classes of matroids satisfying condition (3) of this theorem in the $GF(q^2)$ -representable case.

Theorem 1.3 (Growth Rate Theorem). If \mathcal{M} is a minor-closed class of matroids, then either

- (1) There exists $c \in \mathbb{R}$ so that $h_{\mathcal{M}}(n) \leq cn$ for all $n \geq 0$, or
- (2) \mathcal{M} contains all graphic matroids, and there exists $c \in \mathbb{R}$ so that $h_{\mathcal{M}}(n) \leq cn^2$ for all $n \geq 0$, or
- (3) There is a prime power q, and $c \in \mathbb{R}$, so that \mathcal{M} contains all GF(q)-representable matroids and $h_{\mathcal{M}}(n) \leq cq^n$ for all $n \geq 0$.
- (4) \mathcal{M} contains all simple rank-2 matroids, and $h_{\mathcal{M}}(n) = \infty$ for all $n \geq 2$.

Another consequence of the characterisation of the densest matroids in $\mathcal{P}_{q,k}$ is a bound on the number of points in a $\mathrm{GF}(q^2)$ -representable matroid with no $\mathrm{PG}(k,q^2)$ -minor:

Theorem 1.4. Let q be a prime power, and $k \ge 0$ be an integer. There is an integer $n_{k,q} \ge 0$ so that if M is a simple $GF(q^2)$ -representable matroid of rank at least $n_{k,q}$ with no $PG(k + 1, q^2)$ -minor, then

$$|M| \le \frac{q^{r(M)+k} - 1}{q-1} - q\frac{q^{2k} - 1}{q^2 - 1}.$$

Moreover, this bound is the best possible.

The theory we establish imposes severe limitations on the extremal behaviour of exponentially dense classes of $GF(q^2)$ -representable matroids, and thus also gives some interesting corollaries regarding growth rate functions of naturally occuring classes of this sort.

Theorem 1.5. Let q be a prime power. There exists an integer $n_q \ge 0$ so that if $j \ge 3$ is an odd integer, and \mathcal{M} is the class of matroids representable over both $GF(q^2)$ and $GF(q^j)$, then

$$h_{\mathcal{M}}(n) = \frac{q^{n+1} - 1}{q - 1} - q$$

for all $n \geq n_q$.

This second result gives an apparently uncountably large collection of minor-closed classes of matroids, all arising naturally from representability, whose growth rate functions together give a finite set. **Theorem 1.6.** Let q be a prime power. There is a finite set \mathfrak{H}_q of integer-valued functions satisfying the following: let \mathcal{F} be a set of fields such that $\operatorname{GF}(q^2) \in \mathcal{F}$, and all fields in \mathcal{F} have a proper $\operatorname{GF}(q)$ -subfield, but not all fields in \mathcal{F} have a $\operatorname{GF}(q^2)$ -subfield. If \mathcal{M} is the class of matroids representable over all fields in \mathcal{F} , then $h_{\mathcal{M}} \in \mathfrak{H}_q$.

This suggests the following ambitious conjecture, which states that the collection itself is not uncountable, but finite.

Conjecture 1.7. Let q be a prime power. There is a finite set \mathfrak{M}_q of minor-closed classes of matroids satisfying the following: let \mathcal{F} be a set of fields such that $\operatorname{GF}(q^2) \in \mathcal{F}$, all fields in \mathcal{F} have a proper $\operatorname{GF}(q)$ -subfield, and not all fields in \mathcal{F} have a $\operatorname{GF}(q^2)$ -subfield. If \mathcal{M} is the class of matroids representable over all fields in \mathcal{F} , then $\mathcal{M} \in \mathfrak{M}_q$.

All of our main results apply only in the $GF(q^2)$ -representable setting. However, adaptations of our techniques should apply more generally; we believe that growth rate functions should have similar behaviour for all exponentially dense minor-closed classes of matroids; this next conjecture would substantially refine Theorem 1.3.

Conjecture 1.8. If \mathcal{M} is a minor-closed class of matroids satisfying condition (3) of Theorem 1.3 for some prime power q, then there exists an integer $k \ge 0$ and an integer d with $0 \le d \le \frac{q^{2k}-1}{q^2-1}$ such that

$$h_{\mathcal{M}}(n) = \frac{q^{n+k} - 1}{q - 1} - qd$$

for all large n.

This conjecture is motivated by the belief that the densest rank*n* matroids in a class of base-*q*-exponential density should be small projections of projective geometries over GF(q); the conjectured value for $h_{\mathcal{M}}(n)$ is the number of points in a rank-*n* matroid of this sort.

The subtractive constant -qd can take a range of values. This is a result of the fact that there are many different ways to take k-element projections of PG(n,q), giving rise to minor-closed classes with different growth rate functions. The largest and smallest possible values of d are of particular interest, and we briefly discuss them here.

If M_n is a matroid, and $e \in E(M_n)$, freely placed in the flat $E(M_n)$, satisfies $M_n \setminus e \cong \mathrm{PG}(n,q)$, then M_n/e is the truncation of $\mathrm{PG}(n,q)$. This is a special case of a projection, and for $n \ge 2$ the simple rank-nmatroid M_n/e satisfies $|M_n/e| = \frac{q^{n+1}-1}{q-1}$. Closing the set $\{M_n : n \ge 0\}$ under minors gives a class \mathcal{M} of matroids with $h_{\mathcal{M}}(n) = \frac{q^{n+1}-1}{q-1}$ for all $n \ge 2$. This is an example of a class where d takes the value zero.

A class where $d = \frac{q^{2k}-1}{q^2-1}$ is the class $\mathcal{P}_{q,k}$ of Theorem 1.1. In fact, the theorem essentially states that if \mathcal{M} contains only $\mathrm{GF}(q^2)$ -representable matroids, then d must take this value. This is a consequence of the fact that there is, up to isomorphism, a unique way to take a $\mathrm{GF}(q^2)$ representable, k-element projection of a projective geometry over $\mathrm{GF}(q)$ that is not also a (k-1)-element projection of such a geometry. For this reason, the $\mathrm{GF}(q^2)$ -representable case we are considering is qualitatively different from the general case, and some techniques we use will not be applicable to any proof of Conjecture 1.8.

2. Preliminaries

We assume familiarity with matroid theory, using as a base the notation of Oxley [7]. Additionally, if M is a matroid, we will write |M|to denote |E(M)|, and $\epsilon(M)$ to denote $|\operatorname{si}(M)|$, with $\epsilon_M(A)$ denoting $\epsilon(M|A)$. Thus, $h_{\mathcal{M}}(n) = \max\{\epsilon(M) : M \in \mathcal{M}, r(M) \leq n\}$. A point is a rank-1 flat, and a *line* is a rank-2 flat. If $\ell \geq 1$ is an integer, then $\mathcal{U}(\ell)$ denotes the class of matroids with no $U_{2,\ell+2}$ -minor.

The following beautiful theorem was proved by Kung in [6]:

Theorem 2.1. If $\ell \geq 2$ is an integer and $M \in \mathcal{U}(\ell)$, then

$$\epsilon(M) \le \frac{\ell^{r(M)} - 1}{\ell - 1}.$$

This next theorem was proved by Geelen and Kabell in [1], but not in this explicit form:

Theorem 2.2. There is a real-valued function $f_{2,2}(\beta, \ell, n)$ so that if $\ell \geq 2$ and $n \geq 1$ are integers, $\beta > 1$ is a real number, and $M \in \mathcal{U}(\ell)$ satisfies $\epsilon(M) \geq f_{2,2}(\beta, \ell, n)\beta^{r(M)}$, then M has a PG(n-1, q)-minor for some prime power $q > \beta$.

Proof. If $\beta \geq 2$, then let $q' = \lfloor \beta \rfloor$, and $f_{2,2}(\beta, \ell, n)$ be the integer α , depending on q', n and ℓ , given by Theorem 2.1 of [1]. If $\beta < 2$, then let $c = f_{2,2}(\beta, \ell, n)$ be an integer large enough such that $c\beta^n \geq an^m$ for all $n \geq 2$, where a and m are the integers given by Theorem 2.2 of [1]. The result follows from one of these two theorems.

A very similar lemma to the following was proved in [2] (see [2], Lemma 2.3). The proof we give is only different in that it deals with a larger range of values for μ .

Lemma 2.3. Let λ, μ be real numbers with $\lambda > 0$ and $\mu > 1$. Let $k \ge 0$ and $\ell \ge 2$ be integers, and let A and B be sets of elements in a matroid $M \in \mathcal{U}(\ell)$ with $r_M(B) \le k < r(M)$ and $\epsilon_M(A) > \lambda \mu^{r_M(A)}$.

Then there is a set $A' \subseteq A$ that is skew to B and satisfies $\epsilon_M(A') > \lambda \left(\frac{\mu-1}{\ell}\right)^k \mu^{r_M(A')}$.

Proof. We will prove the result by induction on k; our base case is when k = 1, in which we have $r(M) \ge 2$ and may assume that $r_M(B) = 1$. Let $e \in B$ be a nonloop. We may assume that A is minimal satisfying $\epsilon(M|A) > \lambda \mu^{r_M(A)}$, and that $E(M) = A \cup \{e\}$. Let W be a flat of M with $e \notin W$, so that $r_M(W) = r(M) - 2$. Let H_0, \ldots, H_m be the hyperplanes of M containing W, where $e \in H_0$. The sets $\{H_i - W : 0 \le i \le m\}$ form a partition of E(M) - W. Also, $\operatorname{si}(M/W) \cong U_{2,m+1}$, so $m \le \ell$.

Minimality of A gives $\epsilon_M(H_0 \cap A) \leq \lambda \mu^{r(M)-1}$, so

$$\epsilon_M(A - H_0) > \lambda(\mu - 1)\mu^{r(M) - 1}$$

The union of the hyperplanes H_1, \ldots, H_m contains $A - H_0$, so by a majority argument, there is some $1 \le i \le m$ such that

$$\epsilon_M(A \cap H_i) \ge m^{-1} \epsilon_M(A - H_0) > \lambda\left(\frac{\mu - 1}{\ell}\right) \mu^{r(M) - 1}.$$

Set $A' = A \cap H_i$. Now A' is skew to e and therefore to B, and A' has the size we want, completing the base case.

Now, suppose that the result holds for some $k \ge 1$. Let $A, B \subseteq E(M)$ satisfy $r_M(B) \le k + 1$ and $\epsilon_M(A) > \lambda \mu^{r_M(A)}$. Let $e \in B$ be a nonloop. By the base case, there is a set $A' \subseteq A$, skew to $\{e\}$, and satisfying $\epsilon_{M/e}(A') = \epsilon_M(A') > \lambda \left(\frac{\ell}{\mu-1}\right) \mu^{r_M(A')}$. Now $r_{M/e}(B - \{e\}) \le k$, and the result follows by applying the inductive hypothesis to $B - \{e\}$ and A' in M/e.

3. Unique Representations

We make a diversion. Our goal in this section is to establish that if A is a matrix with entries in a finite field \mathbb{F} , then a submatrix of A representing a projective geometry over a subfield of \mathbb{F} can be assumed to only have entries in this subfield. Our main result Theorem 3.4 is likely equivalent to statements already well-known by projective geometers.

If q is a prime power, we will write GF(q) for some canonical field with q elements. If \mathbb{F} has GF(q) as a subfield, M is an \mathbb{F} -representable matroid, and R is a restriction of M, then R is a GF(q)-represented restriction of M if there is an \mathbb{F} -representation A of M such that A[E(R)]has entries only in GF(q). We will consider the case when $\mathbb{F} = GF(q^2)$.

Two matrices A and B with entries in a field \mathbb{F} are *projectively equiv*alent if there is a sequence of elementary row operations and column

scalings of A that gives B. We say that B is obtained by applying a projective transformation to A. If this is the case, then M(A) = M(B). Theorem 3.4 is closely related to the following:

Theorem 3.1 (Fundamental Theorem of Projective Geometry). Let q be a prime power, and $n \ge 1$ be an integer. The matroid PG(n,q) is uniquely GF(q)-representable, up to projective equivalence and field automorphisms.

We require two well-known results. The first is found in [3]; we only invoke it in the simple case where M is the cycle matroid of K_4 .

Theorem 3.2. If M is a binary matroid, and \mathbb{F} is a field, then M has at most one \mathbb{F} -representation, up to projective equivalence.

The second follows easily from the fact that the degree q polynomial $x^q - x$ has at most q zeroes over \mathbb{F} :

Theorem 3.3 (Subfield Criterion). Let q be a prime power. If \mathbb{F} is a field with a GF(q)-subfield, then this subfield is unique.

Theorem 3.4. If q is a prime power, $n \ge 3$ is an integer, and \mathbb{F} is an extension field of GF(q), then each representation of PG(n-1,q) over \mathbb{F} is projectively equivalent to a representation over GF(q).

Proof. Let $M \cong PG(n-1,q)$, and A be an \mathbb{F} -representation of M. We will show that there is a GF(q)-subfield F of \mathbb{F} , so that for any pair of distinct columns u and v of A, and $\omega \in F$, the vector $u + \omega v$ is parallel to a column of A. As this property is preserved by row operations and column scalings, we will freely apply projective transformations to A.

Let $\{x_1, x_2, x_3\}$ be an independent set of size 3 in M, and e_1, e_2, e_3 be the first three vectors in the standard basis of \mathbb{F}^n . The matrix Bwith column set $\{e_1, e_2, e_3, e_1 - e_2, e_2 - e_3, e_3 - e_1\}$ is a \mathbb{F} -representation of the cycle matroid of K_4 , and M has an $M(K_4)$ -restriction with basis $\{x_1, x_2, x_3\}$, so we may assume by Theorem 3.2 that $A_{x_i} = e_i$ for each $i \in \{1, 2, 3\}$, and moreover that all columns of B are columns of A.

Let Z be the set of vectors in \mathbb{F}^n that are parallel to a column of A. Since $M \cong \mathrm{PG}(n-1,q)$ is modular, if L_1 and L_2 are rank-2 subspaces of \mathbb{F}^n , each spanned by a pair of vectors in Z, and $w \in L_1 \cap L_2$, then $w \in Z$. For simplicity, we will refer to such subspaces as *lines*, and write $\mathrm{cl}(v_1, v_2)$ for the subspace spanned by vectors $v_1, v_2 \in \mathbb{F}^n$.

For $(i, j) \in \{(1, 2), (2, 3), (3, 1)\}$, let $L_{ij} = cl(e_i, e_j)$, and $F_{ij} = \{\omega \in \mathbb{F} : e_i + \omega e_j \in Z\}$. Since all lines in PG(n-1, q) have q+1 points, and the elements of F_{ij} correspond to points other than u_j on the line L_{ij} , we have $|F_{ij}| = q$, and since the columns of B are columns of A, the sets F_{ij} contain 0 and -1.

3.4.1. $F_{12} = F_{23} = F_{31}$, and this set is closed under \mathbb{F} -inverses of nonzero elements.

Proof of claim: Let $\alpha \in F_{12} - \{0\}$. The lines $cl(e_1 + \alpha e_2, e_3 - e_1)$ and L_{23} both contain $e_2 + \alpha^{-1}e_3$, so $\alpha^{-1} \in F_{23}$. By applying the same argument twice more, we get $\alpha = (\alpha^{-1})^{-1} \in F_{31}$, and $\alpha^{-1} \in F_{12}$. Therefore $F_{12} - \{0\} = \{\alpha^{-1} : \alpha \in F_{12} - \{0\}\}$, and the inclusions established give $F_{12} \supseteq F_{23} \supseteq F_{31} \supseteq F_{12}$, giving the claim.

Let $F = F_{12} = F_{23} = F_{31}$. This second claim, together with the first claim and the fact that |F| > 1, implies that F is a subfield of \mathbb{F} .

3.4.2. F is closed under subtraction and multiplication in \mathbb{F} .

Proof of claim: Let $\alpha, \beta \in F$. To see closure under multiplication, assume that $\alpha\beta \neq 0$, and observe that $\alpha \in F_{12}$ and $\beta \in F_{23}$, so $e_1 + \alpha e_2$ and $e_2 + \beta e_3$ are both in Z. The lines $cl(e_1, e_2 + \beta e_3)$ and $cl(e_1 + \alpha e_2, e_3)$ both contain $e_1 + \alpha e_2 + \alpha \beta e_3$, so this vector is in Z. The lines $cl(e_1 + \alpha e_2 + \alpha \beta e_3, e_2)$ and L_{31} both contain $e_3 + (\alpha\beta)^{-1}e_1$, so $\alpha\beta \in F$ by the first claim.

It remains to show that $\alpha - \beta \in F$; we may assume that $\alpha \neq \beta$. We have $\alpha, \beta \in F_{12}$, so $e_1 + \alpha e_2$ and $e_1 + \beta e_2$ are both in Z. The lines $cl(e_1 + \alpha e_2, e_2 - e_3)$ and $cl(e_1 + \beta e_2, e_3)$ both contain $e_1 + \beta e_2 + (\alpha - \beta)e_3$, and $cl(e_2, e_1 + \beta e_2 + (\alpha - \beta)e_3)$ and L_{31} both contain $e_3 + (\alpha - \beta)^{-1}e_1$, so $(\alpha - \beta)^{-1} \in F_{31}$, giving $\alpha - \beta \in F$ by the first claim.

We know that |F| = q, so Theorem 3.3 implies that F = GF(q). We have therefore shown that for all $\omega \in GF(q)$ and distinct elements $x_1, x_2 \in E(M)$, the vector $A_{x_1} + \omega A_{x_2}$ is parallel to a column of A. We may assume that all columns of I_n are columns of A, so by repeated applications of this fact, it follows that all nonzero vectors in \mathbb{F}^n are parallel to a column of A, which implies the theorem. \Box

This theorem has an important immediate corollary:

Corollary 3.5. If q is a prime power, M is a $GF(q^2)$ -representable matroid, and R is a PG(r(M)-1,q)-restriction of M, then R is GF(q)-represented in M.

Lemma 3.6. Let q be a prime power, M be a $GF(q^2)$ -representable matroid, and let R be a PG(r(M)-1,q)-restriction of M. If $e \in E(M)$ is a nonloop, and e is not parallel or equal to a point of R, then there is a unique line L of R so that $e \in cl_M(L)$.

Proof. We may assume that M is simple. By Corollary 3.5, there is a $GF(q^2)$ -representation A of M so that A[E(R)] has entries only in GF(q). Let $e \in E(M \setminus E(R))$ be a nonloop, and $\omega \in GF(q^2) - GF(q)$.

Since $\{1, \omega\}$ is a basis for $\operatorname{GF}(q^2)$ over $\operatorname{GF}(q)$, there are vectors $v, v' \in \operatorname{GF}(q)^n$ so that $A_e = v + \omega v'$. Since $R \cong \operatorname{PG}(r(M) - 1, q)$, the vectors u and v are parallel to columns A_f and $A_{f'}$ of A[E(R)], so $e \in \operatorname{cl}_M(\{f, f'\})$, which is a line of R. By modularity of the lines of R, and the fact that e is not a point of R, this line is unique.

Finally, we prove a lemma asserting that a large collection of 'overlong' lines in a matroid with a spanning projective geometry guarantees a large number of points outside that geometry:

Lemma 3.7. Let q be a prime power, $d \ge 0$ be an integer, M be a $GF(q^2)$ -representable matroid, and R be a PG(r(M) - 1, q)-restriction of M. If \mathcal{L} is a set of lines of M so that |L| > q + 1 for all $L \in \mathcal{L}$, and $|\mathcal{L}| > {d+1 \choose 2}$, then $\epsilon(M) > \epsilon(R) + d$.

Proof. We may assume that M is simple; it therefore suffices to show that $|M \setminus E(R)| > d$. Clearly L - E(R) is nonempty for every $L \in \mathcal{L}$; for each $L \in \mathcal{L}$, let $e_L \in L - E(R)$. Let $\mathcal{L}_0 = \{L \in \mathcal{L} : |L \cap E(R)| > 1\}$. Since $L \cap E(R)$ is a line of R for each $L \in \mathcal{L}_0$, Lemma 3.6 implies that the points $e_L : L \in \mathcal{L}_0$ are distinct, so $\epsilon(M) \ge \epsilon(R) + |\mathcal{L}_0|$. We may thus assume that $|\mathcal{L}_0| \le d$, and therefore that $|\mathcal{L} - \mathcal{L}_0| > {d+1 \choose 2} - d = {d \choose 2}$.

Now, each $L \in \mathcal{L}-\mathcal{L}_0$ contains at least two points of $\tilde{M}\setminus E(R)$, and no two lines in $\mathcal{L}-\mathcal{L}_0$ contain two common points of $M\setminus E(R)$, so it follows that $|\mathcal{L}-\mathcal{L}_0| \leq {|M\setminus E(R)| \choose 2}$, and therefore that $|M\setminus E(R)| > d$. \Box

4. The Extremal Matroids

In this section, we define and investigate the a class of matroids which we will later show are the densest matroids in $\mathcal{P}_{q,k}$.

Definition 4.1. Let q be a prime power, and k and n be integers with $0 \le k \le n$. Define a set $Z(n-1,q,k) \subseteq (\operatorname{GF}(q^2))^n$ by

$$Z(n-1,q,k) = \left\{ (x \ y) : x \in (GF(q^2))^k, y \in (GF(q))^{n-k} \right\}.$$

Let A be a matrix whose set of columns is Z(n-1,q,k). We denote by $PG^{(k)}(n-1,q)$ any matroid isomorphic to si(M(A)).

The matroid $PG^{(k)}(n-1,q)$ is a rank-*n* projective geometry over GF(q), extended by some points from a projective geometry over $GF(q^2)$. It is clear that $PG^{(k)}(n-1,q)$ has rank *n*. For any integers $0 \le k \le n \le n'$, the matroid $PG^{(k)}(n'-1,q)$ has a $PG^{(k)}(n-1,q)$ -restriction.

The number of points in $PG^{(k)}(n-1,q)$ is simple to determine. We will use this lemma freely:

Lemma 4.2. If q is a prime power, and $k \ge 0$ and $n \ge k$ are integers, then

$$|\operatorname{PG}^{(k)}(n-1,q)| = \frac{q^{n+k}-1}{q-1} - q\left(\frac{q^{2k}-1}{q^2-1}\right).$$

Proof. Let Z = Z(n - 1, q, k) be the set and A be the matrix in Definition 4.1. Let

$$Z_1 = \{ (x \ y) \in Z : x \in (GF(q^2))^k, y \in (GF(q))^{n-k} - \{0\} \},\$$

and

$$Z_2 = \{ (x \ 0) \in Z : x \in (\mathrm{GF}(q^2))^k - \{0\} \}.$$

So $Z = Z_1 \cup Z_2 \cup \{0\}$. Each $z \in Z_1$ is parallel to exactly q-1 elements of Z: those of the form $\alpha z : \alpha \in \operatorname{GF}(q) - \{0\}$. Each $z \in Z_2$ is parallel to exactly $q^2 - 1$ elements of Z: those of the form $\beta z : \beta \in \operatorname{GF}(q^2) - \{0\}$. We have

$$|\operatorname{PG}^{(k)}(n-1,q)| = \epsilon(M(A))$$

= $\frac{|Z_1|}{q-1} + \frac{|Z_2|}{q^2-1}$
= $\frac{(q^2)^k(q^{n-k}-1)}{q-1} + \frac{q^{2k}-1}{q^2-1},$

and the result follows by a calculation.

Lemma 4.3. If $k \ge 0$ and n > k are integers, then $PG^{(k)}(n-1,q)$ has a $PG(k,q^2)$ -restriction.

Proof. Since, $PG^{(k)}(n,q)$ has an $PG^{(k)}(k,q)$ -restriction, it suffices to show that $PG^{(k)}(k,q) \cong PG(k,q^2)$. A representation of $PG(k,q^2)$ can easily be given in which all entries in the (k + 1)th row are 0 or 1, and hence in GF(q). Such a representation is contained in a representation of $PG^{(k)}(k,q)$. Moreover, $PG(k,q^2)$ clearly contains a representation of $PG^{(k)}(k,q)$, so the required isomorphism is immediate. \Box

This is the largest projective geometry over $GF(q^2)$ that we can find as a minor of $PG^{(k)}(n-1,q)$:

Lemma 4.4. Let q be a prime power, and $0 \le k \le n$ be integers. The matroid $PG^{(k)}(n-1,q)$ has no $PG(k+1,q^2)$ -minor.

Proof. We may assume that n > k+1. Let $M \cong \mathrm{PG}^{(k)}(n-1,q)$, and let A be the matrix whose columns are the vectors in Z(n-1,q,k), so $M = \mathrm{si}(M(A))$. The first k standard basis vectors of $\mathrm{GF}(q^2)^n$ are columns of A, and contracting these columns gives a $\mathrm{GF}(q)$ -representable matroid. Therefore, for any contraction-minor M' of M, there is a set $C \subseteq$

E(M') of rank at most k such that M'/C is GF(q)-representable. Any matroid with a $PG(k+1, q^2)$ -minor does not have this property, giving the lemma.

It is straightforward to see over which fields the matroids $PG^{(k)}(n-1,q)$ are representable:

Lemma 4.5. Let q be a prime power, and $n \geq 3$ be an integer. If \mathbb{F} is a field with a proper GF(q)-subfield, then $PG^{(1)}(n-1,q)$ is \mathbb{F} -representable, and if \mathbb{F} has no $GF(q^2)$ -subfield, then $PG^{(2)}(n-1,q)$ is not \mathbb{F} -representable.

Proof. Let $\omega \in \mathbb{F} - \operatorname{GF}(q)$. Let $A_{\mathbb{F},\omega}$ be a matrix, containing as columns all vectors in \mathbb{F}^n whose first entry lies in the set $\{\alpha\omega + \beta : \alpha, \beta \in \operatorname{GF}(q)\}$, and whose other entries lie in $\operatorname{GF}(q)$. It is straightforward to check that $M(A_{\mathbb{F},\omega})$ does not depend on \mathbb{F} or ω . We may therefore assume that $\mathbb{F} = \operatorname{GF}(q^2)$. The set of columns of $A_{\operatorname{GF}(q^2),\omega}$ is the set Z(n-1,q,1)from Definition 4.1, giving the first part of the lemma.

Lemma 4.3 implies that the matroid $PG^{(2)}(n-1,q)$ has a $PG(2,q^2)$ restriction. This matroid admits no representation over a field without
a $GF(q^2)$ -subfield. Therefore, if \mathbb{F} has no such subfield, $PG^{(2)}(n-1,q)$ is not \mathbb{F} -representable.

5. FINDING EXTREMAL MATROIDS

We give in this section a means to construct the extremal matroids of the previous section.

If \mathcal{L} is a set of lines in a matroid M, then \mathcal{L} is a matching in M if $r_M\left(\bigcup_{L\in\mathcal{L}}L\right)=2|L|$, or equivalently if the lines in \mathcal{L} are mutually skew in M. We define a new property in terms of a matching in a spanning PG(n-1,q)-restriction.

Definition 5.1. Let q be a prime power, M be a $GF(q^2)$ -representable matroid, and R be a PG(r(M) - 1, q)-restriction of M. By Lemma 3.6, each nonloop of e of M is either parallel or equal to a point of R, or there is a unique line L_e of R such that $e \in cl_M(L_e)$. If $X \subseteq E(M)$ is an independent set of M containing no point parallel or equal to a point of R, and $\{L_e : e \in X\}$ is an |X|-matching in R, then we say that X is R-unstable.

Lemma 5.2. Let q be a prime power, and let $k \ge 0$, $n \ge k$, and $n' \ge n + k$ be integers. If a rank-n', $GF(q^2)$ -representable matroid M has a PG(n'-1,q)-restriction R, and an R-unstable set of size k, then M has a $PG^{(k)}(n-1,q)$ -minor.

Proof. Let $X = \{e_1, \ldots, e_k\}$ be an *R*-unstable set of size *k*. We show that $\operatorname{si}((M/X)|E(R)) \cong \operatorname{PG}^{(k)}(n'-1-k,q)$; the result will follow, as $n'-k \ge n$.

We may assume that $E(M) = X \cup E(R)$. For each $1 \le i \le k$, let $\{f_i, f'_i\}$ be a basis in R of the unique line L_i so that $e_i \in cl_M(L_i)$. Since $\{f_1, \ldots, f_k, f'_1, \ldots, f'_k\}$ is independent in R, by Corollary 3.5 there is a $GF(q^2)$ -representation of M of the form

$$A = \begin{pmatrix} X & f_1 \cdots f_k & f'_1 \cdots f'_k & E(R) - \{f_1, \dots, f_k, f'_1, \dots, f'_k\} \\ D & I_k & 0 & I_k \\ 0 & 0 & I_k & Q \\ \end{pmatrix},$$

where D is a $k \times k$ diagonal matrix whose diagonal entries are contained in $\operatorname{GF}(q^2) - \operatorname{GF}(q)$, and $M(A[E(R)]) \cong \operatorname{PG}(n'-1,q)$, with all entries of Q in $\operatorname{GF}(q)$. Let P_1 , P_2 and P_3 be the matrices given by restricting A[E(R)] respectively to its first k rows, its next k rows, and its remaining n' - 2k rows. Now

$$A = \begin{pmatrix} X \\ D \\ I_k \\ 0 \\ P_2 \\ 0 \\ P_3 \end{pmatrix},$$

and we have

$$M/X = M \left(\begin{array}{c} P_1 - DP_2 \\ P_3 \end{array} \right).$$

For each diagonal entry ω of D, the field $\operatorname{GF}(q^2)$ is a vector space over $\operatorname{GF}(q)$ with basis $\{1, \omega\}$. Since A[E(R)] is a representation of $\operatorname{PG}(n'-1,q)$, it follows from the definition of D that the set of columns of $\binom{P_1-DP_2}{P_3}$ is precisely the set Z(n'-k-1,q,k) from Definition 4.1. Therefore $\operatorname{si}(M/X) \cong \operatorname{PG}^{(k)}(n'-k-1,q)$, and the result follows. \Box

We now prove the important fact asserted at the beginning of the last section: the matroids $\mathrm{PG}^{(k)}(n-1,q)$ are the densest in $\mathcal{P}_{q,k}$.

Lemma 5.3. If q is a prime power, and n and k are integers satisfying $0 \le k < n$, then every simple rank-n matroid in $\mathcal{P}_{q,k}$ is a restriction of $\mathrm{PG}^{(k)}(n-1,q)$, and $h_{\mathcal{P}_{q,k}}(n) = |\mathrm{PG}^{(k)}(n-1,q)|$.

Proof. By Lemma 5.2 applied when n' = n + k, the fact that $PG^{(k)}(n - 1, q) \in \mathcal{P}_{q,k}$ is clear; therefore it suffices to show that every simple matroid $M \in \mathcal{P}_{q,k}$ has a $GF(q^2)$ -representation in which all entries

outside the first k rows are in GF(q), as such a matroid is a restriction of $PG^{(k)}(r(M) - 1, q)$.

Let $M \in \mathcal{P}_{q,k}$; thus, let M' be a $\operatorname{GF}(q^2)$ -representable matroid, and $C = \{e_1, \ldots, e_k\}$ be a rank-k independent set in M' with M'/C = M and $M' \setminus C \cong \operatorname{PG}(r(M' \setminus C) - 1, q)$. By Lemma 3.5, there is a representation A of M' in which all entries of A[E(M)] are in $\operatorname{GF}(q)$.

Since $GF(q^2)$ is a dimension-2 vector space over GF(q), we may apply a sequence of elementary row operations, scaling rows and columns only by elements of GF(q), to A[C] so that all nonzero entries are in the first 2k rows. Applying these operations to A, and then contracting C, yields a representation of M in which all entries outside the first krows are in GF(q), giving the result. \Box

Using the results established so far, we will prove Theorem 1.1 by reducing it to the following theorem. We devote the remainder of our efforts to its proof.

Theorem 5.4. There is an integer-valued function $f_{5.4}(n, q, k)$ satisfying the following: if q is a prime power, n and k are integers with $0 \le k < n$, and M is a $GF(q^2)$ -representable matroid such that $r(M) \ge f_{5.4}(n, q, k)$ and

$$\epsilon(M) > |\operatorname{PG}^{(k)}(r(M) - 1, q)|,$$

then M has an $PG^{(k+1)}(n-1,q)$ -minor.

6. MATCHING IN PROJECTIVE GEOMETRIES

To construct the extremal matroids of the last two sections, we need to consider matchings in spanning projective geometries. The first theorem of this section follows easily from the linear matroid matching theorem of Lovász ([4], Theorem 2), but is significantly weaker, and has a relatively short self-contained proof, which we include here. It gives a partly qualitative sufficient condition for the existence of a large matching.

Theorem 6.1. There is an integer-valued function $f_{6.1}(q, k)$ satisfying the following: if q is a prime power, $n \ge 1$ and $k \ge 0$ are integers, and $M \cong PG(n-1,q)$, then for any set \mathcal{L} of lines of M, either

- \mathcal{L} contains a (k+1)-matching of M, or
- there is a flat F of M with $r_M(F) \leq k$, and a set $\mathcal{L}_0 \subseteq \mathcal{L}$ with $|\mathcal{L}_0| \leq f_{6,1}(q,k)$, such that every line $L \in \mathcal{L}$ either intersects F, or is in \mathcal{L}_0 . Moreover, if $r_M(F) = k$, then $\mathcal{L}_0 = \emptyset$.

Proof. Set

$$f_{6.1}(q,k) = \frac{(q^{2k}-1)(q^{2k+3}-1)}{(q-1)^2}.$$

For every $e \in E(M)$, we write $\deg_{\mathcal{L}}(e) = |\{L \in \mathcal{L} : e \in L\}|$. Let $C \subseteq E(M)$ be a maximal independent set so that

$$\deg_{\mathcal{L}}(e) > \frac{q^{2k+3}-1}{q-1}$$

for every $e \in C$. Let C' = C if $|C| \leq k$, and C' be a (k + 1)-subset of C otherwise.

6.1.1. The set \mathcal{L} contains a |C'|-matching. Moreover, if there is a line L in \mathcal{L} skew to C', then \mathcal{L} contains a (|C'| + 1)-matching.

Proof of claim: We prove the second part of the claim; the proof of the first part is similar but simpler. Let $C' = \{e_1, \ldots, e_{|C'|}\}$. Let j be maximal so that $0 \leq j \leq |C'|$, and such that \mathcal{L} contains a (j + 1)-matching $\mathcal{L}_j = \{L, L_1, \ldots, L_j\}$ so that for each $1 \leq i \leq j$, we have $L_i \cap \operatorname{cl}_M(C') = \{e_i\}$. If j = |C'|, then \mathcal{L}_j satisfies the claim; we may therefore assume that j < |C'|. Since \mathcal{L}_j is a matching, and every line in $\mathcal{L}_j - \{L\}$ meets C' in a point, we have $r_M\left(C' \cup \bigcup_{L' \in \mathcal{L}_j} (L')\right) = |C'| + 2 + j \leq 2|C'| + 1$.

 $|C| + 2 + j \ge 2|C| + 1.$ Since $\deg_{\mathcal{L}}(e_{j+1}) > \frac{q^{2k+3}-1}{q-1} \ge \frac{q^{2|C'|+1}-1}{q-1}$, and M is $\operatorname{GF}(q)$ -representable, there is a set X so that $\operatorname{cl}_M(\{x, e_{j+1}\}) \in \mathcal{L}$ for all $x \in X$, and $r_M(X) > 2|C'| + 1$. There is therefore some $x \in X$ not in $\operatorname{cl}_M(C' \cup \bigcup_{L' \in L_j}(L'))$. Now, $\mathcal{L}_j \cup \{\operatorname{cl}_M(\{x, e_{j+1}\})\}$ is a matching of M, contradicting the maximality of j. \Box

Suppose that the first outcome of the theorem does not hold; by 6.1.1, we may assume that $|C| \leq k$. Let \mathcal{L}_0 be the set of lines in \mathcal{L} that are skew to C.

6.1.2. $|\mathcal{L}_0| \leq f_{6.1}(q,k)$.

Proof of claim: By maximality of C, for each $e \notin \operatorname{cl}_M(C)$, we have $\operatorname{deg}_{\mathcal{L}}(e) \leq \frac{q^{2k+3}-1}{q-1}$. Let \mathcal{L}'_0 be a maximal matching contained in \mathcal{L}_0 , and let F' be the flat spanned in M by the lines in \mathcal{L}'_0 . We have assumed that $|\mathcal{L}'_0| \leq k$, so $|F'| \leq \frac{q^{2k}-1}{q-1}$. By maximality of \mathcal{L}'_0 and modularity of F', each $L \in \mathcal{L}_0$ contains a point in F', so the claim follows by this bound on |F'|, and our degree bound.

We now set $F = cl_M(C)$. The flat F is modular, so every line in $\mathcal{L} - \mathcal{L}_0$ meets F. If $r_M(F) = k$, and $L \in \mathcal{L}_0$, then by 6.1.1, \mathcal{L} contains

a (k + 1)-matching. So if $r_M(F) = k$, we must have $\mathcal{L}_0 = \emptyset$. Now F and \mathcal{L}_0 satisfy the second outcome of the lemma.

An easy application of this theorem allows us to find an unstable set:

Lemma 6.2. There is an integer-valued function $f_{6.2}(q, k)$ satisfying the following: if q is a prime power, $k \ge 0$ is an integer, M is a $GF(q^2)$ representable matroid, and R is a GF(q)-represented PG(r(M) - 1, q)restriction of M, then either

- there is an R-unstable set of size k + 1 in M, or
- there is some $C \subseteq E(R)$ so that $r_M(C) \leq k$, and $\epsilon(M/C) \leq \epsilon(R/C) + f_{6,2}(q,k)$.

Proof. Set $f_{6.2}(q,k) = (q^2 + 1)f_{6.1}(q,k)$. We may assume that M is simple; let \mathcal{L} be the set of lines L of R such that $|\operatorname{cl}_M(L)| > |\operatorname{cl}_R(L)|$. If \mathcal{L} contains a (k+1)-matching of R, then choosing an element from $\operatorname{cl}_M(L) - \operatorname{cl}_R(L)$ for each line L in the matching gives an R-unstable set of size k + 1. We may therefore assume that \mathcal{L} contains no such matching. Thus, let F and \mathcal{L}_0 be the sets defined in the second outcome of Theorem 6.1. Let C = F, and $D = \bigcup_{L \in \mathcal{L}_0} L$. We have $|D| \leq (q^2 + 1)|\mathcal{L}_0| \leq f_{6.2}(q,k)$. By Lemma 3.6, each point of $M \setminus E(R)$ lies in the closure of a line in \mathcal{L} , so $\epsilon((M/C) \setminus E(R)) \leq \epsilon((M/C)|D)$; the result now follows.

7. Weak Roundness

The results in this section concern the existence of dense, highlyconnected restrictions of large rank in dense matroids of very large rank. These are similar to results in [2, Section 2] where the notion of connectivity is *roundness* (a matroid M is round if its ground set admits no partition into two sets of smaller rank than M). However, roundness has shortcomings when the density is exponential with base 2; the rankr binary affine geometry has 2^{r-1} points and its only round restrictions have rank at most 1. This necessitates relaxing our connectivity notion.

A matroid M is weakly round if E(M) cannot be written as the union of sets A and B with $r(M|A) \leq r(M) - 1$ and $r(M|B) \leq r(M) - 2$. It is easy to check that this property is closed under both contraction and simplification.

Weak roundness is a vital property in our proof of Theorem 5.4, and this section provides a means to reduce this theorem to the weakly round case; we prove that a dense matroid of very large rank has a similarly dense, weakly round restriction of large rank.

The following lemma is very similar to one that was proved in [2].

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Lemma 7.1. Let f(n) be a real-valued function satisfying $0 < f(1) \le f(2)$, and $f(n) \ge f(n-1) + f(n-2)$ for all n > 2. If M is a matroid with $r(M) \ge 1$ and $\epsilon(M) > f(r(M))$, then M has a weakly round restriction N with $r(N) \ge 1$ and $\epsilon(N) > f(r(N))$.

Proof. We may assume that M is not weakly round, so r(M) > 2, and there is a partition (A, B) of E(M) with $r_M(A) \leq r(M) - 1$ and $r_M(B) \leq r(M) - 2$. Inductively, we may assume that $\epsilon(M|A) \leq f(r(M) - 1)$ and $\epsilon(M|B) \leq f(r(M) - 2)$. So $\epsilon(M) \leq f(r(M) - 1) + f(r(M) - 2) \leq f(r(M))$, a contradiction.

The next lemma contains the connectivity reduction that is key to our main proof. It is used in two parts of the proof with respect to different density functions, and is thus stated in an abstract way.

Lemma 7.2. There is a real-valued function $f_{7,2}(\ell, d, r)$ satisfying the following: if $0 \le d \le r$ and $\ell \ge 2$ are integers, g(n) is a real-valued function satisfying $g(d) \ge 1$, and $g(n) \ge 2g(n-1)$ for all n > d, and $M \in \mathcal{U}(\ell)$ satisfies $\epsilon(M) > g(r(M))$ and $r(M) \ge f_{7,2}(\ell, d, r)$, then M has a weakly round restriction N so that $\epsilon(N) > g(r(N))$, and $r(N) \ge r$.

Proof. Set $f_{7,2}(\ell, d, r)$ to be an integer $s \ge d$ such that $2^{-d}(\sqrt{5}-1)^s \ge \frac{\ell^r-1}{\ell-1}$. Observe that $g(n) \ge 2^{n-m}g(m)$ for all integers n and m with $n \ge m \ge d$. Let $\varphi = \frac{1}{2}(1+\sqrt{5})$.

Let M be a matroid with $r(M) \geq s$ and $\epsilon(M) > g(r(M))$. By Lemma 7.1, there is a weakly round restriction N of M such that $\epsilon(N) > \varphi^{r(N)-r(M)}g(r(M)) \geq \varphi^{-r(M)}2^{r(M)-d} = 2^{-d}(\sqrt{5}-1)^{r(M)} \geq \frac{\ell^r-1}{\ell-1},$ since $r(M) \geq s$. Therefore, by Theorem 2.1, we have $r(N) \geq r \geq d$. Now, $\epsilon(N) > \varphi^{r(N)-r(M)}g(r(M)) \geq \varphi^{r(N)-r(M)}g(r(N)) \geq g(r(N))$, so N is the required restriction. \Box

8. Exploiting Weak Roundness

The result proved in this section is a technical lemma that uses the assumption of weak roundness to contract a set of bounded size onto a large projective geometry. This lemma contains most of the machinery in the proof of the main theorem of [2], and we state it here in a more general setting than is required, to emphasise that M^+ need not be representable. The case where $M = M^+$ is an important specialisation.

Lemma 8.1. There is an integer-valued function $f_{8,1}(n, q, t, \ell)$ so that the following holds: if q is a prime power, $t \ge 0$, $n \ge 1$, and $\ell \ge 2$ are integers and matroids $M^+ \in \mathcal{U}(\ell)$, M, and a set $B \subseteq E(M^+)$ satisfy

• $r_{M^+}(B) \leq t$,

• M is a weakly round, spanning restriction of M^+ , and

• *M* has a $PG(f_{8.1}(n, q, t, \ell) - 1, q)$ -minor *N*,

then there is a set $X \subseteq E(M)$, disjoint from B, so that $r(M/X) \ge n$, and M/X has a PG(r(M/X) - 1, q)-restriction, and $(M^+/X)|B = M^+|B$.

Proof. Let $n' = \max(n, t+1)$, and $\alpha = f_{2,2}(q - \frac{1}{2}, \ell, n')$. Let m be a positive integer large enough so that $m \ge 2t$, and so that

$$\left(\frac{q}{q-\frac{1}{2}}\right)^m \ge \alpha q \left(\frac{\ell(q-\frac{1}{2})}{q-\frac{3}{2}}\right)^t.$$

Set $f_{8,1}(n, q, t, \ell) = m$. Let $N = M/C \setminus D \cong PG(m-1, q)$, where C is independent in M.

8.1.1. There is a set $C' \subseteq E(M)$ so that M/C' has a PG(n'-1,q)-restriction N', and $(M^+/C')|B = M^+|B$.

Proof of claim: Let $C_0 \subseteq C$ be maximal so that $(M^+/C_0)|B = M^+|B$, and let $M_0 = M/C_0$ and $M_0^+ = M^+/C_0$. By maximality of C_0 , we have $C - C_0 \subseteq \operatorname{cl}_{M_0^+}(B)$, and therefore $r_{M_0}(C - C_0) \leq t$, giving $r_{M_0^+}(E(N)) \leq r(N) + t = m + t$. We have

$$\epsilon_{M_0}(E(N)) = \frac{q^m - 1}{q - 1}$$

> q^{m-1}
 $\geq \alpha \ell^t (q - \frac{3}{2})^{-t} (q - \frac{1}{2})^{m+t}$
 $\geq \alpha (\ell (q - \frac{3}{2})^{-1})^t (q - \frac{1}{2})^{r_{M_0}(E(N))}$

Applying Lemma 2.3 to A = E(N) and B in M_0^+ gives a set $A' \subseteq E(N)$, skew to B in M_0^+ , satisfying $\epsilon_{M_0^+}(A') > \alpha(q - \frac{1}{2})^{r_{M_0^+}(A')}$. By Theorem 2.2, the matroid $M_0^+|A' = M_0|A'$ has a PG(n' - 1, q')-minor $N_1 = (M_0|A')/C_1 \setminus D_1$ for some $q' > q - \frac{1}{2}$, where C_1 is independent in M_0 .

Since A' is skew to B in M_0^+ , it is also skew to $C - C_0$, so $M_0|A' = (M_0/(C - C_0))|A' = N|A'$, and therefore $M_0|A'$ is GF(q)-representable, and so is N_1 . So q' = q, and N_1 is a PG(n' - 1, q)-restriction of M_0/C_1 . Moreover, $C_1 \subseteq A'$, so C_1 is skew to B in M_0^+ , so $(M_0^+/C_1)|B = M_0^+|B = M^+|B$. Therefore, $C' = C_0 \cup C_1$ satisfies the claim. \Box

Let X be a maximal set satisfying the following:

- $C' \subseteq X \subseteq E(M) B$,
- $(M^+/X)|B = M^+|B$, and
- N' is a restriction of M/X.

If N' is spanning in M/X, then X satisfies the lemma. Otherwise, we have $r_{M^+}(B) \leq t < n' = r(N') < r(M/X)$. Weak roundness of M/X thus gives some $f \in E(M/X)$ not in $\operatorname{cl}_{M/X}(E(N'))$ or $\operatorname{cl}_{M^+/X}(B)$. This contradicts maximality of X.

9. The Spanning Case

In this section, we show how to construct a $PG^{(k+1)}(n-1,q)$ -minor directly from density in the case that we have a dense GF(q)-represented restriction that is spanning and weakly round.

Lemma 9.1. There is an integer-valued function $f_{9.1}(n, q, k)$ so that the following holds: if q is a prime power, n and k are integers with $0 \le k < n$, and M is a $GF(q^2)$ -representable matroid such that

- *M* has a weakly round, spanning GF(q)-represented restriction *R*,
- R has a $PG(f_{9.1}(n, q, k) 1, q)$ -minor, and
- $\epsilon(M) > |\operatorname{PG}^{(k)}(r(M) 1, q)|,$

then M has an $PG^{(k+1)}(n-1,q)$ -minor.

Proof. Let s be an integer so that

$$|\operatorname{PG}^{(k)}(s'-1,q)| > |\operatorname{PG}^{(j)}(s'-1,q)| + (q^2-q)f_{6.1}(q,k)$$

for all j < k and $s' \ge s$. Set

$$f_{9.1}(n,q,k) = \max(s, f_{8.1}(n+k,q,2k+2,q^2)).$$

We may assume that M is simple, and that R is a maximal GF(q)represented restriction with the required properties. Let A be a $GF(q^2)$ representation of M with r(M) rows, so that A[E(R)] has all entries
in GF(q). Let A' be the matrix formed by appending to A every column with entries in GF(q) required to extend A[E(R)] to represent a PG(r(M) - 1, q)-restriction R'. Let M' = M(A'); by construction, M'is simple, and M is a spanning restriction of M'.

Let \mathcal{L} be the set of lines of R', and let $\mathcal{L}^+ = \{L \in \mathcal{L} : cl_{M'}(L) - E(R') \neq \emptyset\}$. Note that $|cl_{M'}(L)| > q + 1$ for all $L \in \mathcal{L}^+$. Our goal is to use \mathcal{L}^+ to find an unstable set in a minor.

9.1.1. \mathcal{L}^+ contains a (k+1)-matching of R'.

Proof of claim: Suppose not. Let $F \subseteq E(R')$ and $\mathcal{L}_0 \subseteq \mathcal{L}^+$ be the sets defined in Theorem 6.1. Let $j = r_M(F)$; we know that $0 \leq j \leq k$, and if j = k, then $\mathcal{L}_0 = \emptyset$. By Lemma 3.6, we have $E(M') = (\bigcup_{L \in \mathcal{L}^+} \operatorname{cl}_{M'}(L)) \cup E(R')$. Let $\mathcal{L}_F = \{L \in \mathcal{L} : |L \cap F| = 1\}$. So each point in E(M') - E(R') is either in $\operatorname{cl}_{M'}(F)$, in a line in \mathcal{L}_F , or in a line in \mathcal{L}_0 .

Each point of E(R') - F lies on |F| distinct lines in \mathcal{L}_F , and each line in \mathcal{L}_F contains exactly q points in E(R') - F, so

$$|\mathcal{L}_F| = \frac{|F|(|E(R')| - |F|)}{q} = \frac{(q^j - 1)(q^{r(M)} - q^j)}{q(q - 1)^2}.$$

Each line of R' contains q + 1 points of R', and its closure in M' contains at most $q^2 - q$ points of $M' \setminus E(R')$. We can now estimate $\epsilon(M')$.

$$\begin{split} \epsilon(M') &= |R'| + |M' \setminus E(R')| \\ &\leq |R'| + \left(\sum_{L \in \mathcal{L}_F \cup \mathcal{L}_0} |L - E(R')|\right) + |\operatorname{cl}_{M'}(F) - E(R')| \\ &\leq \frac{q^{r(M)} - 1}{q - 1} + (q^2 - q)(|\mathcal{L}_F| + |\mathcal{L}_0|) + \left(\frac{q^{2j} - 1}{q^2 - 1} - \frac{q^j - 1}{q - 1}\right) \\ &\leq \frac{(q^2 - q)(q^j - 1)(q^{r(M)} - q^j)}{q(q - 1)^2} + \frac{q^{r(M)} - q^j}{q - 1} + \frac{q^{2j} - 1}{q^2 - 1} \\ &+ (q^2 - q)|\mathcal{L}_0| \\ &= \frac{q^{r(M) + j} - 1}{q - 1} - q\left(\frac{q^{2j} - 1}{q^2 - 1}\right) + (q^2 - q)|\mathcal{L}_0|. \\ &= |\operatorname{PG}^{(j)}(r(M) - 1, q)| + (q^2 - q)|\mathcal{L}_0| \end{split}$$

If j < k, then we have $(q^2 - q)|\mathcal{L}_0| \le (q^2 - q)f_{6,1}(q,k)$, so the fact that $r(M') = r(M) \ge f_{9,1}(n,q,k) \ge s$ gives $\epsilon(M') \le |\operatorname{PG}^{(k)}(r(M) - 1,q)|$. If j = k, then $\mathcal{L}_0 = \emptyset$, so $\epsilon(M') \le |\operatorname{PG}^{(k)}(r(M) - 1,q)|$. In either case,

$$\epsilon(M') \le |\operatorname{PG}^{(k)}(r(M) - 1, q)| < \epsilon(M),$$

contradicting the fact that M is a restriction of M'.

Let $\{L_1, \ldots, L_{k+1}\} \subseteq \mathcal{L}^+$ be a (k+1)-matching, and let $B = \bigcup_{i=1}^{k+1} L_i$. We have $r_{M'}(B) = 2k+2$. The matroid R is a weakly round, spanning restriction of M', and R has a $\operatorname{PG}(f_{8,1}(n+k,q,2k+2,q^2)-1,q)$ -minor, so by Lemma 8.1, there is a set $X \subseteq E(R)$ so that $r(R/X) \ge n+k$, and R/X has a $\operatorname{PG}(r(M/X)-1,q)$ -restriction R_0 , and (M'/X)|B = M'|B.

9.1.2.
$$si(M'/X) \cong si(M/X)$$

Proof of claim: All entries of A'[E(R')] are in GF(q). In particular, the entries of A'[X] are in GF(q), so there is a $GF(q^2)$ -representation A_0 of M'/X such that $A_0[E(R') - X]$ only has entries in GF(q).

But $E(R_0) \subseteq E(R') - X$, and R_0 is a GF(q)-represented PG(r(R/X) - 1, q)-restriction of R/X, so every column of A_0 with entries only in

GF(q) is parallel in A_0 to some element of R_0 . All elements of E(R') have this property, and $E(M') = E(M) \cup E(R')$, so the claim follows.

9.1.3. There is an R_0 -unstable set of size k + 1 in M'/X.

Proof of claim: For each $1 \leq i \leq k+1$, let $L'_i = \operatorname{cl}_{M'/X}(L_i)$. Since (M'/X)|B = M'|B, the set $\{L'_1, \ldots, L'_{k+1}\}$ is a (k+1)-matching of M'/X. Moreover, each L_i is spanned by a pair of points of R', and each such point is parallel in M'/X to a point of R_0 , so for each i, the set $L'_i \cap E(R_0)$ is a line of R_0 . Finally, $\epsilon(M'/X|L'_i) \geq \epsilon(M'|\operatorname{cl}_{M'}(L_i)) > q+1$ for each i, so each L'_i contains a point e_i not parallel to any points of R_0 . The set $\{e_1, \ldots, e_{k+1}\}$ is R_0 -unstable in M'/X.

By Lemma 5.2, the matroid M'/X has a $PG^{(k+1)}(n-1,q,k+1)$ minor; by the second claim, so does M/X.

10. Constellations

If the hypotheses in the previous section fail, then we use a different method to find a $PG^{(k)}(n-1,q)$ -minor.

Definition 10.1. Let s, ℓ, j be positive integers. A matroid K is an (s, ℓ, j) -constellation if

- $r(K) \leq s(j+1)$ and
- K has a rank-s independent set S such that for all $e \in S$, there exists a rank-j independent set X_e in K/e, such that for all $f \in X_e$, the line $cl_K(\{e, f\})$ contains at least $\ell + 2$ points.

A constellation is an independent set of points, each of which is the centre of a 'star' of an independent collection of $(\ell + 2)$ -point lines. If K is any matroid satisfying the second part of the definition, then $K|(S \cup \bigcup_{e \in S} X_e)$ is an (s, ℓ, j) -constellation. Moreover, for any $s' \leq s$, an (s, ℓ, j) -constellation has an (s', ℓ, j) -constellation restriction, found by considering an s'-subset of S.

Lemma 10.2. There is an integer-valued function $f_{10,2}(n,q,k)$ so that the following holds: if q is a prime power, n and k are integers with $0 \le k < n$, and M is a weakly round, $GF(q^2)$ -representable matroid with a $(f_{10,2}(n,q,k),q,k+1)$ -constellation restriction K, and a $PG(f_{10,2}(n,q,k)-1,q)$ -minor, then M has a $PG^{(k+1)}(n-1,q)$ -minor.

Proof. Let $d = f_{6,2}(q,k)$, and let s = d(d+1) + k + 1. Set

$$f_{10.2}(n,q,k) = \max(s, f_{8.1}(n+k,q,s(k+2),q^2)).$$

Since M is $GF(q^2)$ -representable, we know that $M \in \mathcal{U}(q^2)$. By Lemma 8.1, applied with $M^+ = M$, and B = E(K), there is some set $X \subseteq E(M)$ so that $r(M/X) \ge n + k$, and M/X has a PG(r(M/X) - 1, q)-restriction R, and (M/X)|E(K) = M|E(K) = K. Let M' = M/X.

10.2.1. M' has an R-unstable set of size k + 1.

Proof of Claim: By Lemma 6.2, we may assume that there is a set $C \subseteq E(R)$ so that $r_{M'}(C) \leq k$, and $\epsilon(M'/C) \leq \epsilon(R/C) + d$. The set S in the constellation K has rank at least s in M'; let $S' \subseteq S$ be an independent set of size d(d+1) + 1 in M'/C. Let $e \in S'$. Since $r_{M'}(X_e) > k$, there is some $f \in X_e$ so that $\{e, f\}$ is independent in M'/C; let $L_e = \operatorname{cl}_{M'/C}(\{e, f\})$. The line L_e contains at least q + 2 points in K, and therefore in M'/C.

Since S' is independent in M'/C, no line L_e can contain more than two points of S', giving $|\{L_e : e \in S'\}| \ge \frac{1}{2}|S'| > \binom{d+1}{2}$. The matroid R/C is a spanning restriction of M'/C, and $\operatorname{si}(R/C) \cong \operatorname{PG}(n-1-r_{M'}(C),q)$, so Lemma 3.7 now implies that $\epsilon(M'/C) > \epsilon(R/C) + d$, a contradiction. \Box

The lemma now follows from Lemma 5.2.

11. The Reductions

We will prove Theorem 5.4 by showing that it can be reduced to either Lemma 9.1 or Lemma 10.2. The following technical lemma contains this reduction.

Lemma 11.1. There is an integer-valued function $f_{11.1}(m,q,k)$ satisfying the following: if q is a prime power, $m \ge 1$ and $k \ge 0$ are integers, and M is a weakly round, $GF(q^2)$ -representable matroid such that

- *M* has a $PG(f_{11,1}(m,q,k) 1,q)$ -minor and
- $\epsilon(M) > |\operatorname{PG}^{(k)}(r(M) 1, q)|,$

then one of the following holds:

- (i) M has a minor M' such that
 - M' has a weakly round, spanning GF(q)-represented restriction R,
 - R has a PG(m-1,q)-minor, and
 - $\epsilon(M') > |\operatorname{PG}^{(k)}(r(M') 1, q)|,$

or

(ii) M has a weakly round minor M' with an (m, q, k+1)-constellation restriction, and a PG(m-1, q)-minor.

Proof. Let r be an integer large enough so that

$$q^{r'-3m} \ge f_{2.2}(q-\frac{1}{2},q,m)(q-\frac{1}{2})^{r'}$$

for all $r' \ge r$. Let $n = f_{7,2}(q^2, 3m, r) + 2m$. Set $f_{11,1}(m, q, k) = n$.

We may assume that M is simple and minor-minimal satisfying the hypotheses. Let $N = M/C \setminus D \cong PG(n-1,q)$, where C is independent, and D is coindependent.

11.1.1. M has a (|C|, q, k+1)-constellation restriction.

Proof of claim: We may assume that C is nonempty; let $e \in C$. The matroid M/e is weakly round, $GF(q^2)$ -representable, and has an N-minor, so

$$\epsilon(M/e) \le |\operatorname{PG}^{(k)}(r(M/e) - 1, q)|$$

by minor-minimality of M. Let \mathcal{L}^+ be the set of lines of M containing e and at least q + 1 other points, and \mathcal{L}^- be the set of all other lines of M containing e. Each line in \mathcal{L}^- contains at most q points other than e, and each line in \mathcal{L}^+ contains at most q^2 points other than e. We have $\epsilon(M/e) = |\mathcal{L}^+| + |\mathcal{L}^-|$, and $\epsilon(M) \leq q^2 |\mathcal{L}^+| + q |\mathcal{L}^-| + 1 = q\epsilon(M/e) + (q^2 - q)|\mathcal{L}^+| + 1$. Now

$$|\operatorname{PG}^{(k)}(r(M) - 1, q)| < \epsilon(M)$$

$$\leq q\epsilon(M/e) + (q^2 - q)|\mathcal{L}^+| + 1$$

$$\leq q|\operatorname{PG}^{(k)}(r(M/e) - 1, q)| + (q^2 - q)|\mathcal{L}^+| + 1.$$

This implies that

$$|\mathcal{L}^+| > \frac{1}{q^2 - q} \left(|\operatorname{PG}^{(k)}(r(M) - 1, q)| - q |\operatorname{PG}^{(k)}(r(M) - 2, q)| - 1 \right),$$

and a computation gives $|\mathcal{L}^+| > \frac{q^{2k}-1}{q^2-1}$. Let X'_e be a set formed by choosing a point other than e from each line in \mathcal{L}^+ . Since M is $\mathrm{GF}(q^2)$ -representable, it now follows that $r_{M/e}(X'_e) > k$; let $X_e \subseteq X'_e$ be an independent set in M/e of size k+1. The set C, along with $X_e : e \in C$, gives the required constellation. \Box

Since $n \ge m$, the matroid M also has a PG(m-1,q)-minor, so if $|C| \ge m$, we have outcome (ii) for M by 11.1.1. We may therefore assume that |C| < m.

11.1.2. There is a weakly round, GF(q)-represented restriction R of M so that R has a PG(m-1,q)-minor.

Proof of claim: Since E(N) is a spanning restriction of M/C, there is a matrix A' representing M over $GF(q^2)$ of the form

$$A' = \begin{array}{cc} C & E(N) & D \\ I_C & Q_1 & Q_2 \\ 0 & B & Q_3 \end{array} \right),$$

where $M(B) \cong \mathrm{PG}(n-1,q)$. By applying Theorem 3.4 to the submatrix A'[[n], E(M)], we may assume that all entries of B are in $\mathrm{GF}(q)$. Since |C| < m, there are at most $q^{2(m-1)}$ distinct column vectors in Q_1 , so there is some $Y \subseteq E(N)$ so that $|Y| \ge q^{-2(m-1)}|E(N)|$, and all columns of the matrix $Q_1[Y]$ are the same. Now

$$A'[Y] = \left(\begin{array}{c} Q_1[Y] \\ B[Y] \end{array}\right),$$

where $Q_1[Y]$ is a matrix of rank at most 1, so by scaling the first |C| rows of A'[Y], we can obtain a matrix of the form:

$$\left(\begin{array}{c}P\\B[Y]\end{array}\right),$$

where all entries of P are 0 or 1. Applying these same row scalings to A' gives a matrix A representing M over $GF(q^2)$, in which all entries of A[Y] are in P or B[Y], and therefore in GF(q).

We have $|Y| \ge q^{-2(m-1)}|E(N)| > q^{n-2m+1}$. Also, $r_M(Y) \le r(M) \le n+m-1$, so $|Y| > q^{-3m}q^{r(M|Y)}$. Finally, M|Y is GF(q)-representable, so $r_M(Y) \ge n-2m+2 \ge f_{7,2}(q^2, 3m, r)$ by our first lower bound on |Y|. The function g(i) defined by $g(i) = q^{i-3m}$ satisfies the hypotheses of Lemma 7.2 with d = 3m, so by this lemma, M|Y has a weakly round restriction R with $r(R) \ge r$, and $\epsilon(R) > q^{r(R)-3m}$.

The matrix A[E(R)] is a submatrix of A[Y], so R is a GF(q)represented restriction of M. We have

$$\epsilon(R) > q^{-3m}q^{r(R)} \ge f_{2.2}(q - \frac{1}{2}, q, m)(q - \frac{1}{2})^{r(R)},$$

so R has a PG(q', m - 1)-minor for some prime power $q' > q - \frac{1}{2}$. Since R is GF(q)-representable, we must have q' = q, so R satisfies the claim.

Let M' be minor-minimal subject to the following conditions:

- M' is a weakly round minor of M,
- $\epsilon(M') > |\operatorname{PG}^{(k)}(r(M') 1, q)|$, and
- R is a GF(q)-represented restriction of M'.

If R is spanning in M', then M' and R satisfy outcome (i). We may therefore assume that r(R) < r(M'). Since R has a PG(m-1, q)-minor, the following claim will give outcome (ii) for M'. **11.1.3.** M' has an (m, q, k+1)-constellation restriction.

Proof of claim: We have $m \leq r(R') \leq r(M) - 1$, so by weak roundness of M', the set $E(M') - \operatorname{cl}_{M'}(E(R))$ has rank at least $r(M) - 1 \ge m$ in M; let S be an independent set of size m in M, disjoint from $cl_{M'}(E(R))$.

For each $e \in S$, the matroid M'/e is weakly round, and we have R = (M'/e)|(E(R)), so R is a GF(q)-represented restriction of M'/e. By minimality of M', it follows that

$$\epsilon(M'/e) \le |\operatorname{PG}^{(k)}(r(M'/e) - 1, q, k)|.$$

The remainder of the proof is very similar to that of 11.1.1.

We can now prove Theorem 5.4, which we restate here for convenience:

Theorem 11.2. There is an integer-valued function $f_{5.4}(n,q,k)$ satisfying the following: if q is a prime power, n and k are integers with $0 \leq k < n$, and M is a $GF(q^2)$ -representable matroid with $r(M) \geq 0$ $f_{5,4}(n,q,k)$ and $\epsilon(M) > |\operatorname{PG}^{(k)}(r(M)-1,q)|$, then M has a $\operatorname{PG}^{(k+1)}(n-1)$ (1,q)-minor.

Proof. We define the function $f_{5.4}$ as follows. Let

$$m = \max(f_{9.1}(n, q, k), f_{10.2}(n, q, k)).$$

Let $\alpha = f_{2,2}(q - \frac{1}{2}, q^2, m)$. Let r be an integer large enough so that

$$|\operatorname{PG}^{(k)}(r'-1,q,k)| \ge \alpha (q-\frac{1}{2})^{r'}$$

for all $r' \ge r$, and let $s = f_{7.2}(q^2, k, r)$. We set $f_{5.4}(n, q, k) = s$. Let M be a $GF(q^2)$ -representable matroid with $r(M) \ge s$, and $\epsilon(M) > |\operatorname{PG}^{(k)}(r(M) - 1, q)|.$ The function $g(i) = |\operatorname{PG}^{(k)}(i - 1, q)|$ can easily be seen to satisfy q(k) > 1 and q(i) > 2q(i-1) for all i > k, so by Lemma 7.2, M has a weakly round restriction N with $r(N) \ge r$ and $\epsilon(N) > |PG^{(k)}(r(N) - 1, q)|.$

By Lemma 2.2 and definition of r, N has a PG(m-1, q')-minor for some $q' > q - \frac{1}{2}$. Since N is $GF(q^2)$ -representable, we have $q' \in \{q, q^2\}$, so N has a PG(m-1,q)-minor. The lemma now follows by applying Lemma 11.1 to N, and then either Lemma 9.1 or Lemma 10.2 to the minor M' of N given by Lemma 11.1.

12. The Main Theorems

We first prove Theorem 1.1, which we restate here:

Theorem 12.1. Let q be a prime power. If \mathcal{M} is a proper minor-closed subclass of the $GF(q^2)$ -representable matroids containing all GF(q)-representable matroids, then there is an integer $k \geq 0$ such that $\mathcal{P}_{q,k} \subseteq \mathcal{M}$, and $h_{\mathcal{M}}(n) = h_{\mathcal{P}_{q,k}}(n)$ for all sufficiently large n.

Proof. Since \mathcal{M} does not contain all $\mathrm{GF}(q^2)$ -representable matroids, there is an integer s so that $\mathrm{PG}(s,q^2) \notin \mathcal{M}$. The set $\mathcal{P}_{q,0}$ is just the set of projective geometries over $\mathrm{GF}(q)$, so $\mathcal{P}_{q,0} \subseteq \mathcal{M}$. By Lemmas 4.3 and 5.3, we have $\mathcal{P}_{q,s'} \not\subseteq \mathcal{M}$ for all $s' \geq s$; let $k \geq 0$ be maximal so that $\mathcal{P}_{q,k} \subseteq \mathcal{M}$.

We have $h_{\mathcal{M}}(n) \geq h_{\mathcal{P}_{q,k}}(n)$ for all n; we need to show that this holds with equality for all large n. Suppose that this is not the case. For all integers m > k, there is therefore some $M \in \mathcal{M}$ such that $r(M) \geq f_{5.4}(m, q, k)$ and $\epsilon(M) > h_{\mathcal{P}_{q,k}}(r(M)) = |\operatorname{PG}^{(k)}(r(M) - 1, q)|$. By Theorem 5.4, M therefore has a $\operatorname{PG}^{(k+1)}(m-1, q)$ -minor. Thus, \mathcal{M} contains $\operatorname{PG}^{(k+1)}(m-1, q)$ for all m > k, so by Lemma 5.3, $\mathcal{P}_{q,k+1} \subseteq \mathcal{M}$, contradicting maximality of k.

Theorem 1.2 is now immediate, and Theorem 1.4 follows by applying Lemmas 4.3 and 5.3. Theorems 1.5 and 1.6 also have easy proofs:

Proof of Theorem 1.5. Let n_q be the integer $n_{1,q}$ given by Theorem 1.4. By Lemma 4.5, \mathcal{M} contains $\mathrm{PG}^{(1)}(n-1,q)$ for all $n \geq 0$, but not $\mathrm{PG}(2,q^2)$; Theorem 1.4 gives

$$\epsilon(M) \le \frac{q^{r(M)+1}-1}{q-1} - q = |\operatorname{PG}^{(1)}(r(M)-1,q)|$$

for all M satisfying $r(M) \ge n_q$. But $h_{\mathcal{M}}(n) \ge |\operatorname{PG}^{(1)}(n-1,q)|$ for all n, so the theorem follows.

Proof of Theorem 1.6. Let $n_{1,q}$ be given by Theorem 1.4. Let \mathfrak{H}_q be the set of integer-valued functions f so that $0 \leq f(n) \leq \frac{q^{2n}-1}{q^2-1}$ for all $0 \leq n < n_{1,q}$, and

$$f(n) = \frac{q^{n+1} - 1}{q - 1} - q$$

for all $n \geq n_{1,q}$. The set \mathfrak{H}_q is clearly finite. Let \mathcal{F} be a set of fields satisfying the hypotheses, and \mathcal{M} be the class of matroids representable over all fields in \mathcal{F} . There is some $\mathbb{F} \in \mathcal{F}$ with no $\operatorname{GF}(q^2)$ -subfield, so by Lemma 4.5, we know that $\operatorname{PG}^{(1)}(n-1,q) \in \mathcal{M}$ for all n, and $\operatorname{PG}(2,q^2) \notin \mathcal{M}$. It now follows from $\operatorname{GF}(q^2)$ -representability of matroids in \mathcal{M} , and a similar argument to the proof of Theorem 1.5, that $h_{\mathcal{M}} \in \mathfrak{H}_q$, giving the theorem.

GROWTH RATE FUNCTIONS

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